

**COMPOSITIONS AND METHODS FOR ENHANCED MUCOSAL DELIVERY  
OF Y2 RECEPTOR-BINDING PEPTIDES AND METHODS FOR TREATING AND  
PREVENTING OBESITY**

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**Cross reference to related applications**

This is a continuation application and claims priority under 35 U.S.C. §120 of co-pending United States Patent Application No. 10/745,069 filed December 23, 2003, which is a continuation-in-part of United States Patent Application No. 10/322,266, filed December 17, 2002, and claims priority under 35 U.S.C. §119 (e) of U.S. Provisional Application No. 60/493,226, filed August 7, 2003, U.S. Provisional Application No. 60/501,170, filed September 8, 2003, U.S. Provisional Application No. 60/510,785, filed October 10, 2003, U.S. Provisional Application No. 60/517,290, filed November 4, 2003; United States Provisional Application No. 60/518,812, filed on November 10, 2003; and PCT/US03/40538, filed on December 17, 2003; the entire contents of these applications are incorporated herein by reference.

**Background of the Invention**

The teachings of all the references cited in the present specification are incorporated in their entirety by reference.

Obesity and its associated disorders are common and very serious public health problems in the United States and throughout the world. Upper body obesity is the strongest risk factor known for type-2 diabetes mellitus, and is a strong risk factor for cardiovascular disease. Obesity is a recognized risk factor for hypertension, arteriosclerosis, congestive heart failure, stroke, gallbladder disease, osteoarthritis, sleep apnea, reproductive disorders such as polycystic ovarian syndrome, cancers of the breast, prostate, and colon, and increased incidence of complications of general anesthesia. It reduces life-span and carries a serious risk of co-morbidities above, as well disorders such as infections, varicose veins, acanthosis nigricans, eczema, exercise intolerance, insulin resistance, hypertension hypercholesterolemia, cholelithiasis, orthopedic injury, and thromboembolic disease. Obesity

5 is also a risk factor for the group of conditions called insulin resistance syndrome, or  
“Syndrome X.”

It has been shown that certain peptides that bind to the Y2 receptor when administered  
peripherally to a mammal induce weight loss. The Y2 receptor-binding peptides are  
neuropeptides that bind to the Y2 receptor. Neuropeptides are small peptides originating  
10 from large precursor proteins synthesized by peptidergic neurons and endocrine/paracrine  
cells. Often the precursors contain multiple biologically active peptides. There is great  
diversity of neuropeptides in the brain caused by alternative splicing of primary gene  
transcripts and differential precursor processing. The neuropeptide receptors serve to  
discriminate between ligands and to activate the appropriate signals. These Y2 receptor-  
15 binding peptides belong to a family of peptides including peptide YY (PYY), neuropeptide Y  
(NPY) and pancreatic peptide (PP).

NPY is a 36-amino acid peptide and is the most abundant neuropeptide to be  
identified in mammalian brain. NPY is an important regulator in both the central and  
20 peripheral nervous systems and influences a diverse range of physiological parameters,  
including effects on psychomotor activity, food intake, central endocrine secretion, and  
vasoactivity in the cardiovascular system. High concentrations of NPY are found in the  
sympathetic nerves supplying the coronary, cerebral, and renal vasculature and have  
contributed to vasoconstriction. NPY binding sites have been identified in a variety of tissues,  
25 including spleen, intestinal membranes, brain, aortic smooth muscle, kidney, testis, and  
placenta.

Neuropeptide Y (NPY) receptor pharmacology is currently defined by structure activity  
relationships within the pancreatic polypeptide family. This family includes NPY, which is  
30 synthesized primarily in neurons; PYY, which is synthesized primarily by endocrine cells in  
the gut; and PP, which is synthesized primarily by endocrine cells in the pancreas. These  
approximately 36 amino acid peptides have a compact helical structure involving a "PP-fold"  
in the middle of the peptide. Specific features include a polyproline helix in residues 1  
through 8, a  $\beta$ -turn in residues 9 through 14, an  $\alpha$ -helix in residues 15 through 30, an  
35 outward-projecting C-terminus in residues 30 through 36, and a carboxyl terminal amide,  
which appears to be critical for biological activity. The peptides have been used to define at  
least five receptor subtypes known as Y1, Y2, Y3, Y4 and Y5. Y1 receptor recognition by  
NPY involves both N- and C-terminal regions of the peptide; exchange of Gln<sup>34</sup> with Pro<sup>34</sup> is  
fairly well tolerated. Y2 receptor recognition by NPY depends primarily upon the four C-

terminal residues of the peptide (Arg<sup>33</sup> - Gln<sup>34</sup> - Arg<sup>35</sup> - Tyr<sup>36</sup> -NH<sub>2</sub>) preceded by an amphipathic  $\alpha$ -helix ; exchange of Gln<sup>34</sup> with Pro<sup>34</sup> is not well tolerated. One of the key pharmacological features which distinguish Y1 and Y2 is the fact that the Y2 receptor (and not the Y1 receptor) has high affinity for the NPY peptide carboxyl-terminal fragment NPY-(13-36) and the PYY fragment PYY(22-36).

It has been shown that a 36 amino acid peptide called Peptide YY(1-36) [PYY(1-36)] [YPIKPEAPGEDASPEELNRYRYYASLRHYLNLVTRQRY, SEQ ID NO.: 1], when administered peripherally by injection to an individual produces weight loss and thus can be used as a drug to treat obesity and related diseases, Morley, J. *Neuropsychobiology* 21:22-30 (1989). It was later found that to produce this effect PYY bound to a Y2 receptor, and the binding of a Y2 agonist to the Y2 receptor caused a decrease in the ingestion of carbohydrate, protein and meal size, Leibowitz, S.F. *et al. Peptides*, 12: 1251-1260 (1991). An alternate molecular form of **PYY** is PYY(3-36) IKPEAPGEDASPEELNRYRYYASLRHYLNLVTRQRY [SEQ ID NO.: 2], Eberlein, Eysselein *et al. Peptides* 10: 797-803, 1989). This fragment constitutes approximately 40% of total **PYY**-like immunoreactivity in human and canine intestinal extracts and about 36% of total plasma **PYY** immunoreactivity in a fasting state to slightly over 50% following a meal. It is apparently a dipeptidyl peptidase-IV (DPP4) cleavage product of **PYY**. PYY3-36 is reportedly a selective ligand at the Y2 and Y5 receptors, which appear pharmacologically unique in preferring N-terminally truncated (i.e. C-terminal fragments of) NPY analogs. It has also been shown that a PYY fragment having only residues 22-36 will still bind to the Y2 receptor. However, if any of the carboxyl terminus of the peptide is cleaved, the peptide loses its ability to bind to the Y2 receptor. Hence a PYY agonist is a peptide, which has a partial sequence of full-length PYY and is able to bind to a Y2 receptor in the arcuate nucleus of the hypothalamus. Hereinafter the term PYY refers to full-length PYY and any fragment of PYY that binds to a Y2 receptor.

It is known that PYY and PYY3-36 can be administered by intravenous infusion or injection to treat life-threatening hypotension as encountered in shock, especially that caused by endotoxins (US Patent 4,839,343), to inhibit proliferation of pancreatic tumors in mammals by perfusion, parenteral, intravenous, or subcutaneous administration, and by implantation (US Patent 5,574,010) and to treat obesity (Morley, J. *Neuropsychobiology* 21:22-30 (1989) and US Patent Application 20020141985). It is also claimed that PYY can be administered by parenteral, oral, nasal, rectal and topical routes to domesticated animals or humans in an amount effective to increase weight gain of said subject by enhancing gastrointestinal absorption of a sodium-dependent cotransported nutrient (US Patent

5 5,912,227). However, for the treatment of obesity and related diseases, including diabetes,  
the mode of administration has been limited to intravenous IV infusion with no effective  
formulations optimized for alternative administration of PYY3-36. None of these prior art  
teachings provide formulations that contain PYY or PYY(3-36) combined with excipients  
designed to enhance mucosal (i.e., nasal, buccal, oral) delivery nor do they teach the value of  
10 endotoxin-free Y2-receptor binding peptide formulations for non-infused administration.  
Thus, there is a need to develop formulations and methods for administering PYY3-36.

The generation of aerosol formulations can enhance absorption of formulations on mucosal  
(nasal, buccal, oral, vaginal and rectal) surfaces as well as skin surfaces. Review: O’Riordan  
15 TG. Formulations and Nebulizer performance. Respir Care 2002 Nov; 47(11): 1305-12;  
discussion 1312-3.

However, the physical forces associated with droplet formation often destroys or denatures  
proteins and peptides. For example, recombinant human deoxyribonuclease (rhDNase) was  
20 substantially denatured during processing as shown by the significantly reduced monomer  
content. Similarly, albumin was affected by processing and only 50-75% of the monomer  
was retained compared with 86% in the original material. Bustami RT, Chan HK, Dehghani  
F, Foster NR. Generation of micro-particles of proteins for aerosol delivery using high  
pressure modified carbon dioxide. Pharm Res. 2000 Nov;17(11):1360-6.

25 The physical stability of a peptide hormone human growth hormone (hGH) formulation upon  
exposure to air/water interfaces (with vortex mixing) has been investigated. The effect of this  
stress on the formation of soluble and insoluble aggregates has been studied. The aggregates  
were characterized and quantified by size exclusion-HPLC and UV spectrophotometry.  
30 Vortex mixing of hGH solutions (0.5 mg/mL) in phosphate buffer, pH 7.4, for just 1 min  
caused 67% of the drug to precipitate as insoluble aggregates. These aggregates were  
noncovalent in nature. Katakam M, Bell LN, Banga AK. J Pharm Sci. 1995 Jun;84(6):713-  
6.

### 35 Summary of the Invention

The present invention fulfills the foregoing needs and satisfies additional objects and  
advantages by providing novel, effective methods and compositions for mucosal, especially  
intranasal, delivery of a Y2 receptor-binding peptide such as PYY, Pancreatic Peptide (PP)  
40 and NPY, to treat obesity, induce satiety in an individual and to promote weight-loss in an



5 individual and prevent or cure diabetes. In certain aspects of the invention, the Y2 receptor-binding peptide is delivered in formulations to the intranasal mucosa so as to be able to increase the concentration of the Y2 receptor-binding peptide by at least 5 pmol, preferably by at least 10 pmol, in the blood plasma of a mammal when a dose of the formulations of the Y2 receptor agonist is administered intranasally. Furthermore preferred formulations would  
10 be able to raise the concentration of the Y2 receptor-binding peptide in the plasma of a mammal by 10 pmol, preferably 20 pmol, when the Y2 receptor-binding peptide is administered intranasally. When 150 µg is administered intranasally the preferred formulation would be able to raise the concentration of the Y2 receptor agonist in the plasma of the mammal by at least 40 pmol per liter of plasma. When 200 µg of the Y2 receptor-binding peptide is administered intranasally, the formulations of the present invention induce  
15 at least 80 pmol, per liter of plasma increase of the Y2 receptor-binding peptide. In preferred embodiments, the elevated concentrations of the Y2-receptor-binding peptide remains elevated in the plasma of the mammal for at least 30 minutes, preferably at least 60 minutes following a single intranasal dose of the Y2 receptor-binding peptide.

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Preferably the Y2 receptor-binding peptide is a PP, PYY or NPY peptide and the mammal is a human. In a most preferred embodiment the Y2 receptor-binding peptide is a PYY peptide, preferably PYY(3-36) and the mammal is human.

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The present invention is also related to a Y2 receptor-binding peptide formulation that is able to raise the concentration of the Y2 receptor-binding peptide in the blood plasma of a mammal by at least 5 pM when a dose containing at least 50 µg of the Y2 receptor-binding peptide is administered to the mammal. In preferred embodiments, the elevated concentrations of the Y2-receptor-binding peptide remains elevated in the plasma of  
30 the mammal for at least 30 minutes, preferably at least 60 minutes following a single intranasal dose of the Y2 receptor-binding peptide.

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The present invention is also related to a Y2 receptor-binding peptide formulation that is able to raise the concentration of the Y2 receptor-binding peptide in the blood-plasma of a mammal by at least 20 pM when a dose containing at least 100 µg of the Y2 receptor-binding

5 peptide is administered to the mammal. In preferred embodiments, the elevated concentrations of the Y2-receptor-binding peptide remains elevated in the plasma of the mammal for at least 30 minutes, preferably at least 60 minutes following a single intranasal dose of the Y2 receptor-binding peptide.

10 The present invention is also related to a Y2 receptor-binding peptide formulation that when administered intranasally to a mammal is able to raise the concentration of the Y2 receptor-binding peptide in blood plasma of the mammal by at least 30 pM when a dose containing at least 150 µg of the Y2 receptor-binding peptide is administered. In preferred  
15 embodiments, the elevated concentrations of the Y2-receptor-binding peptide remains elevated in the plasma of the mammal for at least 30 minutes, preferably at least 60 minutes following a single intranasal dose of the Y2 receptor-binding peptide. Preferably the mammal is a human.

The present invention is also related to a Y2 receptor-binding peptide formulation that  
20 when administered intranasally to a mammal is able to raise the concentration of the Y2 receptor-binding peptide by at least 60 pM when a dose containing at least 200 µg is administered to the mammal. In preferred embodiments, the elevated concentrations of the Y2-receptor-binding peptide remains elevated in the plasma of the mammal for at least 30 minutes, preferably at least 60 minutes following a single intranasal dose of the Y2 receptor-  
25 binding peptide. Preferably the mammal is a human.

The present invention is also directed to an intranasal formulation of a Y2 receptor-agonist that is substantially free of proteins or polypeptides that stabilize the formulation. In particular, the preferred formulation is free of such proteins as albumin, and collagen-derived  
30 proteins such as gelatin.

In other aspects of the present invention a transmucosal Y2 receptor-binding peptide formulation is comprised of a Y2 receptor-binding peptide, water and a solubilizing agent having a pH of 3-6.5. In a preferred embodiment, the solubilization agent is a cyclodextrin.  
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5 In another embodiment of the present invention a transmucosal Y2 receptor-binding peptide formulation is comprised of a Y2 receptor-binding peptide, water, a solubilizing agent, preferably a cyclodextrin, and at least one polyol, preferably 2 polyols. In alternate embodiments the formulation may contain one or all of the following: a chelating agent, a surface-acting agent and a buffering agent.

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In another embodiment of the present invention the formulation is comprised of a Y2 receptor-binding peptide, water, chelating agent and a solubilization agent.

15 In another embodiment of the present invention the formulation is comprised of a Y2 receptor-binding peptide, water and a chelating agent having a pH of 3-6.5.

In another embodiment of the present invention the formulation is comprised of a Y2 receptor-binding peptide, water, chelating agent and at least one polyol, preferably two polyols. Additional embodiments may include one or more of the following: a surface-active agent, a solubilizing agent and a buffering agent.

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In another embodiment of the present invention the formulation is comprised of a Y2 receptor-binding peptide, water, and at least two polyols, such as lactose and sorbitol. Additional agents, which can be added to the formulation, include, but are not limited to, a solubilization agent, a chelating agent, one or more buffering agents and a surface-acting agent.

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The enhancement of intranasal delivery of a Y2 receptor-binding peptide agonist according to the methods and compositions of the invention allows for the effective pharmaceutical use of these agents to treat a variety of diseases and conditions in mammalian subjects.

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The present invention fills this need by providing for a liquid or dehydrated Y2 receptor-binding peptide formulation wherein the formulation is substantially free of a stabilizer that is a polypeptide or a protein. The liquid PYY formulation is comprised of water, PYY and at least one of the following additives selected from the group consisting of

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- 5 polyols, surface-active agents, solubilizing agents and chelating agents. The pH of the formulation is preferably 3 to about 7.0, referably 4.5 to about 6.0, most preferably about  $5.0 \pm .03$ .

10 Another embodiment of the present invention is an aqueous Y2 receptor-binding formulation of the present invention is comprised of water, a Y2 receptor-binding peptide, a polyol and a surface-active agent wherein the formulation has a pH of about 3.0 to about 6.5, and the formulation is substantially free of a stabilizer that is a protein or polypeptide.

15 Another embodiment of the present invention is an aqueous Y2 receptor-binding peptide formulation comprised of water, Y2 receptor-binding peptide, a polyol and a solubilizing agent wherein the formulation has a pH of about 3.0 to about 6.5, and the formulation is substantially free of a stabilizer that is a protein or polypeptide.

20 Another embodiment of the present invention is an aqueous Y2 receptor-binding peptide formulation comprised of water, Y2 receptor-binding peptide, a solubilizing agent and a surface-active agent wherein the formulation has a pH of about 3.0 to about 6.5, and the formulation is substantially free of a stabilizer that is a protein or polypeptide.

25 Another embodiment of the invention is a aqueous Y2 receptor-binding peptide formulation comprised of water, a Y2 receptor-binding peptide, a solubilizing agent, a polyol and a surface-active agent wherein the formulation has a pH of about 3.0 to about 6.5, and the formulation is substantially free of a stabilizer that is a protein or polypeptide.

30 In another aspect of the present invention, the stable aqueous formulation is dehydrated to produce a dehydrated Y2 receptor-binding peptide formulation comprised of Y2 receptor-binding peptide and at least one of the following additives selected from the group consisting of polyols, surface-active agents, solubilizing agents and chelating agents, wherein said dehydrated Y2 receptor-binding peptide formulation is substantially free of a stabilizer that is a protein or polypeptide such as albumin, collagen or collagen-derived  
35 protein such as gelatin. The dehydration can be achieved by various means such as lyophilization, spray-drying, salt-induced precipitation and drying, vacuum drying, rotary evaporation, or supercritical CO<sub>2</sub> precipitation.

5           In one embodiment, the dehydrated Y2 receptor-binding peptide is comprised of Y2 receptor-binding peptide, a polyol and a solubilizing agent, wherein the formulation is substantially free of a stabilizer that is a protein.

10           In another embodiment, the dehydrated Y2 receptor-binding peptide formulation is comprised of a Y2 receptor-binding peptide, a polyol, and a surface-active agent wherein the Y2 receptor-binding peptide formulation is substantially free of a stabilizer that is a protein or polypeptide.

15           In another embodiment, the dehydrated Y2 receptor-binding peptide formulation is comprised of a Y2 receptor-binding peptide, a surface-active agent, and a solubilizing agent wherein the Y2 receptor-binding peptide formulation is substantially free of a stabilizer that is a protein or polypeptide.

20           In another embodiment of the present invention, the dehydrated Y2 receptor-binding peptide formulation is comprised of a Y2 receptor-binding peptide, a polyol, a surface-active agent and a solubilizing agent wherein the Y2 receptor-binding peptide formulation is substantially free of a stabilizer that is a protein or polypeptide.

25           Any solubilizing agent can be used but a preferred one is selected from the group consisting of hydroxypropyl- $\beta$ -cyclodextran, sulfobutylether- $\beta$ -cyclodextran, methyl- $\beta$ -cyclodextrin and chitosan.

30           Generally a polyol is selected from the group consisting of lactose, sorbitol, trehalose, sucrose, mannose and maltose and derivatives and homologs thereof.

35           A satisfactory surface-active agent is selected from the group consisting of L- $\alpha$ -phosphatidylcholine didecanoyl (DDPC), polysorbate 20 (Tween 20), polysorbate 80 (Tween 80), polyethylene glycol (PEG), cetyl alcohol, polyvinylpyrrolidone (PVP), polyvinyl alcohol (PVA), lanolin alcohol, and sorbitan monooleate.

40           In a preferred formulation, the Y2 receptor-binding peptide formulation is also comprised of a chelating agent such as ethylene diamine tetraacetic acid (EDTA) or ethylene glycol tetraacetic acid (EGTA). Also a preservative such as chlorobutanol or benzylkonium chloride can be added to the formulation to inhibit microbial growth.

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The pH is generally regulated using a buffer such as sodium citrate and citric acid, and sodium acetate and acetic acid. An alternative buffer would be acetic acid and sodium acetate or succinic acid and sodium hydroxide.

10 The preferred Y2 receptor-binding peptide is a PYY, PP or NPY peptide, preferably a PYY(3-36) peptide.

The present invention also comprehends a formulation wherein the concentration of the Y2 receptor-binding peptide is 0.1 – 15.0 mg/mL, preferably 1.0 – 2 mg/mL and the pH  
15 of the aqueous solution is 3.0 – 6.5 preferably about  $5.0 \pm 0.3$ .

The present invention further includes Y2 receptor-binding peptide formulation wherein the concentration of the polyol is between about 0.1% and 10% (w/v) and additionally wherein the concentration of the polyol is in the range from about 0.1% to about  
20 3% (w/v).

The instant invention also includes a formulation, wherein the concentration of the surface-active agent is between about 0.00001% and about 5%(w/v), and wherein the concentration of the surface-active agent is between about 0.0002% and about 0.1% (w/v).  
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The instant invention also includes a formulation, wherein the concentration of the solubilization agent is 1% - 10% (w/v), and wherein the concentration of the solubilizing agent is 2% to 5% (w/v).

30 The finished solution can be filtered and freeze-dried, lyophilized, using methods well known to one of ordinary skill in the art, and by following the instructions of the manufacturer of the lyophilizing equipment. This produces a dehydrated Y2 receptor-binding peptide formulation substantially free of a stabilizer that is a protein.

35 In another embodiment of the present invention, a Y2 receptor-binding peptide formulation is comprised of an Y2 receptor-binding peptide and a pharmaceutically acceptable carrier wherein the Y2 receptor-binding peptide formulation has at least 1%, preferably 3% and most preferably at least 6% higher permeation in an *in vitro* tissue permeation assay than a control formulation consisting of water, sodium chloride, a buffer  
40 and the Y2 receptor-binding peptide, as determined by the transepithelial electrical resistance

5 assay shown in Examples 2 & 7. In a preferred embodiment, the Y2 receptor-binding formulation is further comprised of at least one excipient selected from the group consisting of a surface-active agent, a solubilization agent, a polyol, and a chelating agent. Preferably the Y2 receptor-binding peptide is a PYY peptide, an NPY peptide or a PP peptide.

10 In another embodiment of the present invention a Y2 receptor-binding peptide formulation is provided that is capable of raising the concentration of the Y2 receptor-binding peptide in the plasma of a mammal by at least 5 preferably 10, 20 40, 60, 80 or more pmoles per liter of plasma when 100  $\mu$ L of the formulation is administered intranasally to said mammal.

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In exemplary embodiments, the enhanced delivery methods and compositions of the present invention provide for therapeutically effective mucosal delivery of the Y2 receptor-binding peptide agonist for prevention or treatment of obesity and eating disorders in mammalian subjects. In one aspect of the invention, pharmaceutical formulations suitable for intranasal administration are provided that comprise a therapeutically effective amount of a Y2 receptor-binding peptide and one or more intranasal delivery-enhancing agents as described herein, which formulations are effective in a nasal mucosal delivery method of the invention to prevent the onset or progression of obesity or eating disorders in a mammalian subject. Nasal mucosal delivery of a therapeutically effective amount of a Y2 receptor-binding peptide agonist and one or more intranasal delivery-enhancing agents yields elevated therapeutic levels of the Y2 receptor-binding peptide agonist in the subject and inhibits food intake in the mammalian subject, reducing symptoms of obesity or an eating disorder.

25 The enhanced delivery methods and compositions of the present invention provide for therapeutically effective mucosal delivery of a Y2 receptor-binding peptide for prevention or treatment of a variety of diseases and conditions in mammalian subjects. Y2 receptor-binding peptide can be administered via a variety of mucosal routes, for example by contacting the Y2 receptor-binding peptide to a nasal mucosal epithelium, a bronchial or pulmonary mucosal epithelium, the oral buccal surface or the oral and small intestinal mucosal surface. In exemplary embodiments, the methods and compositions are directed to or formulated for intranasal delivery (e.g., nasal mucosal delivery or intranasal mucosal delivery).

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In one aspect of the invention, pharmaceutical formulations suitable for intranasal administration are provided that comprise a therapeutically effective amount of a Y2 receptor-binding peptide agonist and one or more intranasal delivery-enhancing agents as described herein, which formulations are effective in a nasal mucosal delivery method of the invention to prevent the onset or progression of obesity, diabetes, cancer, or malnutrition or wasting related to cancer in a mammalian subject, or to alleviate one or more clinically well-recognized symptoms of obesity, as well as treating Alzheimer's disease, colon carcinoma, colon adenocarcinoma, pancreatic carcinoma, pancreatic adenocarcinoma, breast carcinoma.

15 In another aspect of the invention, pharmaceutical formulations and methods are directed to administration of a Y2 receptor-binding peptide agonist in combination with vitamin E succinate. A Y2 receptor-binding peptide agonist in combination with vitamin E succinate may be administered to alleviate symptoms or prevent the onset or lower the incidence or severity of cancer, for example, colon adenocarcinoma, pancreatic  
20 adenocarcinoma, or breast cancer.

In another aspect of this invention, it was surprisingly found that the use of endotoxin-free Y2 receptor binding peptides, for example PYY(3-36), produced increased mucosal delivery compared to peptides in which endotoxin is not removed. The use of endotoxin-free  
25 Y2 receptor peptides in pharmaceutical formulations is thus enabled for administration by non-infusion routes, including mucosal delivery, nasal, oral, pulmonary, vaginal, rectal and the like.

The foregoing mucosal Y2 receptor-binding peptide formulations and preparative and  
30 delivery methods of the invention provide improved mucosal delivery of a Y2 receptor-binding peptide to mammalian subjects. These compositions and methods can involve combinatorial formulation or coordinate administration of one or more Y2 receptor-binding peptides with one or more mucosal delivery-enhancing agents. Among the mucosal delivery-enhancing agents to be selected from to achieve these formulations and methods are (A)  
35 solubilization agents; (B) charge modifying agents; (C) pH control agents; (D) degradative



5 enzyme inhibitors; (E) mucolytic or mucus clearing agents; (F) ciliostatic agents; (G) membrane penetration-enhancing agents (e.g., (i) a surfactant, (ii) a bile salt, (iii) a phospholipid or fatty acid additive, mixed micelle, liposome, or carrier, (iv) an alcohol, (v) an enamine, (vi) an NO donor compound, (vii) a long-chain amphipathic molecule (viii) a small hydrophobic penetration enhancer; (ix) sodium or a salicylic acid derivative; (x) a glycerol ester of acetoacetic acid (xi) a cyclodextrin or beta-cyclodextrin derivative, (xii) a medium-chain fatty acid, (xiii) a chelating agent, (xiv) an amino acid or salt thereof, (xv) an N-acetylamino acid or salt thereof, (xvi) an enzyme degradative to a selected membrane component, (xvii) an inhibitor of fatty acid synthesis, (xviii) an inhibitor of cholesterol synthesis; or (xix) any combination of the membrane penetration enhancing agents of (i)-(xviii)); (H) modulatory agents of epithelial junction physiology, such as nitric oxide (NO) stimulators, chitosan, and chitosan derivatives; (I) vasodilator agents; (J) selective transport-enhancing agents; and (K) stabilizing delivery vehicles, carriers, supports or complex-forming species with which the Y2 receptor-binding peptide (s) is/are effectively combined, associated, contained, encapsulated or bound to stabilize the active agent for enhanced mucosal delivery.

In various embodiments of the invention, a Y2 receptor-binding peptide is combined with one, two, three, four or more of the mucosal delivery-enhancing agents recited in (A)-(K), above. These mucosal delivery-enhancing agents may be admixed, alone or together, with the Y2 receptor-binding peptide, or otherwise combined therewith in a pharmaceutically acceptable formulation or delivery vehicle. Formulation of a Y2 receptor-binding peptide with one or more of the mucosal delivery-enhancing agents according to the teachings herein (optionally including any combination of two or more mucosal delivery-enhancing agents selected from (A)-(K) above) provides for increased bioavailability of the Y2 receptor-binding peptide following delivery thereof to a mucosal surface of a mammalian subject.

Thus, the present invention is a method for suppressing appetite, promoting weight loss, decreasing food intake, or treating obesity and/or diabetes in a mammal comprising transmucosally administering a formulation comprised of a Y2 receptor-binding peptide, such that when at 50 µg of the Y2 receptor is administered transmucosally to the mammal the

- 5 concentration of the Y2 receptor-binding peptide in the plasma of the mammal increases by at least 5 pmol, preferably at least 10 pmol per liter of plasma. Examples of such formulations are described above.

The present invention further provides for the use of a Y2 receptor-binding peptide  
10 for the production of medicament for the transmucosal, administration of a Y2 receptor-binding peptide for suppressing appetite, promoting weight loss, decreasing food intake, or treating obesity in a mammal such that when about 50 µg of the Y2 receptor is administered transmucosally to the mammal the concentration of the Y2 receptor-binding peptide in the plasma of the mammal increases by at least 5 pmol per liter of plasma. When 100 µg of the  
15 Y2 receptor-binding peptide is administered intranasally to the mammal, the concentration of the Y2 receptor agonist increases by at least 20 pmol per liter of plasma in the mammal. When 150 µg is administered intranasally, the concentration of the Y2 receptor-binding peptide in blood plasma of the mammal increases by at least 30 pM. When 200 µg is administered intranasally, the concentration of the Y2 receptor-binding peptide in blood  
20 plasma of the mammal increases by at least 60 pM. In preferred embodiments, the elevated concentrations of the Y2-receptor-binding peptide remains elevated in the plasma of the mammal for at least 30 minutes, preferably at least 60 minutes following a single intranasal dose of the Y2 receptor-binding peptide. Preferably the mammal is a human.

25 A mucosally effective dose of peptide YY within the pharmaceutical formulations of the present invention comprises, for example, between about 0.001 pmol to about 100 pmol per kg body weight, between about 0.01 pmol to about 10 pmol per kg body weight, or between about 0.1 pmol to about 5 pmol per kg body weight. In further exemplary embodiments, dosage of peptide YY is between about 0.5 pmol to about 1.0 pmol per kg  
30 body weight. In a preferred embodiment an intranasal dose will range from 50 µg to 400 µg, preferably 100 µg to 200 µg, most preferably about 100 µg to 150 µg. The pharmaceutical formulations of the present invention may be administered one or more times per day (for example, before a meal), or 3 times per week or once per week for between one week and at least 96 weeks or even for the life of the individual patient or subject. In certain

5       embodiments, the pharmaceutical formulations of the invention are administered one or more times daily, two times daily, four times daily, six times daily, or eight times daily.

Intranasal delivery-enhancing agents are employed which enhance delivery of peptide YY into or across a nasal mucosal surface. For passively absorbed drugs, the relative  
 10       contribution of paracellular and transcellular pathways to drug transport depends upon the pKa, partition coefficient, molecular radius and charge of the drug, the pH of the luminal environment in which the drug is delivered, and the area of the absorbing surface. The intranasal delivery-enhancing agent of the present invention may be a pH control agent. The pH of the pharmaceutical formulation of the present invention is a factor affecting absorption  
 15       of peptide YY via paracellular and transcellular pathways to drug transport. In one embodiment, the pharmaceutical formulation of the present invention is pH adjusted to between about pH 3.0 to 6.5. In a further embodiment, the pharmaceutical formulation of the present invention is pH adjusted to between about pH 3.0 to 5.0. In a further embodiment, the pharmaceutical formulation of the present invention is pH adjusted to between about pH  
 20       4.0 to 5.0. Generally, the pH is  $5.0 \pm 0.3$ .

The instant invention also describes the surprising ability to successfully aerosolize the Y2 receptor binding compound, PYY(3-36), from an aqueous formulation.

### **Brief Description of the Drawings**

25       **FIG. 1** shows the stability of PYY3-36 at high temperature (40° C) at various pHs from 3.0 to 7.4.

**FIG. 2** shows the data for TEER of permeability enhancers.

**FIG. 3** shows the cell viabilities of candidate PYY formulations.

30       **FIG. 4** shows the cytotoxic effects of candidate formulations. In figures 2-4

EN1 = PBS pH 5.0

EN2 = L-Arginine (10% w/v)

EN3 = Poly-L-Arginine (0.5% w/v)

EN4 = Gamma-Cyclodextrin (1% w/v)

35       EN5 = Alpha-Cyclodextrin (5% w/v)

EN6 = Methyl-Beta-Cyclodextrin (3% w/v)

EN7 = n-Capric Acid Sodium (0.075% w/v)

EN8 = Chitosan (0.5% w/v)

EN9 = L-Alpha-phosphatidilcholine didecanyl (3.5% w/v)

40       EN10 = S-Nitroso-N-acetylpenicillamine, (0.02% w/v)

- 5 EN11 = Palmitoyl-DL-Carnitine (0.5% w/v)  
EN12 = Pluronic-127 (0.3% w/v)  
EN13 = Sodium Nitroprusside (0.3% w/v)  
EN14 = Sodium Glycocholate (1% w/v)
- 10 **FIG. 5** shows the synergistic contributions of the various components on drug permeation. In figure 5 EN1 is DDPC, EN2 is methyl- $\beta$ -cyclodextrin, and EX1 is EDTA.  
**FIG. 6** shows the PYY3-36 in the plasma of rats, the square represent a dose of 4.1  $\mu\text{g/kg}$ , the triangle represents a dose of 41  $\mu\text{g/kg}$ , and the circle represent a dose of 205  $\mu\text{g/kg}$ .  
**FIG. 7** shows dose linearity following intranasal administration PYY3-36 in rats as Cmax-  
15 Cbas pg/mL v. dose as  $\mu\text{g/kg}$ .  
**FIG. 8** shows dose linearity following intranasal administration of PYY3-36 in rats as AUC v. dose as  $\mu\text{g/kg}$ .  
**FIG. 9** shows the average plasma concentration of PYY v. time in minutes in three human volunteers who were each administered 20  $\mu\text{g}$  of PYY(3-36) intranasally.  
20 **FIG. 10** shows the average plasma concentration of PYY v. time in minutes in three human volunteers who were each administered 50  $\mu\text{g}$  of PYY(3-36) intranasally.  
**FIG. 11** shows the average plasma concentration of PYY v. time in minutes in three human volunteers who were each administered 100  $\mu\text{g}$  of PYY(3-36) intranasally.  
**FIG. 12** shows the average plasma concentration of PYY v. time in minutes in three human  
25 volunteers who were each administered 150  $\mu\text{g}$  of PYY3-36 intranasally.  
**FIG. 13** shows the average plasma concentration of PYY v. time in minutes in three human volunteers who were each administered 200  $\mu\text{g}$  of PYY(3-36) intranasally.  
**FIG. 14** shows PYY plasma concentration as pmol/L v. time for five groups of healthy human volunteers who received intranasal PYY(3-36). The doses were 200  $\mu\text{g}$ , 150  $\mu\text{g}$ , 100  
30  $\mu\text{g}$ , 50  $\mu\text{g}$  and 20  $\mu\text{g}$  of PYY3-36.  
**FIG. 15** shows the dose linearity Cmax of PYY in pg/mL vs. dose of PYY(3-36) administered to human volunteers.  
**FIG. 16** shows the dose linearity PYY mean AUC in pg/mL vs. dose of PYY(3-36) administered to human volunteers.  
35 **FIG. 17** shows the visual analog scale (VAS) vs. dose of PYY(3-36) administered to the human volunteers. The question was: "How hungry are you?" The lower the score the less hungry an individual was on a 100 point scale.

5 **FIG. 18** shows the visual analog scale (VAS) vs. dose of PYY(3-36) administered to the human volunteers. The question was: "How much could you eat?" The lower the score the less hungry an individual was on a 100 point scale.

**FIG. 19** shows the visual analog scale (VAS) vs. dose of PYY(3-36) administered to the human volunteers. The question was: "How full do you feel?" The lower the score the less  
10 full an individual was on a 100 point scale.

**FIG. 20** shows the per cent permeation of PYY(3-36) containing endotoxin vs. endotoxin-free PYY(3-36).

**FIG. 21A** shows a nasal spray pump/actuator that is not engaged.

**FIG. 21B** shows the nasal spray pump/actuator that is engaged and expelling a spray plume.

15 **FIG 22** shows an example of a spray pattern of a PYY nasal spray of the present invention.

### **Detailed Description of the Invention**

As noted above, the present invention provides improved methods and compositions  
20 for mucosal delivery of Y2 receptor-binding peptide to mammalian subjects for treatment or prevention of a variety of diseases and conditions. Examples of appropriate mammalian subjects for treatment and prophylaxis according to the methods of the invention include, but are not restricted to, humans and non-human primates, livestock species, such as horses, cattle, sheep, and goats, and research and domestic species, including dogs, cats, mice, rats,  
25 guinea pigs, and rabbits.

In order to provide better understanding of the present invention, the following definitions are provided:

### 30 **Y2 RECEPTOR-BINDING PEPTIDES**

The Y2 receptor-binding peptides used in the mucosal formulations of the present invention include the pancreatic polypeptide family." as used herein, is comprised of three naturally occurring bioactive peptide families, PP, NPY, and PYY. Examples of Y2  
35 receptor-binding peptides and their uses are described in U.S. Patent No. 5,026,685; U.S. Patent No. 5,574,010; U.S. Patent No. 5,604,203; U.S. Patent No. 5,696,093; U.S. Patent No.

- 5 6,046,167; Gehlert *et.al.*, *Proc Soc Exp Biol Med* 218:7-22 (1998); Sheikh *et al.* *Am J Physiol*, 261:701-15(1991); Fournier *et al.*, *Mol Pharmacol* 45:93-101 (1994); Kirby *et al.*, *J Med Chem* 38:4579-4586 (1995); Rist *et al.*, *Eur J Biochem* 247: 1019-1028 (1997); Kirby *et al.*, *J Med Chem* 36:3802-3808 (1993); Grundemar *et al.*, *Regulatory Peptides* 62: 131-136 (1996); U.S. Patent No. 5,696,093 (examples of PYY agonists), U.S. Patent No. 6,046,167.
- 10 According to the present invention a Y2 receptor-binding peptide includes the free bases, acid addition salts or metal salts, such as potassium or sodium salts or the peptides Y2 receptor-binding peptides that have been modified by such processes as amidation, glycosylation, acylation, sulfation, phosphorylation, acetylation and cyclization, (U.S. Patent No. 6,093,692; and U.S. patent No. 6,225,445 and pegylation.

15

### PEPTIDE YY AGONISTS

- As used herein, "PYY" refers to PYY(1-36) in native-sequence or in variant form, as well as derivatives, fragments, and analogs of PYY from any source, whether natural,
- 20 synthetic, or recombinant. The PYY must be comprised at least the last 15 amino acid residues or analogues thereof of the PYY sequence, PYY(22- 36) (SEQ ID NO: 3). Other PYY peptides, which may be used are PYY(1-36) (SEQ ID NO: 1) PYY(3-36) SEQ ID NO: 2) PYY(4-36) (SEQ ID NO:4) PYY(5-36) (SEQ ID NO: 5), PYY(6-36) (SEQ ID NO:6), PYY(7-36) (SEQ ID NO:7) PYY(8-36) (SEQ ID NO: 8), PYY9-36 (SEQ ID NO: 9)
- 25 PYY(10-36) (SEQ ID NO: 10), PYY(11-36) (SEQ ID NO: 11), PYY(12-36) (SEQ ID NO: 12), PYY(13-36) (SEQ ID NO:13), PYY(14-36) (SEQ ID NO: 14), PYY(15-36) (SEQ ID NO: 15), PYY(16-36) (SEQ ID NO: 16), PYY(17-36) (SEQ ID NO: 17), PYY(18-36) (SEQ ID NO: 18), PYY(19-36) (SEQ ID NO: 19), PYY(20-36) (SEQ ID NO: 20) and PYY(21-36) (SEQ ID NO: 21). These peptides typically bind to the Y receptors in the brain and
- 30 elsewhere, especially the Y2 and/or Y5 receptors. Typically these peptides are synthesized in endotoxin-free or pyrogen-free forms although this is not always necessary.

- Other PYY peptides include those PYY peptides in which conservative amino acid residue changes have been made, for example, site specific mutation of a PYY peptide
- 35 including [Asp<sup>15</sup>] PYY(15-36) (SEQ ID NO: 90), [Thr<sup>13</sup>] PYY(13-36) (SEQ ID NO: 91),

5 [Val<sup>12</sup>] PYY(12-36)(SEQ ID NO: 92), [Glu<sup>11</sup>] PYY(11-36) (SEQ ID NO: 93), [Asp<sup>10</sup>] PYY(10-36) (SEQ ID NO: 94), [Val<sup>7</sup>] PYY(7-36) (SEQ ID NO: 95), [Asp<sup>6</sup>] PYY(6-36) (SEQ ID NO: 96), [Gln<sup>4</sup>] PYY(4-36) (SEQ ID NO: 97), [Arg<sup>4</sup>] PYY(4-36) (SEQ ID NO: 98), [Asn<sup>4</sup>] PYY(4-36) (SEQ ID NO: 99), [Val<sup>3</sup>] PYY(3-36) (SEQ ID NO: 100) and [Leu<sup>3</sup>] PYY(3-36) (SEQ ID NO: 101). Other PYY peptides include those peptides in which at least  
 10 two conservative amino acid residue changes have been made including [Asp<sup>10</sup>, Asp<sup>15</sup>] PYY(10-36) (SEQ ID NO: 102), [Asp<sup>6</sup>, Thr<sup>13</sup>] PYY(6-36) (SEQ ID NO: 103), [Asn<sup>4</sup>, Asp<sup>15</sup>] PYY(4-36) (SEQ ID NO: 104) and [Leu<sup>3</sup>, Asp<sup>10</sup>] PYY(3-36) (SEQ ID NO: 105). Also included are analogues of a PYY for example those disclosed in U.S. patents 5, 604,203 and 5,574,010; Balasubramaniam, *et al.*, *Peptide Research* 1: 32 (1988); Japanese Patent  
 15 Application 2,225,497 (1990); Balasubramaniam, *et al.*, *Peptides* 14: 1011, 1993; Grandt, *et al.*, *Reg. Peptides* 51: 151, (1994); PCT International Application 94/03380, U.S. patents 5, 604,203 and 5,574,010. These peptides typically bind to the Y receptors in the brain and elsewhere, especially the Y2 and/or Y5 receptors. Typically these peptides are synthesized in endotoxin-free or pyrogen-free forms although this is not always necessary.

20

PYY agonists include rat PYY (SEQ ID NO: 72) and the amino terminus truncated forms corresponding to the human, pig PYY (SEQ ID NO: 73) and the amino terminus truncated forms corresponding to the human and guinea pig PYY (SEQ ID NO: 74) and the amino terminus truncated forms corresponding to the human.

25

According to the present invention a PYY peptide also includes the free bases, acid addition salts or metal salts, such as potassium or sodium salts of the peptides, and PYY peptides that have been modified by such processes as amidation, glycosylation, acylation, sulfation, phosphorylation, acetylation, cyclization and other well known covalent  
 30 modification methods. These peptides typically bind to the Y receptors in the brain and elsewhere, especially the Y2 and/or Y5 receptors. Typically these peptides are synthesized in endotoxin-free or pyrogen-free forms although this is not always necessary.

### **NEUROPEPTIDE Y AGONISTS**

NPY is another Y2 receptor-binding peptide. NPY peptides include full-length NPY(1-36) (SEQ ID NO: 22) as well as well as fragments of NPY(1-36), which have been truncated at the amino terminus. To be effective in binding the Y2 receptor, the NPY agonist should have at least the last 11 amino acid residues at the carboxyl terminus, *i.e.*, be comprised of NPY(26-36) (SEQ ID NO: 23). Other examples of NPY agonists that bind to the Y2 receptor are NPY(3-36) (SEQ ID NO: 24), NPY(4-36) (SEQ ID NO: 25), NPY(5-36) (SEQ ID NO: 26), NPY(6-36) (SEQ ID NO: 27), NPY(7-36) (SEQ ID NO: 28), NPY(8-36) (SEQ ID NO: 29), NPY(9-36) (SEQ ID NO: 30), NPY(10-36) (SEQ ID NO: 31), NPY(11-36) (SEQ ID NO: 32), NPY(12-36) (SEQ ID NO: 33), NPY(13-36) (SEQ ID NO: 34), NPY(14-36) (SEQ ID NO: 35), NPY(15-36) (SEQ ID NO: 36), NPY(16-36) (SEQ ID NO: 37), NPY(17-36) (SEQ ID NO: 38), NPY(18-36) (SEQ ID NO: 39), NPY(19-36) (SEQ ID NO: 40), NPY(20-36) (SEQ ID NO: 41), NPY(21-36) (SEQ ID NO: 42), NPY(22-36) (SEQ ID NO: 43), NPY(23-36) (SEQ ID NO: 44), NPY(24-36) (SEQ ID NO: 45) and NPY(25-36) (SEQ ID NO: 46).

Other NPY agonists include rat NPY (SEQ ID NO: 75) and the amino terminus truncated forms from NPY(3-36) to NPY(26-36) as in the human form, rabbit NPY (SEQ ID NO: 76) and the amino terminus truncated forms from NPY(3-36) to NPY(26-36) as in the human form, dog NPY (SEQ ID NO: 77) and the amino terminus truncated forms NPY(3-36) to NPY(26-36) as in the human form, pig NPY (SEQ ID NO: 78) and the amino terminus truncated forms from NPY(3-36) to NPY(26-36) as in the human form, cow NPY (SEQ ID NO: 79) and the amino terminus truncated forms from NPY(3-36) to NPY26-36 as in the human form, sheep NPY (SEQ ID NO: 80) and the amino terminus truncated forms from NPY(3-36) to NPY(26-36) as in the human form and guinea pig (SEQ 81) and the amino terminus truncated forms from NPY(3-36) to NPY(26-36) as in the human form.

According to the present invention a NPY peptide also includes the free bases, acid additoin salts or metal salts, such as potassium or sodium salts of the peptides, and NPY



5 peptides that have been modified by such processes as amidation, glycosylation, acylation, sulfation, phosphorylation, acetylation, cyclization and other known covalent modification methods. These peptides typically bind to the Y receptors in the brain and elsewhere, especially the Y2 and/or Y5 receptors. Typically these peptides are synthesized in endotoxin-free or pyrogen-free forms although this is not always necessary.

10

### **Pancreatic Peptide**

Pancreatic Peptide (PP) and PP agonist also bind to the Y2 receptor. Examples of the PP agonists are the full-length PP(1-36) (SEQ ID NO: 47) and a number of PP fragments, which are truncated at the amino-terminus. To bind to the Y2 receptor the PP agonist must have the last 11 amino acid residues at the carboxyl-terminus, PP(26-36), (SEQ ID NO: 48). Examples of other PP, which bind to the Y2 receptor, are PP(3-36) (SEQ ID NO: 49), PP(4-36) (SEQ ID NO: 50), PP(5-36) (SEQ ID NO: 51), PP(6-36) (SEQ ID NO: 52), PP(7-36) (SEQ ID NO: 53), PP(8-36) (SEQ ID NO: 54), PP(9-36) (SEQ ID NO: 55), PP(10-36) (SEQ ID NO: 56), PP(11-36) (SEQ ID NO: 57), PP(12-36) (SEQ ID NO: 58), PP(13-36) (SEQ ID NO: 59), PP(14-36) (SEQ ID NO: 60), PP(15-36) (SEQ ID NO: 61), PP(16-36) (SEQ ID NO: 62), PP(17-36) (SEQ ID NO: 63), PP(18-36) (SEQ ID NO: 64), PP(19-36) (SEQ ID NO: 65), PP(20-36) (SEQ ID NO: 66), PP(21-36) (SEQ ID NO: 67), PP(22-36) (SEQ ID NO: 68), PP(23-36) (SEQ ID NO: 69), PP(24-36) (SEQ ID NO: 70) and PP(25-36) (SEQ ID NO: 71).

25

Other PP agonists include sheep PP (SEQ ID NO: 82) and the amino terminus truncated forms from PP(3-36) to PP(26-36) as in the human form, pig PP (SEQ ID NO: 83) and the amino terminus truncated forms from PP(3-36) to PP(26-36) as in the human form, dog PP (SEQ ID NO: 84) and the amino terminus truncated forms PP(3-36) to PP(26-36) as in the human form, cat PP (SEQ ID NO: 85) and the amino terminus truncated forms from PP(3-36) to PP(26-36) as in the human form, cow PP (SEQ ID NO: 86) and the amino terminus truncated forms from PP(3-36) to PP(26-36) as in the human form, rat PP (SEQ ID NO: 87) and the amino terminus truncated forms from PP(3-36) to PP(26-36) as in the human form, mouse (SEQ 88) and the amino terminus truncated forms from PP(3-36) to PP(26-36) as in the human form, and guinea pig PP (SEQ ID NO: 89).

35

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According to the present invention a PP peptide also includes the free bases, acid additoin salts or metal salts, such as potassium or sodium salts of the peptides, and PP peptides that have been modified by such processes as amidation, glycosylation, acylation, sulfation, phosphorylation, acetylation, cyclization, and other known covalent modification  
10 methods. These peptides typically bind to the Y receptors in the brain and elsewhere, especially the Y2 and/or Y5 receptors. Typically these peptides are synthesized in endotoxin-free or pyrogen-free forms although this is not always necessary.

### **Mucosal Delivery Enhancing Agents**

15 “Mucosal delivery enhancing agents” are defined as chemicals and other excipients that, when added to a formulation comprising water, salts and/or common buffers and Y2 receptor-binding peptide (the control formulation) produce a formulation that produces a significant increase in transport of Y2 receptor-binding peptide across a mucosa as measured by the maximum blood, serum, or cerebral spinal fluid concentration ( $C_{max}$ ) or by the area  
20 under the curve, AUC, in a plot of concentration versus time. A mucosa includes the nasal, oral, intestinal, buccal, bronchopulmonary, vaginal, and rectal mucosal surfaces and in fact includes all mucus-secreting membranes lining all body cavities or passages that communicate with the exterior. Mucosal delivery enhancing agents are sometimes called  
carriers.

25

### **Endotoxin-free formulation**

“Endotoxin-free formulation” means a formulation which contains a Y2-receptor-binding peptide and one or more mucosal delivery enhancing agents that is substantially free of endotoxins and/or related pyrogenic substances. Endotoxins include toxins that are  
30 confined inside a microorganism and are released only when the microorganisms are broken down or die. Pyrogenic substances include fever-inducing, thermostable substances (glycoproteins) from the outer membrane of bacteria and other microorganisms. Both of these substances can cause fever, hypotension and shock if administered to humans. Producing formulations that are endotoxin-free can require special equipment, expert artisians, and can  
35 be significantly more expensive than making formulations that are not endotoxin-free.

5 Because intravenous administration of NPY or PYY simultaneously with infusion of  
endotoxin in rodents has been shown to prevent the hypotension and even death associated  
with the administration of endotoxin alone (US Patent 4,839,343), producing endotoxin-free  
formulations of these therapeutic agents would not be expected to be necessary for non-  
parental (non-injected) administration.

10

#### **Non-infused Administration**

“Non-infused administration” means any method of delivery that does not involve an  
injection directly into an artery or vein, a method which forces or drives (typically a fluid)  
into something and especially to introduce into a body part by means of a needle, syringe or  
15 other invasive method. Non-infused administration includes subcutaneous injection,  
intramuscular injection, intraperitoneal injection and the non-injection methods of delivery to  
a mucosa.

#### **Treatment and Prevention of Obesity**

20

As noted above, the instant invention provides improved and useful methods and  
compositions for nasal mucosal delivery of a Y2 receptor-binding peptide to prevent and treat  
obesity in mammalian subjects. As used herein, prevention and treatment of obesity mean  
prevention of the onset or lowering the incidence or severity of clinical obesity by reducing  
food intake during meals and/or reducing body weight during administration or maintaining a  
25 reduced body weight following weight loss or before weight gain has occurred.

The instant invention provides improved and useful methods and compositions for  
nasal mucosal delivery of Y2 receptor-binding peptide to regions of the brain, for example,  
the hypothalamus or the proopiomelanocortin (POMC) and NPY arcuate neurons, to prevent  
30 and treat obesity in mammalian subjects. The Y2 receptor-binding peptide can also be  
administered in conjunction with a Y1 receptor antagonist such as dihydropyridine.

#### **Methods and Compositions of Delivery**

Improved methods and compositions for mucosal administration of Y2 receptor-  
35 binding peptide to mammalian subjects optimize Y2 receptor-binding peptide dosing

5 schedules. The present invention provides mucosal delivery of Y2 receptor-binding peptide formulated with one or more mucosal delivery-enhancing agents wherein Y2 receptor-binding peptide dosage release is substantially normalized and/or sustained for an effective delivery period of Y2 receptor-binding peptide release ranges from approximately 0.1 to 2.0 hours; 0.4 to 1.5 hours; 0.7 to 1.5 hours; or 0.8 to 1.0 hours; following mucosal  
10 administration. The sustained release of Y2 receptor-binding peptide achieved may be facilitated by repeated administration of exogenous Y2 receptor-binding peptide utilizing methods and compositions of the present invention.

### Compositions and Methods of Sustained Release

15 Improved compositions and methods for mucosal administration of Y2 receptor-binding peptide to mammalian subjects optimize Y2 receptor-binding peptide dosing schedules. The present invention provides improved mucosal (e.g., nasal) delivery of a formulation comprising Y2 receptor-binding peptide in combination with one or more mucosal delivery-enhancing agents and an optional sustained release-enhancing agent or  
20 agents. Mucosal delivery-enhancing agents of the present invention yield an effective increase in delivery, e.g., an increase in the maximal plasma concentration ( $C_{\max}$ ) to enhance the therapeutic activity of mucosally-administered Y2 receptor-binding peptide. A second factor affecting therapeutic activity of Y2 receptor-binding peptide in the blood plasma and CNS is residence time (RT). Sustained release-enhancing agents, in combination with  
25 intranasal delivery-enhancing agents, increase  $C_{\max}$  and increase residence time (RT) of Y2 receptor-binding peptide. Polymeric delivery vehicles and other agents and methods of the present invention that yield sustained release-enhancing formulations, for example, polyethylene glycol (PEG), are disclosed herein. The present invention provides an improved Y2 receptor-binding peptide delivery method and dosage form for treatment of symptoms  
30 related to obesity, colon cancer, pancreatic cancer, or breast cancer in mammalian subjects.

Within the mucosal delivery formulations and methods of the invention, the Y2 receptor-binding peptide is frequently combined or coordinately administered with a suitable  
35 carrier or vehicle for mucosal delivery. As used herein, the term "carrier" means a

5 pharmaceutically acceptable solid or liquid filler, diluent or encapsulating material. A water-  
containing liquid carrier can contain pharmaceutically acceptable additives such as acidifying  
agents, alkalizing agents, antimicrobial preservatives, antioxidants, buffering agents,  
chelating agents, complexing agents, solubilizing agents, humectants, solvents, suspending  
and/or viscosity-increasing agents, tonicity agents, wetting agents or other biocompatible  
10 materials. A tabulation of ingredients listed by the above categories, can be found in the *U.S.*  
*Pharmacopeia National Formulary*, 1857-1859, (1990). Some examples of the materials  
which can serve as pharmaceutically acceptable carriers are sugars, such as lactose, glucose  
and sucrose; starches such as corn starch and potato starch; cellulose and its derivatives such  
as sodium carboxymethyl cellulose, ethyl cellulose and cellulose acetate; powdered  
15 tragacanth; malt; gelatin; talc; excipients such as cocoa butter and suppository waxes; oils  
such as peanut oil, cottonseed oil, safflower oil, sesame oil, olive oil, corn oil and soybean  
oil; glycols, such as propylene glycol; polyols such as glycerin, sorbitol, mannitol and  
polyethylene glycol; esters such as ethyl oleate and ethyl laurate; agar; buffering agents such  
as magnesium hydroxide and aluminum hydroxide; alginic acid; pyrogen free water; isotonic  
20 saline; Ringer's solution, ethyl alcohol and phosphate buffer solutions, as well as other non  
toxic compatible substances used in pharmaceutical formulations. Wetting agents,  
emulsifiers and lubricants such as sodium lauryl sulfate and magnesium stearate, as well as  
coloring agents, release agents, coating agents, sweetening, flavoring and perfuming agents,  
preservatives and antioxidants can also be present in the compositions, according to the  
25 desires of the formulator. Examples of pharmaceutically acceptable antioxidants include  
water soluble antioxidants such as ascorbic acid, cysteine hydrochloride, sodium bisulfite,  
sodium metabisulfite, sodium sulfite and the like; oil-soluble antioxidants such as ascorbyl  
palmitate, butylated hydroxyanisole (BHA), butylated hydroxytoluene (BHT), lecithin,  
propyl gallate, alpha-tocopherol and the like; and metal-chelating agents such as citric acid,  
30 ethylenediamine tetraacetic acid (EDTA), sorbitol, tartaric acid, phosphoric acid and the like.  
The amount of active ingredient that can be combined with the carrier materials to produce a  
single dosage form will vary depending upon the particular mode of administration.

Within the mucosal delivery compositions and methods of the invention, various  
35 delivery-enhancing agents are employed which enhance delivery of Y2 receptor-binding

5 peptide into or across a mucosal surface. In this regard, delivery of Y2 receptor-binding peptide across the mucosal epithelium can occur “transcellularly” or “paracellularly”. The extent to which these pathways contribute to the overall flux and bioavailability of the Y2 receptor-binding peptide depends upon the environment of the mucosa, the physico-chemical properties the active agent, and on the properties of the mucosal epithelium. Paracellular  
10 transport involves only passive diffusion, whereas transcellular transport can occur by passive, facilitated or active processes. Generally, hydrophilic, passively transported, polar solutes diffuse through the paracellular route, while more lipophilic solutes use the transcellular route. Absorption and bioavailability (e.g., as reflected by a permeability coefficient or physiological assay), for diverse, passively and actively absorbed solutes, can  
15 be readily evaluated, in terms of both paracellular and transcellular delivery components, for any selected Y2 receptor-binding peptide within the invention. For passively absorbed drugs, the relative contribution of paracellular and transcellular pathways to drug transport depends upon the pKa, partition coefficient, molecular radius and charge of the drug, the pH of the luminal environment in which the drug is delivered, and the area of the absorbing surface.  
20 The paracellular route represents a relatively small fraction of accessible surface area of the nasal mucosal epithelium. In general terms, it has been reported that cell membranes occupy a mucosal surface area that is a thousand times greater than the area occupied by the paracellular spaces. Thus, the smaller accessible area, and the size- and charge-based discrimination against macromolecular permeation would suggest that the paracellular route  
25 would be a generally less favorable route than transcellular delivery for drug transport. Surprisingly, the methods and compositions of the invention provide for significantly enhanced transport of biotherapeutics into and across mucosal epithelia via the paracellular route. Therefore, the methods and compositions of the invention successfully target both paracellular and transcellular routes, alternatively or within a single method or composition.

30

As used herein, “mucosal delivery-enhancing agents” include agents which enhance the release or solubility (e.g., from a formulation delivery vehicle), diffusion rate, penetration capacity and timing, uptake, residence time, stability, effective half-life, peak or sustained concentration levels, clearance and other desired mucosal delivery characteristics (e.g., as  
35 measured at the site of delivery, or at a selected target site of activity such as the bloodstream

5 or central nervous system) of Y2 receptor-binding peptide or other biologically active compound(s). Enhancement of mucosal delivery can thus occur by any of a variety of mechanisms, for example by increasing the diffusion, transport, persistence or stability of Y2 receptor-binding peptide, increasing membrane fluidity, modulating the availability or action of calcium and other ions that regulate intracellular or paracellular permeation, solubilizing  
10 mucosal membrane components (e.g., lipids), changing non-protein and protein sulfhydryl levels in mucosal tissues, increasing water flux across the mucosal surface, modulating epithelial junctional physiology, reducing the viscosity of mucus overlying the mucosal epithelium, reducing mucociliary clearance rates, and other mechanisms.

15 As used herein, a “mucosally effective amount of Y2 receptor-binding peptide” contemplates effective mucosal delivery of Y2 receptor-binding peptide to a target site for drug activity in the subject that may involve a variety of delivery or transfer routes. For example, a given active agent may find its way through clearances between cells of the mucosa and reach an adjacent vascular wall, while by another route the agent may, either  
20 passively or actively, be taken up into mucosal cells to act within the cells or be discharged or transported out of the cells to reach a secondary target site, such as the systemic circulation. The methods and compositions of the invention may promote the translocation of active agents along one or more such alternate routes, or may act directly on the mucosal tissue or proximal vascular tissue to promote absorption or penetration of the active agent(s). The  
25 promotion of absorption or penetration in this context is not limited to these mechanisms.

As used herein “peak concentration ( $C_{\max}$ ) of Y2 receptor-binding peptide in a blood plasma”, “area under concentration vs. time curve (AUC) of Y2 receptor-binding peptide in a blood plasma”, “time to maximal plasma concentration ( $t_{\max}$ ) of Y2 receptor-binding peptide  
30 in a blood plasma” are pharmacokinetic parameters known to one skilled in the art. Laursen et al., Eur. J. Endocrinology, 135: 309-315, 1996. The “concentration vs. time curve” measures the concentration of Y2 receptor-binding peptide in a blood serum of a subject vs. time after administration of a dosage of Y2 receptor-binding peptide to the subject either by intranasal, intramuscular, subcutaneous, or other parenteral route of administration. “ $C_{\max}$ ” is  
35 the maximum concentration of Y2 receptor-binding peptide in the blood serum of a subject

- 5 following a single dosage of Y2 receptor-binding peptide to the subject. “ $t_{\max}$ ” is the time to reach maximum concentration of Y2 receptor-binding peptide in a blood serum of a subject following administration of a single dosage of Y2 receptor-binding peptide to the subject.

As used herein, “area under concentration vs. time curve (AUC) of Y2 receptor-binding peptide in a blood plasma” is calculated according to the linear trapezoidal rule and with addition of the residual areas. A decrease of 23% or an increase of 30% between two dosages would be detected with a probability of 90% (type II error  $\beta = 10\%$ ). The “delivery rate” or “rate of absorption” is estimated by comparison of the time ( $t_{\max}$ ) to reach the maximum concentration ( $C_{\max}$ ). Both  $C_{\max}$  and  $t_{\max}$  are analyzed using non-parametric methods. Comparisons of the pharmacokinetics of intramuscular, subcutaneous, intravenous and intranasal Y2 receptor-binding peptide administrations were performed by analysis of variance (ANOVA). For pair wise comparisons a Bonferroni-Holmes sequential procedure was used to evaluate significance. The dose-response relationship between the three nasal doses was estimated by regression analysis.  $P < 0.05$  was considered significant. Results are given as mean values  $\pm$  SEM.

While the mechanism of absorption promotion may vary with different mucosal delivery-enhancing agents of the invention, useful reagents in this context will not substantially adversely affect the mucosal tissue and will be selected according to the physicochemical characteristics of the particular Y2 receptor-binding peptide or other active or delivery-enhancing agent. In this context, delivery-enhancing agents that increase penetration or permeability of mucosal tissues will often result in some alteration of the protective permeability barrier of the mucosa. For such delivery-enhancing agents to be of value within the invention, it is generally desired that any significant changes in permeability of the mucosa be reversible within a time frame appropriate to the desired duration of drug delivery. Furthermore, there should be no substantial, cumulative toxicity, nor any permanent deleterious changes induced in the barrier properties of the mucosa with long-term use.

Within certain aspects of the invention, absorption-promoting agents for coordinate administration or combinatorial formulation with Y2 receptor-binding peptide of the



5 invention are selected from small hydrophilic molecules, including but not limited to, dimethyl sulfoxide (DMSO), dimethylformamide, ethanol, propylene glycol, and the 2-pyrrolidones. Alternatively, long-chain amphipathic molecules, for example, deacylmethyl sulfoxide, azone, sodium laurylsulfate, oleic acid, and the bile salts, may be employed to enhance mucosal penetration of the Y2 receptor-binding peptide. In additional aspects, 10 surfactants (e.g., polysorbates) are employed as adjunct compounds, processing agents, or formulation additives to enhance intranasal delivery of the Y2 receptor-binding peptide. Agents such as DMSO, polyethylene glycol, and ethanol can, if present in sufficiently high concentrations in delivery environment (e.g., by pre-administration or incorporation in a therapeutic formulation), enter the aqueous phase of the mucosa and alter its solubilizing 15 properties, thereby enhancing the partitioning of the Y2 receptor-binding peptide from the vehicle into the mucosa.

Additional mucosal delivery-enhancing agents that are useful within the coordinate administration and processing methods and combinatorial formulations of the invention 20 include, but are not limited to, mixed micelles; enamines; nitric oxide donors (e.g., S-nitroso-N-acetyl-DL-penicillamine, NOR1, NOR4--which are preferably co-administered with an NO scavenger such as carboxy-PITO or doclofenac sodium); sodium salicylate; glycerol esters of acetoacetic acid (e.g., glyceryl-1,3-diacetoacetate or 1,2-isopropylideneglycerine-3-acetoacetate); and other release-diffusion or intra- or trans-epithelial penetration-promoting 25 agents that are physiologically compatible for mucosal delivery. Other absorption-promoting agents are selected from a variety of carriers, bases and excipients that enhance mucosal delivery, stability, activity or trans-epithelial penetration of the Y2 receptor-binding peptide. These include, *inter alia*, cyclodextrins and  $\beta$ -cyclodextrin derivatives (e.g., 2-hydroxypropyl- $\beta$ -cyclodextrin and heptakis(2,6-di-O-methyl- $\beta$ -cyclodextrin). These 30 compounds, optionally conjugated with one or more of the active ingredients and further optionally formulated in an oleaginous base, enhance bioavailability in the mucosal formulations of the invention. Yet additional absorption-enhancing agents adapted for mucosal delivery include medium-chain fatty acids, including mono- and diglycerides (e.g., sodium caprate--extracts of coconut oil, Capmul), and triglycerides (e.g., amyloextrin, 35 Estaram 299, Miglyol 810).

5

The mucosal therapeutic and prophylactic compositions of the present invention may be supplemented with any suitable penetration-promoting agent that facilitates absorption, diffusion, or penetration of Y2 receptor-binding peptide across mucosal barriers. The penetration promoter may be any promoter that is pharmaceutically acceptable. Thus, in more detailed aspects of the invention compositions are provided that incorporate one or more penetration-promoting agents selected from sodium salicylate and salicylic acid derivatives (acetyl salicylate, choline salicylate, salicylamide, etc.); amino acids and salts thereof (e.g. monoaminocarboxylic acids such as glycine, alanine, phenylalanine, proline, hydroxyproline, etc.; hydroxyamino acids such as serine; acidic amino acids such as aspartic acid, glutamic acid, etc; and basic amino acids such as lysine etc—inclusive of their alkali metal or alkaline earth metal salts); and N-acetyl amino acids (N-acetylalanine, N-acetylphenylalanine, N-acetylserine, N-acetylglycine, N-acetyllysine, N-acetylglutamic acid, N-acetylproline, N-acetylhydroxyproline, etc.) and their salts (alkali metal salts and alkaline earth metal salts). Also provided as penetration-promoting agents within the methods and compositions of the invention are substances which are generally used as emulsifiers (e.g. sodium oleyl phosphate, sodium lauryl phosphate, sodium lauryl sulfate, sodium myristyl sulfate, polyoxyethylene alkyl ethers, polyoxyethylene alkyl esters, etc.), caproic acid, lactic acid, malic acid and citric acid and alkali metal salts thereof, pyrrolidonecarboxylic acids, alkylpyrrolidonecarboxylic acid esters, N-alkylpyrrolidones, proline acyl esters, and the like.

25

Within various aspects of the invention, improved nasal mucosal delivery formulations and methods are provided that allow delivery of Y2 receptor-binding peptide and other therapeutic agents within the invention across mucosal barriers between administration and selected target sites. Certain formulations are specifically adapted for a selected target cell, tissue or organ, or even a particular disease state. In other aspects, formulations and methods provide for efficient, selective endo- or transcytosis of Y2 receptor-binding peptide specifically routed along a defined intracellular or intercellular pathway. Typically, the Y2 receptor-binding peptide is efficiently loaded at effective concentration levels in a carrier or other delivery vehicle, and is delivered and maintained in a stabilized form, e.g., at the nasal mucosa and/or during passage through intracellular

35

5 compartments and membranes to a remote target site for drug action (e.g., the blood stream or a defined tissue, organ, or extracellular compartment). The Y2 receptor-binding peptide may be provided in a delivery vehicle or otherwise modified (e.g., in the form of a prodrug), wherein release or activation of the Y2 receptor-binding peptide is triggered by a physiological stimulus (e.g. pH change, lysosomal enzymes, etc.) Often, the Y2 receptor-  
10 binding peptide is pharmacologically inactive until it reaches its target site for activity. In most cases, the Y2 receptor-binding peptide and other formulation components are non-toxic and non-immunogenic. In this context, carriers and other formulation components are generally selected for their ability to be rapidly degraded and excreted under physiological conditions. At the same time, formulations are chemically and physically stable in dosage  
15 form for effective storage.

### **Peptide and Protein Analogs and Mimetics**

Included within the definition of biologically active peptides and proteins for use within the invention are natural or synthetic, therapeutically or prophylactically active,  
20 peptides (comprised of two or more covalently linked amino acids), proteins, peptide or protein fragments, peptide or protein analogs, and chemically modified derivatives or salts of active peptides or proteins. A wide variety of useful analogs and mimetics of Y2 receptor-binding peptide are contemplated for use within the invention and can be produced and tested for biological activity according to known methods. Often, the peptides or proteins of Y2  
25 receptor-binding peptide or other biologically active peptides or proteins for use within the invention are muteins that are readily obtainable by partial substitution, addition, or deletion of amino acids within a naturally occurring or native (e.g., wild-type, naturally occurring mutant, or allelic variant) peptide or protein sequence. Additionally, biologically active fragments of native peptides or proteins are included. Such mutant derivatives and fragments  
30 substantially retain the desired biological activity of the native peptide or proteins. In the case of peptides or proteins having carbohydrate chains, biologically active variants marked by alterations in these carbohydrate species are also included within the invention.

As used herein, the term “conservative amino acid substitution” refers to the general  
35 interchangeability of amino acid residues having similar side chains. For example, a

5 commonly interchangeable group of amino acids having aliphatic side chains is alanine, valine, leucine, and isoleucine; a group of amino acids having aliphatic-hydroxyl side chains is serine and threonine; a group of amino acids having amide-containing side chains is asparagine and glutamine; a group of amino acids having aromatic side chains is phenylalanine, tyrosine, and tryptophan; a group of amino acids having basic side chains is  
10 lysine, arginine, and histidine; and a group of amino acids having sulfur-containing side chains is cysteine and methionine. Examples of conservative substitutions include the substitution of a non-polar (hydrophobic) residue such as isoleucine, valine, leucine or methionine for another. Likewise, the present invention contemplates the substitution of a polar (hydrophilic) residue such as between arginine and lysine, between glutamine and asparagine, and between threonine and serine. Additionally, the substitution of a basic  
15 residue such as lysine, arginine or histidine for another or the substitution of an acidic residue such as aspartic acid or glutamic acid for another is also contemplated. Exemplary conservative amino acids substitution groups are: valine-leucine-isoleucine, phenylalanine-tyrosine, lysine-arginine, alanine-valine, and asparagine-glutamine. By aligning a peptide or protein analog optimally with a corresponding native peptide or protein, and by using appropriate assays, e.g., adhesion protein or receptor binding assays, to determine a selected biological activity, one can readily identify operable peptide and protein analogs for use within the methods and compositions of the invention. Operable peptide and protein analogs are typically specifically immunoreactive with antibodies raised to the corresponding native  
20 peptide or protein.

An approach for stabilizing solid protein formulations of the invention is to increase the physical stability of purified, e.g., lyophilized, protein. This will inhibit aggregation via hydrophobic interactions as well as via covalent pathways that may increase as proteins  
30 unfold. Stabilizing formulations in this context often include polymer-based formulations, for example a biodegradable hydrogel formulation/delivery system. As noted above, the critical role of water in protein structure, function, and stability is well known. Typically, proteins are relatively stable in the solid state with bulk water removed. However, solid therapeutic protein formulations may become hydrated upon storage at elevated humidities or  
35 during delivery from a sustained release composition or device. The stability of proteins

5 generally drops with increasing hydration. Water can also play a significant role in solid protein aggregation, for example, by increasing protein flexibility resulting in enhanced accessibility of reactive groups, by providing a mobile phase for reactants, and by serving as a reactant in several deleterious processes such as beta-elimination and hydrolysis.

10 Protein preparations containing between about 6% to 28% water are the most unstable. Below this level, the mobility of bound water and protein internal motions are low. Above this level, water mobility and protein motions approach those of full hydration. Up to a point, increased susceptibility toward solid-phase aggregation with increasing hydration has been observed in several systems. However, at higher water content, less aggregation is  
15 observed because of the dilution effect.

In accordance with these principles, an effective method for stabilizing peptides and proteins against solid-state aggregation for mucosal delivery is to control the water content in a solid formulation and maintain the water activity in the formulation at optimal levels. This  
20 level depends on the nature of the protein, but in general, proteins maintained below their “monolayer” water coverage will exhibit superior solid-state stability.

A variety of additives, diluents, bases and delivery vehicles are provided within the invention that effectively control water content to enhance protein stability. These reagents  
25 and carrier materials effective as anti-aggregation agents in this sense include, for example, polymers of various functionalities, such as polyethylene glycol, dextran, diethylaminoethyl dextran, and carboxymethyl cellulose, which significantly increase the stability and reduce the solid-phase aggregation of peptides and proteins admixed therewith or linked thereto. In some instances, the activity or physical stability of proteins can also be enhanced by various  
30 additives to aqueous solutions of the peptide or protein drugs. For example, additives, such as polyols (including sugars), amino acids, proteins such as collagen and gelatin, and various salts may be used.

Certain additives, in particular sugars and other polyols, also impart significant  
35 physical stability to dry, e.g., lyophilized proteins. These additives can also be used within

5 the invention to protect the proteins against aggregation not only during lyophilization but also during storage in the dry state. For example sucrose and Ficoll 70 (a polymer with sucrose units) exhibit significant protection against peptide or protein aggregation during solid-phase incubation under various conditions. These additives may also enhance the stability of solid proteins embedded within polymer matrices.

10

Yet additional additives, for example sucrose, stabilize proteins against solid-state aggregation in humid atmospheres at elevated temperatures, as may occur in certain sustained-release formulations of the invention. Proteins such as gelatin and collagen also serve as stabilizing or bulking agents to reduce denaturation and aggregation of unstable  
15 proteins in this context. These additives can be incorporated into polymeric melt processes and compositions within the invention. For example, polypeptide microparticles can be prepared by simply lyophilizing or spray drying a solution containing various stabilizing additives described above. Sustained release of unaggregated peptides and proteins can thereby be obtained over an extended period of time.

20

Various additional preparative components and methods, as well as specific formulation additives, are provided herein which yield formulations for mucosal delivery of aggregation-prone peptides and proteins, wherein the peptide or protein is stabilized in a substantially pure, unaggregated form using a solubilization agent. A range of components  
25 and additives are contemplated for use within these methods and formulations. Exemplary of these solubilization agents are cyclodextrins (CDs), which selectively bind hydrophobic side chains of polypeptides. These CDs have been found to bind to hydrophobic patches of proteins in a manner that significantly inhibits aggregation. . This inhibition is selective with respect to both the CD and the protein involved. Such selective inhibition of protein  
30 aggregation provides additional advantages within the intranasal delivery methods and compositions of the invention. Additional agents for use in this context include CD dimers, trimers and tetramers with varying geometries controlled by the linkers that specifically block aggregation of peptides and protein. Yet solubilization agents and methods for incorporation within the invention involve the use of peptides and peptide mimetics to selectively block  
35 protein-protein interactions. In one aspect, the specific binding of hydrophobic side chains

5 reported for CD multimers is extended to proteins via the use of peptides and peptide mimetics that similarly block protein aggregation. A wide range of suitable methods and anti-aggregation agents are available for incorporation within the compositions and procedures of the invention.

## 10 **Charge Modifying and pH Control Agents and Methods**

To improve the transport characteristics of biologically active agents (including Y2 receptor-binding peptide, other active peptides and proteins, and macromolecular and small molecule drugs) for enhanced delivery across hydrophobic mucosal membrane barriers, the invention also provides techniques and reagents for charge modification of selected  
15 biologically active agents or delivery-enhancing agents described herein. In this regard, the relative permeabilities of macromolecules is generally be related to their partition coefficients. The degree of ionization of molecules, which is dependent on the  $pK_a$  of the molecule and the pH at the mucosal membrane surface, also affects permeability of the molecules. Permeation and partitioning of biologically active agents, including Y2 receptor-  
20 binding peptide and analogs of the invention, for mucosal delivery may be facilitated by charge alteration or charge spreading of the active agent or permeabilizing agent, which is achieved, for example, by alteration of charged functional groups, by modifying the pH of the delivery vehicle or solution in which the active agent is delivered, or by coordinate administration of a charge- or pH-altering reagent with the active agent.

25 Consistent with these general teachings, mucosal delivery of charged macromolecular species, including Y2 receptor-binding peptide and other biologically active peptides and proteins, within the methods and compositions of the invention is substantially improved when the active agent is delivered to the mucosal surface in a substantially un-ionized, or  
30 neutral, electrical charge state.

Certain Y2 receptor-binding peptide and other biologically active peptide and protein components of mucosal formulations for use within the invention will be charge modified to yield an increase in the positive charge density of the peptide or protein. These modifications  
35 extend also to cationization of peptide and protein conjugates, carriers and other delivery

5 forms disclosed herein. Cationization offers a convenient means of altering the biodistribution and transport properties of proteins and macromolecules within the invention. Cationization is undertaken in a manner that substantially preserves the biological activity of the active agent and limits potentially adverse side effects, including tissue damage and toxicity.

10

### **Degradative Enzyme Inhibitory Agents and Methods**

Another excipient that may be included in a trans-mucosal preparation is a degradative enzyme inhibitor. Exemplary mucoadhesive polymer-enzyme inhibitor  
 15 complexes that are useful within the mucosal delivery formulations and methods of the invention include, but are not limited to: Carboxymethylcellulose-pepstatin (with anti-pepsin activity); Poly(acrylic acid)-Bowman-Birk inhibitor (anti-chymotrypsin); Poly(acrylic acid)-chymostatin (anti-chymotrypsin); Poly(acrylic acid)-elastatinal (anti-elastase); Carboxymethylcellulose-elastatinal (anti-elastase); Polycarbophil—elastatinal (anti-elastase);  
 20 Chitosan—antipain (anti-trypsin); Poly(acrylic acid)—bacitracin (anti-aminopeptidase N); Chitosan—EDTA (anti-aminopeptidase N, anti-carboxypeptidase A); Chitosan—EDTA—antipain (anti-trypsin, anti-chymotrypsin, anti-elastase). As described in further detail below, certain embodiments of the invention will optionally incorporate a novel chitosan derivative or chemically modified form of chitosan. One such novel derivative for use within the  
 25 invention is denoted as a  $\beta$ -[1→4]-2-guanidino-2-deoxy-D-glucose polymer (poly-GuD).

Any inhibitor that inhibits the activity of an enzyme to protect the biologically active agent(s) may be usefully employed in the compositions and methods of the invention. Useful enzyme inhibitors for the protection of biologically active proteins and peptides include, for  
 30 example, soybean trypsin inhibitor, pancreatic trypsin inhibitor, chymotrypsin inhibitor and trypsin and chymotrypsin inhibitor isolated from potato (*solanum tuberosum* L.) tubers. A combination or mixtures of inhibitors may be employed. Additional inhibitors of proteolytic enzymes for use within the invention include ovomucoid-enzyme, gabaxate mesylate, alpha1-antitrypsin, aprotinin, amastatin, bestatin, puromycin, bacitracin, leupepsin, alpha2-  
 35 macroglobulin, pepstatin and egg white or soybean trypsin inhibitor. These and other



5 inhibitors can be used alone or in combination. The inhibitor(s) may be incorporated in or bound to a carrier, e.g., a hydrophilic polymer, coated on the surface of the dosage form which is to contact the nasal mucosa, or incorporated in the superficial phase of the surface, in combination with the biologically active agent or in a separately administered (e.g., pre-administered) formulation.

10

The amount of the inhibitor, e.g., of a proteolytic enzyme inhibitor that is optionally incorporated in the compositions of the invention will vary depending on (a) the properties of the specific inhibitor, (b) the number of functional groups present in the molecule (which may be reacted to introduce ethylenic unsaturation necessary for copolymerization with  
15 hydrogel forming monomers), and (c) the number of lectin groups, such as glycosides, which are present in the inhibitor molecule. It may also depend on the specific therapeutic agent that is intended to be administered. Generally speaking, a useful amount of an enzyme inhibitor is from about 0.1 mg/ml to about 50 mg/ml, often from about 0.2 mg/ml to about 25 mg/ml, and more commonly from about 0.5 mg/ml to 5 mg/ml of the of the formulation (i.e.,  
20 a separate protease inhibitor formulation or combined formulation with the inhibitor and biologically active agent).

In the case of trypsin inhibition, suitable inhibitors may be selected from, e.g., aprotinin, BBI, soybean trypsin inhibitor, chicken ovomucoid, chicken ovoinhibitor, human  
25 pancreatic trypsin inhibitor, camostat mesilate, flavonoid inhibitors, antipain, leupeptin, p-aminobenzamidine, AEBSF, TLCK (tosyllysine chloromethylketone), APMSF, DFP, PMSF, and poly(acrylate) derivatives. In the case of chymotrypsin inhibition, suitable inhibitors may be selected from, e.g., aprotinin, BBI, soybean trypsin inhibitor, chymostatin, benzyloxycarbonyl-Pro-Phe-CHO, FK-448, chicken ovoinhibitor, sugar biphenylboronic  
30 acids complexes, DFP, PMSF,  $\beta$ -phenylpropionate, and poly(acrylate) derivatives. In the case of elastase inhibition, suitable inhibitors may be selected from, e.g., elastatinal, methoxysuccinyl-Ala-Ala-Pro-Val-chloromethylketone (MPCMK), BBI, soybean trypsin inhibitor, chicken ovoinhibitor, DFP, and PMSF.

5 Additional enzyme inhibitors for use within the invention are selected from a wide range of non-protein inhibitors that vary in their degree of potency and toxicity. As described in further detail below, immobilization of these adjunct agents to matrices or other delivery vehicles, or development of chemically modified analogues, may be readily implemented to reduce or even eliminate toxic effects, when they are encountered. Among this broad group  
10 of candidate enzyme inhibitors for use within the invention are organophosphorous inhibitors, such as diisopropylfluorophosphate (DFP) and phenylmethanesulfonyl fluoride (PMSF), which are potent, irreversible inhibitors of serine proteases (e.g., trypsin and chymotrypsin). The additional inhibition of acetylcholinesterase by these compounds makes them highly toxic in uncontrolled delivery settings. Another candidate inhibitor, 4-(2-Aminoethyl)-  
15 benzenesulfonyl fluoride (AEBSF), has an inhibitory activity comparable to DFP and PMSF, but it is markedly less toxic. (4-Aminophenyl)-methanesulfonyl fluoride hydrochloride (APMSF) is another potent inhibitor of trypsin, but is toxic in uncontrolled settings. In contrast to these inhibitors, 4-(4-isopropylpiperidinocarbonyl)phenyl 1, 2,3,4,-tetrahydro-1-naphthoate methanesulphonate (FK-448) is a low toxic substance, representing a potent and  
20 specific inhibitor of chymotrypsin. Further representatives of this non-protein group of inhibitor candidates, and also exhibiting low toxic risk, are camostat mesilate (N,N'-dimethyl carbamoylmethyl-p-(p'-guanidino-benzoyloxy)phenylacetate methane-sulphonate).

Yet another type of enzyme inhibitory agent for use within the methods and  
25 compositions of the invention are amino acids and modified amino acids that interfere with enzymatic degradation of specific therapeutic compounds. For use in this context, amino acids and modified amino acids are substantially non-toxic and can be produced at a low cost. However, due to their low molecular size and good solubility, they are readily diluted and absorbed in mucosal environments. Nevertheless, under proper conditions, amino acids can  
30 act as reversible, competitive inhibitors of protease enzymes. Certain modified amino acids can display a much stronger inhibitory activity. A desired modified amino acid in this context is known as a 'transition-state' inhibitor. The strong inhibitory activity of these compounds is based on their structural similarity to a substrate in its transition-state geometry, while they are generally selected to have a much higher affinity for the active site  
35 of an enzyme than the substrate itself. Transition-state inhibitors are reversible, competitive

5 inhibitors. Examples of this type of inhibitor are  $\alpha$ -aminoboronic acid derivatives, such as boro-leucine, boro-valine and boro-alanine. The boron atom in these derivatives can form a tetrahedral boronate ion that is believed to resemble the transition state of peptides during their hydrolysis by aminopeptidases. These amino acid derivatives are potent and reversible inhibitors of aminopeptidases and it is reported that boro-leucine is more than 100-times  
10 more effective in enzyme inhibition than bestatin and more than 1000-times more effective than puromycin. Another modified amino acid for which a strong protease inhibitory activity has been reported is N-acetylcysteine, which inhibits enzymatic activity of aminopeptidase N. This adjunct agent also displays mucolytic properties that can be employed within the methods and compositions of the invention to reduce the effects of the mucus diffusion  
15 barrier.

Still other useful enzyme inhibitors for use within the coordinate administration methods and combinatorial formulations of the invention may be selected from peptides and modified peptide enzyme inhibitors. An important representative of this class of inhibitors is  
20 the cyclic dodecapeptide, bacitracin, obtained from *Bacillus licheniformis*. In addition to these types of peptides, certain dipeptides and tripeptides display weak, non-specific inhibitory activity towards some protease. By analogy with amino acids, their inhibitory activity can be improved by chemical modifications. For example, phosphinic acid dipeptide analogues are also 'transition-state' inhibitors with a strong inhibitory activity towards  
25 aminopeptidases. They have reportedly been used to stabilize nasally administered leucine enkephalin. Another example of a transition-state analogue is the modified pentapeptide pepstatin, which is a very potent inhibitor of pepsin. Structural analysis of pepstatin, by testing the inhibitory activity of several synthetic analogues, demonstrated the major structure-function characteristics of the molecule responsible for the inhibitory activity.  
30 Another special type of modified peptide includes inhibitors with a terminally located aldehyde function in their structure. For example, the sequence benzyloxycarbonyl-Pro-Phe-CHO, which fulfills the known primary and secondary specificity requirements of chymotrypsin, has been found to be a potent reversible inhibitor of this target proteinase. The chemical structures of further inhibitors with a terminally located aldehyde function, e.g.  
35 antipain, leupeptin, chymostatin and elastatinal, are also known in the art, as are the structures

- 5 of other known, reversible, modified peptide inhibitors, such as phosphoramidon, bestatin, puromycin and amastatin.

Due to their comparably high molecular mass, polypeptide protease inhibitors are more amenable than smaller compounds to concentrated delivery in a drug-carrier matrix.

10 Additional agents for protease inhibition within the formulations and methods of the invention involve the use of complexing agents. These agents mediate enzyme inhibition by depriving the intranasal environment (or preparative or therapeutic composition) of divalent cations, which are co-factors for many proteases. For instance, the complexing agents EDTA and DTPA as coordinately administered or combinatorially formulated adjunct agents, in

15 suitable concentration, will be sufficient to inhibit selected proteases to thereby enhance intranasal delivery of biologically active agents according to the invention. Further representatives of this class of inhibitory agents are EGTA, 1,10-phenanthroline and hydroxyquinoline. In addition, due to their propensity to chelate divalent cations, these and other complexing agents are useful within the invention as direct, absorption-promoting

20 agents.

As noted in more detail elsewhere herein, it is also contemplated to use various polymers, particularly mucoadhesive polymers, as enzyme inhibiting agents within the coordinate administration, multi-processing and/or combinatorial formulation methods and

25 compositions of the invention. For example, poly(acrylate) derivatives, such as poly(acrylic acid) and polycarbophil, can affect the activity of various proteases, including trypsin, chymotrypsin. The inhibitory effect of these polymers may also be based on the complexation of divalent cations such as  $\text{Ca}^{2+}$  and  $\text{Zn}^{2+}$ . It is further contemplated that these polymers may serve as conjugate partners or carriers for additional enzyme inhibitory agents,

30 as described above. For example, a chitosan-EDTA conjugate has been developed and is useful within the invention that exhibits a strong inhibitory effect towards the enzymatic activity of zinc-dependent proteases. The mucoadhesive properties of polymers following covalent attachment of other enzyme inhibitors in this context are not expected to be substantially compromised, nor is the general utility of such polymers as a delivery vehicle

35 for biologically active agents within the invention expected to be diminished. On the

5 contrary, the reduced distance between the delivery vehicle and mucosal surface afforded by the mucoadhesive mechanism will minimize presystemic metabolism of the active agent, while the covalently bound enzyme inhibitors remain concentrated at the site of drug delivery, minimizing undesired dilution effects of inhibitors as well as toxic and other side effects caused thereby. In this manner, the effective amount of a coordinately administered  
10 enzyme inhibitor can be reduced due to the exclusion of dilution effects.

Exemplary mucoadhesive polymer-enzyme inhibitor complexes that are useful within the mucosal formulations and methods of the invention include, but are not limited to: Carboxymethylcellulose-pepstatin (with anti-pepsin activity); Poly(acrylic acid)-Bowman-  
15 Birk inhibitor (anti-chymotrypsin); Poly(acrylic acid)-chymostatin (anti-chymotrypsin); Poly(acrylic acid)-elastatinal (anti-elastase); Carboxymethylcellulose-elastatinal (anti-elastase); Polycarbophil—elastatinal (anti-elastase); Chitosan—antipain (anti-trypsin); Poly(acrylic acid)—bacitracin (anti-aminopeptidase N); Chitosan—EDTA (anti-aminopeptidase N, anti-carboxypeptidase A); Chitosan—EDTA—antipain (anti-trypsin, anti-  
20 chymotrypsin, anti-elastase).

### **Mucolytic and Mucus-Clearing Agents and Methods**

Effective delivery of biotherapeutic agents via intranasal administration must take into account the decreased drug transport rate across the protective mucus lining of the nasal  
25 mucosa, in addition to drug loss due to binding to glycoproteins of the mucus layer. Normal mucus is a viscoelastic, gel-like substance consisting of water, electrolytes, mucins, macromolecules, and sloughed epithelial cells. It serves primarily as a cytoprotective and lubricative covering for the underlying mucosal tissues. Mucus is secreted by randomly distributed secretory cells located in the nasal epithelium and in other mucosal epithelia. The  
30 structural unit of mucus is mucin. This glycoprotein is mainly responsible for the viscoelastic nature of mucus, although other macromolecules may also contribute to this property. In airway mucus, such macromolecules include locally produced secretory IgA, IgM, IgE, lysozyme, and bronchotransferrin, which also play an important role in host defense mechanisms.

35

5           The coordinate administration methods of the instant invention optionally incorporate effective mucolytic or mucus-clearing agents, which serve to degrade, thin or clear mucus from intranasal mucosal surfaces to facilitate absorption of intranasally administered biotherapeutic agents. Within these methods, a mucolytic or mucus-clearing agent is coordinately administered as an adjunct compound to enhance intranasal delivery of the  
10 biologically active agent. Alternatively, an effective amount of a mucolytic or mucus-clearing agent is incorporated as a processing agent within a multi-processing method of the invention, or as an additive within a combinatorial formulation of the invention, to provide an improved formulation that enhances intranasal delivery of biotherapeutic compounds by reducing the barrier effects of intranasal mucus.

15           A variety of mucolytic or mucus-clearing agents are available for incorporation within the methods and compositions of the invention. Based on their mechanisms of action, mucolytic and mucus clearing agents can often be classified into the following groups: proteases (e.g., pronase, papain) that cleave the protein core of mucin glycoproteins;  
20 sulfhydryl compounds that split mucoprotein disulfide linkages; and detergents (e.g., Triton X-100, Tween 20) that break non-covalent bonds within the mucus. Additional compounds in this context include, but are not limited to, bile salts and surfactants, for example, sodium deoxycholate, sodium taurodeoxycholate, sodium glycocholate, and lysophosphatidylcholine.

25           The effectiveness of bile salts in causing structural breakdown of mucus is in the order deoxycholate > taurocholate > glycocholate. Other effective agents that reduce mucus viscosity or adhesion to enhance intranasal delivery according to the methods of the invention include, e.g., short-chain fatty acids, and mucolytic agents that work by chelation, such as N-acylcollagen peptides, bile acids, and saponins (the latter function in part by chelating  $\text{Ca}^{2+}$   
30 and/or  $\text{Mg}^{2+}$  which play an important role in maintaining mucus layer structure).

          Additional mucolytic agents for use within the methods and compositions of the invention include N-acetyl-L-cysteine (ACS), a potent mucolytic agent that reduces both the viscosity and adherence of bronchopulmonary mucus and is reported to modestly increase  
35 nasal bioavailability of human growth hormone in anesthetized rats (from 7.5 to 12.2%).

5 These and other mucolytic or mucus-clearing agents are contacted with the nasal mucosa, typically in a concentration range of about 0.2 to 20 mM, coordinately with administration of the biologically active agent, to reduce the polar viscosity and/or elasticity of intranasal mucus.

10 Still other mucolytic or mucus-clearing agents may be selected from a range of glycosidase enzymes, which are able to cleave glycosidic bonds within the mucus glycoprotein.  $\alpha$ -amylase and  $\beta$ -amylase are representative of this class of enzymes, although their mucolytic effect may be limited. In contrast, bacterial glycosidases which allow these microorganisms to permeate mucus layers of their hosts.

15 For combinatorial use with most biologically active agents within the invention, including peptide and protein therapeutics, non-ionogenic detergents are generally also useful as mucolytic or mucus-clearing agents. These agents typically will not modify or substantially impair the activity of therapeutic polypeptides.

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### **Ciliostatic Agents and Methods**

Because the self-cleaning capacity of certain mucosal tissues (e.g., nasal mucosal tissues) by mucociliary clearance is necessary as a protective function (e.g., to remove dust, allergens, and bacteria), it has been generally considered that this function should not be substantially impaired by mucosal medications. Mucociliary transport in the respiratory tract is a particularly important defense mechanism against infections. To achieve this function, ciliary beating in the nasal and airway passages moves a layer of mucus along the mucosa to removing inhaled particles and microorganisms.

30 Ciliostatic agents find use within the methods and compositions of the invention to increase the residence time of mucosally (e.g., intranasally) administered Y2 receptor-binding peptide, analogs and mimetics, and other biologically active agents disclosed herein. In particular, the delivery these agents within the methods and compositions of the invention is significantly enhanced in certain aspects by the coordinate administration or combinatorial formulation of one or more ciliostatic agents that function to reversibly inhibit ciliary activity

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5 of mucosal cells, to provide for a temporary, reversible increase in the residence time of the mucosally administered active agent(s). For use within these aspects of the invention, the foregoing ciliostatic factors, either specific or indirect in their activity, are all candidates for successful employment as ciliostatic agents in appropriate amounts (depending on concentration, duration and mode of delivery) such that they yield a transient (i.e., reversible)  
10 reduction or cessation of mucociliary clearance at a mucosal site of administration to enhance delivery of Y2 receptor-binding peptide, analogs and mimetics, and other biologically active agents disclosed herein, without unacceptable adverse side effects.

Within more detailed aspects, a specific ciliostatic factor is employed in a combined  
15 formulation or coordinate administration protocol with one or more Y2 receptor-binding peptide proteins, analogs and mimetics, and/or other biologically active agents disclosed herein. Various bacterial ciliostatic factors isolated and characterized in the literature may be employed within these embodiments of the invention. Ciliostatic factors from the bacterium *Pseudomonas aeruginosa* include a phenazine derivative, a pyo compound (2-alkyl-4-hydroxyquinolines), and a rhamnolipid (also known as a hemolysin). The pyo compound  
20 produced ciliostasis at concentrations of 50  $\mu\text{g/ml}$  and without obvious ultrastructural lesions. The phenazine derivative also inhibited ciliary motility but caused some membrane disruption, although at substantially greater concentrations of 400  $\mu\text{g/ml}$ . Limited exposure of tracheal explants to the rhamnolipid resulted in ciliostasis, which was associated with  
25 altered ciliary membranes. More extensive exposure to rhamnolipid was associated with removal of dynein arms from axonemes.

### Surface Active Agents and Methods

Within more detailed aspects of the invention, one or more membrane penetration-enhancing agents may be employed within a mucosal delivery method or formulation of the  
30 invention to enhance mucosal delivery of Y2 receptor-binding peptide proteins, analogs and mimetics, and other biologically active agents disclosed herein. Membrane penetration enhancing agents in this context can be selected from: (i) a surfactant, (ii) a bile salt, (iii) a phospholipid additive, mixed micelle, liposome, or carrier, (iv) an alcohol, (v) an enamine,  
35 (vi) an NO donor compound, (vii) a long-chain amphipathic molecule (viii) a small



5 hydrophobic penetration enhancer; (ix) sodium or a salicylic acid derivative; (x) a glycerol ester of acetoacetic acid (xi) a cyclodextrin or beta-cyclodextrin derivative, (xii) a medium-chain fatty acid, (xiii) a chelating agent, (xiv) an amino acid or salt thereof, (xv) an N-acetylamino acid or salt thereof, (xvi) an enzyme degradative to a selected membrane component, (xvii) an inhibitor of fatty acid synthesis, or (xviii) an inhibitor of cholesterol  
 10 synthesis; or (xix) any combination of the membrane penetration enhancing agents recited in (i)-(xix).

Certain surface-active agents are readily incorporated within the mucosal delivery formulations and methods of the invention as mucosal absorption enhancing agents. These  
 15 agents, which may be coordinately administered or combinatorially formulated with Y2 receptor-binding peptide proteins, analogs and mimetics, and other biologically active agents disclosed herein, may be selected from a broad assemblage of known surfactants.

Surfactants, which generally fall into three classes: (1) nonionic polyoxyethylene ethers; (2) bile salts such as sodium glycocholate (SGC) and deoxycholate (DOC); and (3) derivatives of  
 20 fusidic acid such as sodium taurodihydrofusidate (STDHF). The mechanisms of action of these various classes of surface-active agents typically include solubilization of the biologically active agent. For proteins and peptides which often form aggregates, the surface active properties of these absorption promoters can allow interactions with proteins such that smaller units such as surfactant coated monomers may be more readily maintained in  
 25 solution. Examples of other surface-active agents are L- $\alpha$ -Phosphatidylcholine Didecanoyl (DDPC) polysorbate 80 and polysorbate 20. These monomers are presumably more transportable units than aggregates. A second potential mechanism is the protection of the peptide or protein from proteolytic degradation by proteases in the mucosal environment. Both bile salts and some fusidic acid derivatives reportedly inhibit proteolytic degradation of  
 30 proteins by nasal homogenates at concentrations less than or equivalent to those required to enhance protein absorption. This protease inhibition may be especially important for peptides with short biological half-lives.

### **Degradation Enzymes and Inhibitors of Fatty Acid and Cholesterol Synthesis**

5 In related aspects of the invention, Y2 receptor-binding peptide proteins, analogs and mimetics, and other biologically active agents for mucosal administration are formulated or coordinately administered with a penetration enhancing agent selected from a degradation enzyme, or a metabolic stimulatory agent or inhibitor of synthesis of fatty acids, sterols or other selected epithelial barrier components, U.S. Patent No. 6,190,894. For example,  
10 degradative enzymes such as phospholipase, hyaluronidase, neuraminidase, and chondroitinase may be employed to enhance mucosal penetration of Y2 receptor-binding peptide proteins, analogs and mimetics, and other biologically active agent without causing irreversible damage to the mucosal barrier. In one embodiment, chondroitinase is employed within a method or composition as provided herein to alter glycoprotein or glycolipid  
15 constituents of the permeability barrier of the mucosa, thereby enhancing mucosal absorption of Y2 receptor-binding peptide proteins, analogs and mimetics, and other biologically active agents disclosed herein.

With regard to inhibitors of synthesis of mucosal barrier constituents, it is noted that  
20 free fatty acids account for 20-25% of epithelial lipids by weight. Two rate-limiting enzymes in the biosynthesis of free fatty acids are acetyl CoA carboxylase and fatty acid synthetase. Through a series of steps, free fatty acids are metabolized into phospholipids. Thus, inhibitors of free fatty acid synthesis and metabolism for use within the methods and compositions of the invention include, but are not limited to, inhibitors of acetyl CoA  
25 carboxylase such as 5-tetradecyloxy-2-furancarboxylic acid (TOFA); inhibitors of fatty acid synthetase; inhibitors of phospholipase A such as gomisin A, 2-(p-amyloinnamyl)amino-4-chlorobenzoic acid, bromophenacyl bromide, monoalide, 7,7-dimethyl-5,8-eicosadienoic acid, nicergoline, cepharanthine, nicardipine, quercetin, dibutyryl-cyclic AMP, R-24571, N-oleoylethanolamine, N-(7-nitro-2,1,3-benzoxadiazol-4-yl) phosphostidyl serine, cyclosporine  
30 A, topical anesthetics, including dibucaine, prenylamine, retinoids, such as all-trans and 13-cis-retinoic acid, W-7, trifluoperazine, R-24571 (calmidazolium), 1-hexadocyl-3-trifluoroethyl glycerol-sn-2-phosphomethyl (MJ33); calcium channel blockers including nicardipine, verapamil, diltiazem, nifedipine, and nimodipine; antimalarials including quinacrine, mepacrine, chloroquine and hydroxychloroquine; beta blockers including  
35 propranolol and labetalol; calmodulin antagonists; EGTA; thimersol; glucocorticosteroids

- 5 including dexamethasone and prednisolone; and nonsteroidal antiinflammatory agents including indomethacin and naproxen.

Free sterols, primarily cholesterol, account for 20-25% of the epithelial lipids by weight. The rate limiting enzyme in the biosynthesis of cholesterol is 3-hydroxy-3-methylglutaryl (HMG) CoA reductase. Inhibitors of cholesterol synthesis for use within the methods and compositions of the invention include, but are not limited to, competitive inhibitors of (HMG) CoA reductase, such as simvastatin, lovastatin, fluvindostatin (fluvastatin), pravastatin, mevastatin, as well as other HMG CoA reductase inhibitors, such as cholesterol oleate, cholesterol sulfate and phosphate, and oxygenated sterols, such as 25-OH-- and 26-OH-- cholesterol; inhibitors of squalene synthetase; inhibitors of squalene epoxidase; inhibitors of DELTA7 or DELTA24 reductases such as 22,25-diazacholesterol, 20,25-diazacholestenol, AY9944, and triparanol.

Each of the inhibitors of fatty acid synthesis or the sterol synthesis inhibitors may be coordinately administered or combinatorially formulated with one or more Y2 receptor-binding peptide proteins, analogs and mimetics, and other biologically active agents disclosed herein to achieve enhanced epithelial penetration of the active agent(s). An effective concentration range for the sterol inhibitor in a therapeutic or adjunct formulation for mucosal delivery is generally from about 0.0001% to about 20% by weight of the total, more typically from about 0.01% to about 5%.

## 5    **Nitric Oxide Donor Agents and Methods**

Within other related aspects of the invention, a nitric oxide (NO) donor is selected as a membrane penetration-enhancing agent to enhance mucosal delivery of one or more Y2 receptor-binding peptide proteins, analogs and mimetics, and other biologically active agents disclosed herein. Various NO donors are known in the art and are useful in effective  
10 concentrations within the methods and formulations of the invention. Exemplary NO donors include, but are not limited to, nitroglycerine, nitropruside, NOC5 [3-(2-hydroxy-1-(methyl-ethyl)-2-nitrosohydrazino)-1-propanamine], NOC12 [*N*-ethyl-2-(1-ethyl-hydroxy-2-nitrosohydrazino)-ethanamine], SNAP [S-nitroso-N-acetyl-DL-penicillamine], NORI and NOR4. Within the methods and compositions of the invention, an effective amount of a  
15 selected NO donor is coordinately administered or combinatorially formulated with one or more Y2 receptor-binding peptide proteins, analogs and mimetics, and/or other biologically active agents disclosed herein, into or through the mucosal epithelium.

### **Agents for Modulating Epithelial Junction Structure and/or Physiology**

20        The present invention provides pharmaceutical composition that contains one or more Y2 receptor-binding peptide proteins, analogs or mimetics, and/or other biologically active agents in combination with mucosal delivery enhancing agents disclosed herein formulated in a pharmaceutical preparation for mucosal delivery.

25        The permeabilizing agent reversibly enhances mucosal epithelial paracellular transport, typically by modulating epithelial junctional structure and/or physiology at a mucosal epithelial surface in the subject. This effect typically involves inhibition by the permeabilizing agent of homotypic or heterotypic binding between epithelial membrane adhesive proteins of neighboring epithelial cells. Target proteins for this blockade of  
30 homotypic or heterotypic binding can be selected from various related junctional adhesion molecules (JAMs), occludins, or claudins. Examples of this are antibodies, antibody fragments or single-chain antibodies that bind to the extracellular domains of these proteins.

In yet additional detailed embodiments, the invention provides permeabilizing  
35 peptides and peptide analogs and mimetics for enhancing mucosal epithelial paracellular

5 transport. The subject peptides and peptide analogs and mimetics typically work within the compositions and methods of the invention by modulating epithelial junctional structure and/or physiology in a mammalian subject. In certain embodiments, the peptides and peptide analogs and mimetics effectively inhibit homotypic and/or heterotypic binding of an epithelial membrane adhesive protein selected from a junctional adhesion molecule (JAM),  
10 occludin, or claudin.

One such agent that has been extensively studied is the bacterial toxin from *Vibrio cholerae* known as the “zonula occludens toxin” (ZOT). This toxin mediates increased intestinal mucosal permeability and causes disease symptoms including diarrhea in infected  
15 subjects. Fasano *et al*, *Proc. Nat. Acad. Sci., U.S.A.*, 8:5242-5246 (1991). When tested on rabbit ileal mucosa, ZOT increased the intestinal permeability by modulating the structure of intercellular tight junctions. More recently, it has been found that ZOT is capable of reversibly opening tight junctions in the intestinal mucosa. It has also been reported that ZOT is capable of reversibly opening tight junctions in the nasal mucosa. U.S. Pat No.  
20 5,908,825.

Within the methods and compositions of the invention, ZOT, as well as various analogs and mimetics of ZOT that function as agonists or antagonists of ZOT activity, are useful for enhancing intranasal delivery of biologically active agents—by increasing  
25 paracellular absorption into and across the nasal mucosa. In this context, ZOT typically acts by causing a structural reorganization of tight junctions marked by altered localization of the junctional protein ZO1. Within these aspects of the invention, ZOT is coordinately administered or combinatorially formulated with the biologically active agent in an effective amount to yield significantly enhanced absorption of the active agent, by reversibly  
30 increasing nasal mucosal permeability without substantial adverse side effects

### **Vasodilator Agents and Methods**

Yet another class of absorption-promoting agents that shows beneficial utility within the coordinate administration and combinatorial formulation methods and compositions of  
35 the invention are vasoactive compounds, more specifically vasodilators. These compounds

5 function within the invention to modulate the structure and physiology of the submucosal vasculature, increasing the transport rate of Y2 receptor-binding peptide, analogs and mimetics, and other biologically active agents into or through the mucosal epithelium and/or to specific target tissues or compartments (e.g., the systemic circulation or central nervous system.).

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Vasodilator agents for use within the invention typically cause submucosal blood vessel relaxation by either a decrease in cytoplasmic calcium, an increase in nitric oxide (NO) or by inhibiting myosin light chain kinase. They are generally divided into 9 classes: calcium antagonists, potassium channel openers, ACE inhibitors, angiotensin-II receptor antagonists, 15  $\alpha$ -adrenergic and imidazole receptor antagonists,  $\beta$ <sub>1</sub>-adrenergic agonists, phosphodiesterase inhibitors, eicosanoids and NO donors.

Despite chemical differences, the pharmacokinetic properties of calcium antagonists are similar. Absorption into the systemic circulation is high, and these agents therefore 20 undergo considerable first-pass metabolism by the liver, resulting in individual variation in pharmacokinetics. Except for the newer drugs of the dihydropyridine type (amlodipine, felodipine, isradipine, nilvadipine, nisoldipine and nitrendipine), the half-life of calcium antagonists is short. Therefore, to maintain an effective drug concentration for many of these may require delivery by multiple dosing, or controlled release formulations, as described 25 elsewhere herein. Treatment with the potassium channel opener minoxidil may also be limited in manner and level of administration due to potential adverse side effects.

ACE inhibitors prevent conversion of angiotensin-I to angiotensin-II, and are most effective when renin production is increased. Since ACE is identical to kininase-II, which 30 inactivates the potent endogenous vasodilator bradykinin, ACE inhibition causes a reduction in bradykinin degradation. ACE inhibitors provide the added advantage of cardioprotective and cardioreparative effects, by preventing and reversing cardiac fibrosis and ventricular hypertrophy in animal models. The predominant elimination pathway of most ACE inhibitors is via renal excretion. Therefore, renal impairment is associated with reduced

- 5 elimination and a dosage reduction of 25 to 50% is recommended in patients with moderate to severe renal impairment.

With regard to NO donors, these compounds are particularly useful within the invention for their additional effects on mucosal permeability. In addition to the above-noted  
10 NO donors, complexes of NO with nucleophiles called NO/nucleophiles, or NONOates, spontaneously and nonenzymatically release NO when dissolved in aqueous solution at physiologic pH. . In contrast, nitro vasodilators such as nitroglycerin require specific enzyme activity for NO release. NONOates release NO with a defined stoichiometry and at predictable rates ranging from <3 minutes for diethylamine/NO to approximately 20 hours for  
15 diethylenetriamine/NO (DETANO).

Within certain methods and compositions of the invention, a selected vasodilator agent is coordinately administered (e.g., systemically or intranasally, simultaneously or in combinatorially effective temporal association) or combinatorially formulated with one or  
20 more Y2 receptor-binding peptide, analogs and mimetics, and other biologically active agent(s) in an amount effective to enhance the mucosal absorption of the active agent(s) to reach a target tissue or compartment in the subject (e.g., the liver, hepatic portal vein, CNS tissue or fluid, or blood plasma).

## 25 **Selective Transport-Enhancing Agents and Methods**

The compositions and delivery methods of the invention optionally incorporate a selective transport-enhancing agent that facilitates transport of one or more biologically active agents. These transport-enhancing agents may be employed in a combinatorial formulation or coordinate administration protocol with one or more of the Y2 receptor-  
30 binding peptide proteins, analogs and mimetics disclosed herein, to coordinately enhance delivery of one or more additional biologically active agent(s) across mucosal transport barriers, to enhance mucosal delivery of the active agent(s) to reach a target tissue or compartment in the subject (e.g., the mucosal epithelium, liver, CNS tissue or fluid, or blood plasma). Alternatively, the transport-enhancing agents may be employed in a combinatorial  
35 formulation or coordinate administration protocol to directly enhance mucosal delivery of

- 5 one or more of the Y2 receptor-binding peptide proteins, analogs and mimetics, with or without enhanced delivery of an additional biologically active agent.

Exemplary selective transport-enhancing agents for use within this aspect of the invention include, but are not limited to, glycosides, sugar-containing molecules, and binding agents such as lectin binding agents, which are known to interact specifically with epithelial transport barrier components. For example, specific “bioadhesive” ligands, including various plant and bacterial lectins, which bind to cell surface sugar moieties by receptor-mediated interactions can be employed as carriers or conjugated transport mediators for enhancing mucosal, e.g., nasal delivery of biologically active agents within the invention. Certain bioadhesive ligands for use within the invention will mediate transmission of biological signals to epithelial target cells that trigger selective uptake of the adhesive ligand by specialized cellular transport processes (endocytosis or transcytosis). These transport mediators can therefore be employed as a “carrier system” to stimulate or direct selective uptake of one or more Y2 receptor-binding peptide proteins, analogs and mimetics, and other biologically active agent(s) into and/or through mucosal epithelia. These and other selective transport-enhancing agents significantly enhance mucosal delivery of macromolecular biopharmaceuticals (particularly peptides, proteins, oligonucleotides and polynucleotide vectors) within the invention. Lectins are plant proteins that bind to specific sugars found on the surface of glycoproteins and glycolipids of eukaryotic cells. Concentrated solutions of lectins have a ‘mucottractive’ effect, and various studies have demonstrated rapid receptor mediated endocytosis (RME) of lectins and lectin conjugates (e.g., concanavalin A conjugated with colloidal gold particles) across mucosal surfaces. Additional studies have reported that the uptake mechanisms for lectins can be utilized for intestinal drug targeting *in vivo*. In certain of these studies, polystyrene nanoparticles (500 nm) were covalently coupled to tomato lectin and reported yielded improved systemic uptake after oral administration to rats.

In addition to plant lectins, microbial adhesion and invasion factors provide a rich source of candidates for use as adhesive/selective transport carriers within the mucosal delivery methods and compositions of the invention. Two components are necessary for



5 bacterial adherence processes, a bacterial 'adhesin' (adherence or colonization factor) and a receptor on the host cell surface. Bacteria causing mucosal infections need to penetrate the mucus layer before attaching themselves to the epithelial surface. This attachment is usually mediated by bacterial fimbriae or pilus structures, although other cell surface components may also take part in the process. Adherent bacteria colonize mucosal epithelia by

10 multiplication and initiation of a series of biochemical reactions inside the target cell through signal transduction mechanisms (with or without the help of toxins). Associated with these invasive mechanisms, a wide diversity of bioadhesive proteins (e.g., invasins, internalins) originally produced by various bacteria and viruses are known. These allow for extracellular attachment of such microorganisms with an impressive selectivity for host species and even

15 particular target tissues. Signals transmitted by such receptor-ligand interactions trigger the transport of intact, living microorganisms into, and eventually through, epithelial cells by endo- and transcytotic processes. Such naturally occurring phenomena may be harnessed (e.g., by complexing biologically active agents such as Y2 receptor-binding peptide with an adhesin) according to the teachings herein for enhanced delivery of biologically active

20 compounds into or across mucosal epithelia and/or to other designated target sites of drug action.

Various bacterial and plant toxins that bind epithelial surfaces in a specific, lectin-like manner are also useful within the methods and compositions of the invention. For example,

25 diphtheria toxin (DT) enters host cells rapidly by RME. Likewise, the B subunit of the *E. coli* heat labile toxin binds to the brush border of intestinal epithelial cells in a highly specific, lectin-like manner. Uptake of this toxin and transcytosis to the basolateral side of the enterocytes has been reported *in vivo* and *in vitro*. Other researches have expressed the transmembrane domain of diphtheria toxin in *E. coli* as a maltose-binding fusion protein and

30 coupled it chemically to high-Mw poly-L-lysine. The resulting complex was successfully used to mediate internalization of a reporter gene *in vitro*. In addition to these examples, *Staphylococcus aureus* produces a set of proteins (e.g., staphylococcal enterotoxin A (SEA), SEB, toxic shock syndrome toxin 1 (TSST-1) which act both as superantigens and toxins. Studies relating to these proteins have reported dose-dependent, facilitated transcytosis of

35 SEB and TSST-1 in Caco-2 cells.

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Viral haemagglutinins comprise another type of transport agent to facilitate mucosal delivery of biologically active agents within the methods and compositions of the invention. The initial step in many viral infections is the binding of surface proteins (haemagglutinins) to mucosal cells. These binding proteins have been identified for most viruses, including  
10 rotaviruses, varicella zoster virus, semliki forest virus, adenoviruses, potato leafroll virus, and reovirus. These and other exemplary viral hemagglutinins can be employed in a combinatorial formulation (e.g., a mixture or conjugate formulation) or coordinate administration protocol with one or more of the Y2 receptor-binding peptide, analogs and mimetics disclosed herein, to coordinately enhance mucosal delivery of one or more  
15 additional biologically active agent(s). Alternatively, viral hemagglutinins can be employed in a combinatorial formulation or coordinate administration protocol to directly enhance mucosal delivery of one or more of the Y2 receptor-binding peptide proteins, analogs and mimetics, with or without enhanced delivery of an additional biologically active agent.

20 A variety of endogenous, selective transport-mediating factors are also available for use within the invention. Mammalian cells have developed an assortment of mechanisms to facilitate the internalization of specific substrates and target these to defined compartments. Collectively, these processes of membrane deformations are termed 'endocytosis' and comprise phagocytosis, pinocytosis, receptor-mediated endocytosis (clathrin-mediated RME),  
25 and potocytosis (non-clathrin-mediated RME). RME is a highly specific cellular biologic process by which, as its name implies, various ligands bind to cell surface receptors and are subsequently internalized and trafficked within the cell. In many cells the process of endocytosis is so active that the entire membrane surface is internalized and replaced in less than a half hour. Two classes of receptors are proposed based on their orientation in the cell  
30 membrane; the amino terminus of Type I receptors is located on the extracellular side of the membrane, whereas Type II receptors have this same protein tail in the intracellular milieu.

Still other embodiments of the invention utilize transferrin as a carrier or stimulant of RME of mucosally delivered biologically active agents. Transferrin, an 80 kDa iron-  
35 transporting glycoprotein, is efficiently taken up into cells by RME. Transferrin receptors are

5 found on the surface of most proliferating cells, in elevated numbers on erythroblasts and on many kinds of tumors. The transcytosis of transferrin (Tf) and transferrin conjugates is reportedly enhanced in the presence of Brefeldin A (BFA), a fungal metabolite. In other studies, BFA treatment has been reported to rapidly increase apical endocytosis of both ricin and HRP in MDCK cells. Thus, BFA and other agents that stimulate receptor-mediated  
10 transport can be employed within the methods of the invention as combinatorially formulated (e.g., conjugated) and/or coordinately administered agents to enhance receptor-mediated transport of biologically active agents, including Y2 receptor-binding peptide proteins, analogs and mimetics.

### 15 **Polymeric Delivery Vehicles and Methods**

Within certain aspects of the invention, Y2 receptor-binding peptide proteins, analogs and mimetics, other biologically active agents disclosed herein, and delivery-enhancing agents as described above, are, individually or combinatorially, incorporated within a mucosally (e.g., nasally) administered formulation that includes a biocompatible polymer  
20 functioning as a carrier or base. Such polymer carriers include polymeric powders, matrices or microparticulate delivery vehicles, among other polymer forms. The polymer can be of plant, animal, or synthetic origin. Often the polymer is crosslinked. Additionally, in these delivery systems the Y2 receptor-binding peptide, analog or mimetic, can be functionalized in a manner where it can be covalently bound to the polymer and rendered inseparable from the  
25 polymer by simple washing. In other embodiments, the polymer is chemically modified with an inhibitor of enzymes or other agents which may degrade or inactivate the biologically active agent(s) and/or delivery enhancing agent(s). In certain formulations, the polymer is a partially or completely water insoluble but water swellable polymer, e.g., a hydrogel. Polymers useful in this aspect of the invention are desirably water interactive and/or  
30 hydrophilic in nature to absorb significant quantities of water, and they often form hydrogels when placed in contact with water or aqueous media for a period of time sufficient to reach equilibrium with water. In more detailed embodiments, the polymer is a hydrogel which, when placed in contact with excess water, absorbs at least two times its weight of water at equilibrium when exposed to water at room temperature, U.S. Patent No. 6,004,583.

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5 Drug delivery systems based on biodegradable polymers are preferred in many biomedical applications because such systems are broken down either by hydrolysis or by enzymatic reaction into non-toxic molecules. The rate of degradation is controlled by manipulating the composition of the biodegradable polymer matrix. These types of systems can therefore be employed in certain settings for long-term release of biologically active  
10 agents. Biodegradable polymers such as poly(glycolic acid) (PGA), poly-(lactic acid) (PLA), and poly(D,L-lactic-co-glycolic acid) (PLGA), have received considerable attention as possible drug delivery carriers, since the degradation products of these polymers have been found to have low toxicity. During the normal metabolic function of the body these polymers degrade into carbon dioxide and water. These polymers have also exhibited excellent  
15 biocompatibility.

For prolonging the biological activity of Y2 receptor-binding peptide, analogs and mimetics, and other biologically active agents disclosed herein, as well as optional delivery-enhancing agents, these agents may be incorporated into polymeric matrices, e.g.,  
20 polyorthoesters, polyanhydrides, or polyesters. This yields sustained activity and release of the active agent(s), e.g., as determined by the degradation of the polymer matrix. Although the encapsulation of biotherapeutic molecules inside synthetic polymers may stabilize them during storage and delivery, the largest obstacle of polymer-based release technology is the activity loss of the therapeutic molecules during the formulation processes that often involve  
25 heat, sonication or organic solvents.

Absorption-promoting polymers contemplated for use within the invention may include derivatives and chemically or physically modified versions of the foregoing types of polymers, in addition to other naturally occurring or synthetic polymers, gums, resins, and  
30 other agents, as well as blends of these materials with each other or other polymers, so long as the alterations, modifications or blending do not adversely affect the desired properties, such as water absorption, hydrogel formation, and/or chemical stability for useful application. In more detailed aspects of the invention, polymers such as nylon, acrylan and other normally hydrophobic synthetic polymers may be sufficiently modified by reaction to become water  
35 swellable and/or form stable gels in aqueous media.

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Absorption-promoting polymers of the invention may include polymers from the group of homo- and copolymers based on various combinations of the following vinyl monomers: acrylic and methacrylic acids, acrylamide, methacrylamide, hydroxyethylacrylate or methacrylate, vinylpyrrolidones, as well as polyvinylalcohol and its co- and terpolymers, 10 polyvinylacetate, its co- and terpolymers with the above listed monomers and 2-acrylamido-2-methyl-propanesulfonic acid (AMPS®). Very useful are copolymers of the above listed monomers with copolymerizable functional monomers such as acryl or methacryl amide acrylate or methacrylate esters where the ester groups are derived from straight or branched chain alkyl, aryl having up to four aromatic rings which may contain alkyl substituents of 1 to 15 6 carbons; steroidal, sulfates, phosphates or cationic monomers such as N,N-dimethylaminoalkyl(meth)acrylamide, dimethylaminoalkyl(meth)acrylate, (meth)acryloxyalkyltrimethylammonium chloride, (meth)acryloxyalkyldimethylbenzyl ammonium chloride.

20 Additional absorption-promoting polymers for use within the invention are those classified as dextrans, dextrans, and from the class of materials classified as natural gums and resins, or from the class of natural polymers such as processed collagen, chitin, chitosan, pullulan, zooglan, alginates and modified alginates such as "Kelcoloid" (a polypropylene glycol modified alginate) gellan gums such as "Kelocogel", Xanthan gums such as 25 "Keltrol", estastin, alpha hydroxy butyrate and its copolymers, hyaluronic acid and its derivatives, polylactic and glycolic acids.

A very useful class of polymers applicable within the instant invention are olefinically-unsaturated carboxylic acids containing at least one activated carbon-to-carbon 30 olefinic double bond, and at least one carboxyl group; that is, an acid or functional group readily converted to an acid containing an olefinic double bond which readily functions in polymerization because of its presence in the monomer molecule, either in the alpha-beta position with respect to a carboxyl group, or as part of a terminal methylene grouping. Olefinically-unsaturated acids of this class include such materials as the acrylic acids typified 35 by the acrylic acid itself, alpha-cyano acrylic acid, beta methylacrylic acid (crotonic acid),

5 alpha-phenyl acrylic acid, beta-acryloxy propionic acid, cinnamic acid, p-chloro cinnamic acid, 1-carboxy-4-phenyl butadiene-1,3, itaconic acid, citraconic acid, mesaconic acid, glutaconic acid, aconitic acid, maleic acid, fumaric acid, and tricarboxy ethylene. As used herein, the term "carboxylic acid" includes the polycarboxylic acids and those acid  
10 elimination of one molecule of water from two carboxyl groups located on the same carboxylic acid molecule.

Representative acrylates useful as absorption-promoting agents within the invention include methyl acrylate, ethyl acrylate, propyl acrylate, isopropyl acrylate, butyl acrylate,  
15 isobutyl acrylate, methyl methacrylate, methyl ethacrylate, ethyl methacrylate, octyl acrylate, heptyl acrylate, octyl methacrylate, isopropyl methacrylate, 2-ethylhexyl methacrylate, nonyl acrylate, hexyl acrylate, n-hexyl methacrylate, and the like. Higher alkyl acrylic esters are decyl acrylate, isodecyl methacrylate, lauryl acrylate, stearyl acrylate, behenyl acrylate and melissyl acrylate and methacrylate versions thereof. Mixtures of two or three or more long  
20 chain acrylic esters may be successfully polymerized with one of the carboxylic monomers. Other comonomers include olefins, including alpha olefins, vinyl ethers, vinyl esters, and mixtures thereof.

Other vinylidene monomers, including the acrylic nitriles, may also be used as  
25 absorption-promoting agents within the methods and compositions of the invention to enhance delivery and absorption of one or more Y2 receptor-binding peptide proteins, analogs and mimetics, and other biologically active agent(s), including to enhance delivery of the active agent(s) to a target tissue or compartment in the subject (e.g., the liver, hepatic portal vein, CNS tissue or fluid, or blood plasma). Useful alpha, beta-olefinically unsaturated  
30 nitriles are preferably monoolefinically unsaturated nitriles having from 3 to 10 carbon atoms such as acrylonitrile, methacrylonitrile, and the like. Most preferred are acrylonitrile and methacrylonitrile. Acrylic amides containing from 3 to 35 carbon atoms including monoolefinically unsaturated amides also may be used. Representative amides include acrylamide, methacrylamide, N-t-butyl acrylamide, N-cyclohexyl acrylamide, higher alkyl  
35 amides, where the alkyl group on the nitrogen contains from 8 to 32 carbon atoms, acrylic

5 amides including N-alkylol amides of alpha, beta-olefinically unsaturated carboxylic acids including those having from 4 to 10 carbon atoms such as N-methylol acrylamide, N-propanol acrylamide, N-methylol methacrylamide, N-methylol maleimide, N-methylol maleamic acid esters, N-methylol-p-vinyl benzamide, and the like.

10 Yet additional useful absorption promoting materials are alpha-olefins containing from 2 to 18 carbon atoms, more preferably from 2 to 8 carbon atoms; dienes containing from 4 to 10 carbon atoms; vinyl esters and allyl esters such as vinyl acetate; vinyl aromatics such as styrene, methyl styrene and chloro-styrene; vinyl and allyl ethers and ketones such as vinyl methyl ether and methyl vinyl ketone; chloroacrylates; cyanoalkyl acrylates such as alpha-  
 15 cyanomethyl acrylate, and the alpha-, beta-, and gamma-cyanopropyl acrylates; alkoxyacrylates such as methoxy ethyl acrylate; haloacrylates as chloroethyl acrylate; vinyl halides and vinyl chloride, vinylidene chloride and the like; divinyls, diacrylates and other polyfunctional monomers such as divinyl ether, diethylene glycol diacrylate, ethylene glycol dimethacrylate, methylene-bis-acrylamide, allylpentaerythritol, and the like; and bis (beta-  
 20 haloalkyl) alkenyl phosphonates such as bis(beta-chloroethyl) vinyl phosphonate and the like as are known to those skilled in the art. Copolymers wherein the carboxy containing monomer is a minor constituent, and the other vinylidene monomers present as major components are readily prepared in accordance with the methods disclosed herein.

25 When hydrogels are employed as absorption promoting agents within the invention, these may be composed of synthetic copolymers from the group of acrylic and methacrylic acids, acrylamide, methacrylamide, hydroxyethylacrylate (HEA) or methacrylate (HEMA), and vinylpyrrolidones which are water interactive and swellable. Specific illustrative examples of useful polymers, especially for the delivery of peptides or proteins, are the  
 30 following types of polymers: (meth)acrylamide and 0.1 to 99 wt. % (meth)acrylic acid; (meth)acrylamides and 0.1-75 wt % (meth)acryloxyethyl trimethyammonium chloride; (meth)acrylamide and 0.1-75 wt % (meth)acrylamide; acrylic acid and 0.1-75 wt % alkyl(meth)acrylates; (meth)acrylamide and 0.1-75 wt % AMPS.RTM. (trademark of Lubrizol Corp.); (meth)acrylamide and 0 to 30 wt % alkyl(meth)acrylamides and 0.1-75 wt %  
 35 AMPS.RTM.; (meth)acrylamide and 0.1-99 wt. % HEMA; (meth)acrylamide and 0.1 to 75

5 wt % HEMA and 0.1 to 99% (meth)acrylic acid; (meth)acrylic acid and 0.1-99 wt % HEMA; 50 mole % vinyl ether and 50 mole % maleic anhydride; (meth)acrylamide and 0.1 to 75 wt % (meth)acryloxyalkyl dimethyl benzylammonium chloride; (meth)acrylamide and 0.1 to 99 wt % vinyl pyrrolidone; (meth)acrylamide and 50 wt % vinyl pyrrolidone and 0.1-99.9 wt % (meth)acrylic acid; (meth)acrylic acid and 0.1 to 75 wt % AMPS.RTM. and 0.1-75 wt %  
 10 alkyl(meth)acrylamide. In the above examples, alkyl means C<sub>1</sub> to C<sub>30</sub>, preferably C<sub>1</sub> to C<sub>22</sub>, linear and branched and C<sub>4</sub> to C<sub>16</sub> cyclic; where (meth) is used, it means that the monomers with and without the methyl group are included. Other very useful hydrogel polymers are swellable, but insoluble versions of poly(vinyl pyrrolidone) starch, carboxymethyl cellulose and polyvinyl alcohol.

15

Additional polymeric hydrogel materials useful within the invention include (poly) hydroxyalkyl (meth)acrylate; anionic and cationic hydrogels; poly(electrolyte) complexes; poly(vinyl alcohols) having a low acetate residual; a swellable mixture of crosslinked agar and crosslinked carboxymethyl cellulose; a swellable composition comprising methyl  
 20 cellulose mixed with a sparingly crosslinked agar; a water swellable copolymer produced by a dispersion of finely divided copolymer of maleic anhydride with styrene, ethylene, propylene, or isobutylene; a water swellable polymer of N-vinyl lactams; swellable sodium salts of carboxymethyl cellulose; and the like.

25

Other gelable, fluid imbibing and retaining polymers useful for forming the hydrophilic hydrogel for mucosal delivery of biologically active agents within the invention include pectin; polysaccharides such as agar, acacia, karaya, tragacanth, algin and guar and their crosslinked versions; acrylic acid polymers, copolymers and salt derivatives, polyacrylamides; water swellable indene maleic anhydride polymers; starch graft  
 30 copolymers; acrylate type polymers and copolymers with water absorbability of about 2 to 400 times its original weight; diesters of polyglucan; a mixture of crosslinked poly(vinyl alcohol) and poly(N-vinyl-2-pyrrolidone); polyoxybutylene-polyethylene block copolymer gels; carob gum; polyester gels; poly urea gels; polyether gels; polyamide gels; polyimide gels; polypeptide gels; polyamino acid gels; poly cellulosic gels; crosslinked indene-maleic  
 35 anhydride acrylate polymers; and polysaccharides.



5

Synthetic hydrogel polymers for use within the invention may be made by an infinite combination of several monomers in several ratios. The hydrogel can be crosslinked and generally possesses the ability to imbibe and absorb fluid and swell or expand to an enlarged equilibrium state. The hydrogel typically swells or expands upon delivery to the nasal  
10 mucosal surface, absorbing about 2-5, 5-10, 10-50, up to 50-100 or more times fold its weight of water. The optimum degree of swellability for a given hydrogel will be determined for different biologically active agents depending upon such factors as molecular weight, size, solubility and diffusion characteristics of the active agent carried by or entrapped or encapsulated within the polymer, and the specific spacing and cooperative chain motion  
15 associated with each individual polymer.

Hydrophilic polymers useful within the invention are water insoluble but water swellable. Such water-swollen polymers as typically referred to as hydrogels or gels. Such gels may be conveniently produced from water-soluble polymer by the process of  
20 crosslinking the polymers by a suitable crosslinking agent. However, stable hydrogels may also be formed from specific polymers under defined conditions of pH, temperature and/or ionic concentration, according to known methods in the art. Typically the polymers are cross-linked, that is, cross-linked to the extent that the polymers possess good hydrophilic properties, have improved physical integrity (as compared to non cross-linked polymers of  
25 the same or similar type) and exhibit improved ability to retain within the gel network both the biologically active agent of interest and additional compounds for coadministration therewith such as a cytokine or enzyme inhibitor, while retaining the ability to release the active agent(s) at the appropriate location and time.

30 Generally hydrogel polymers for use within the invention are crosslinked with a difunctional cross-linking in the amount of from 0.01 to 25 weight percent, based on the weight of the monomers forming the copolymer, and more preferably from 0.1 to 20 weight percent and more often from 0.1 to 15 weight percent of the crosslinking agent. Another useful amount of a crosslinking agent is 0.1 to 10 weight percent. Tri, tetra or higher  
35 multifunctional crosslinking agents may also be employed. When such reagents are utilized,

- 5 lower amounts may be required to attain equivalent crosslinking density, *i.e.*, the degree of crosslinking, or network properties that are sufficient to contain effectively the biologically active agent(s).

10 The crosslinks can be covalent, ionic or hydrogen bonds with the polymer possessing the ability to swell in the presence of water containing fluids. Such crosslinkers and crosslinking reactions are known to those skilled in the art and in many cases are dependent upon the polymer system. Thus a crosslinked network may be formed by free radical copolymerization of unsaturated monomers. Polymeric hydrogels may also be formed by crosslinking preformed polymers by reacting functional groups found on the polymers such as alcohols, acids, amines with such groups as glyoxal, formaldehyde or glutaraldehyde, bis  
15 anhydrides and the like.

The polymers also may be cross-linked with any polyene, e.g. decadiene or trivinyl cyclohexane; acrylamides, such as N,N-methylene-bis (acrylamide); polyfunctional acrylates, such as trimethylol propane triacrylate; or polyfunctional vinylidene monomer containing at  
20 least 2 terminal  $\text{CH}_2 <$  groups, including, for example, divinyl benzene, divinyl naphthlene, allyl acrylates and the like. In certain embodiments, cross-linking monomers for use in preparing the copolymers are polyalkenyl polyethers having more than one alkenyl ether grouping per molecule, which may optionally possess alkenyl groups in which an olefinic double bond is present attached to a terminal methylene grouping (e.g., made by the  
25 etherification of a polyhydric alcohol containing at least 2 carbon atoms and at least 2 hydroxyl groups). Compounds of this class may be produced by reacting an alkenyl halide, such as allyl chloride or allyl bromide, with a strongly alkaline aqueous solution of one or more polyhydric alcohols. The product may be a complex mixture of polyethers with varying numbers of ether groups. Efficiency of the polyether cross-linking agent increases with the  
30 number of potentially polymerizable groups on the molecule. Typically, polyethers containing an average of two or more alkenyl ether groupings per molecule are used. Other cross-linking monomers include for example, diallyl esters, dimethallyl ethers, allyl or methallyl acrylates and acrylamides, tetravinyl silane, polyalkenyl methanes, diacrylates, and  
35 dimethacrylates, divinyl compounds such as divinyl benzene, polyallyl phosphate, diallyloxy

5 compounds and phosphite esters and the like. Typical agents are allyl pentaerythritol, allyl sucrose, trimethylolpropane triacrylate, 1,6-hexanediol diacrylate, trimethylolpropane diallyl ether, pentaerythritol triacrylate, tetramethylene dimethacrylate, ethylene diacrylate, ethylene dimethacrylate, triethylene glycol dimethacrylate, and the like. Allyl pentaerythritol, trimethylolpropane diallylether and allyl sucrose provide suitable polymers. When the cross-  
10 linking agent is present, the polymeric mixtures usually contain between about 0.01 to 20 weight percent, e.g., 1%, 5%, or 10% or more by weight of cross-linking monomer based on the total of carboxylic acid monomer, plus other monomers.

In more detailed aspects of the invention, mucosal delivery of Y2 receptor-binding  
15 peptide, analogs and mimetics, and other biologically active agents disclosed herein, is enhanced by retaining the active agent(s) in a slow-release or enzymatically or physiologically protective carrier or vehicle, for example a hydrogel that shields the active agent from the action of the degradative enzymes. In certain embodiments, the active agent is bound by chemical means to the carrier or vehicle, to which may also be admixed or bound  
20 additional agents such as enzyme inhibitors, cytokines, etc. The active agent may alternately be immobilized through sufficient physical entrapment within the carrier or vehicle, e.g., a polymer matrix.

Polymers such as hydrogels useful within the invention may incorporate functional  
25 linked agents such as glycosides chemically incorporated into the polymer for enhancing intranasal bioavailability of active agents formulated therewith. Examples of such glycosides are glucosides, fructosides, galactosides, arabinosides, mannosides and their alkyl substituted derivatives and natural glycosides such as arbutin, phlorizin, amygdalin, digitonin, saponin, and indican. There are several ways in which a typical glycoside may be bound to a polymer.  
30 For example, the hydrogen of the hydroxyl groups of a glycoside or other similar carbohydrate may be replaced by the alkyl group from a hydrogel polymer to form an ether. Also, the hydroxyl groups of the glycosides may be reacted to esterify the carboxyl groups of a polymeric hydrogel to form polymeric esters *in situ*. Another approach is to employ condensation of acetobromoglucose with cholest-5-en-3beta-ol on a copolymer of maleic  
35 acid. N-substituted polyacrylamides can be synthesized by the reaction of activated polymers

5 with omega-aminoalkylglycosides: (1) (carbohydrate-spacer)(n)-polyacrylamide, 'pseudopolysaccharides'; (2) (carbohydrate spacer)(n)-phosphatidylethanolamine(m)-polyacrylamide, neoglycolipids, derivatives of phosphatidylethanolamine; (3) (carbohydrate-spacer)(n)-biotin(m)-polyacrylamide. These biotinylated derivatives may attach to lectins on the mucosal surface to facilitate absorption of the biologically active agent(s), e.g., a  
10 polymer-encapsulated Y2 receptor-binding peptide.

Within more detailed aspects of the invention, one or more Y2 receptor-binding peptide, analogs and mimetics, and/or other biologically active agents, disclosed herein, optionally including secondary active agents such as protease inhibitor(s), cytokine(s),  
15 additional modulator(s) of intercellular junctional physiology, etc., are modified and bound to a polymeric carrier or matrix. For example, this may be accomplished by chemically binding a peptide or protein active agent and other optional agent(s) within a crosslinked polymer network. It is also possible to chemically modify the polymer separately with an interactive agent such as a glycosidal containing molecule. In certain aspects, the biologically active  
20 agent(s), and optional secondary active agent(s), may be functionalized, i.e., wherein an appropriate reactive group is identified or is chemically added to the active agent(s). Most often an ethylenic polymerizable group is added, and the functionalized active agent is then copolymerized with monomers and a crosslinking agent using a standard polymerization method such as solution polymerization (usually in water), emulsion, suspension or  
25 dispersion polymerization. Often, the functionalizing agent is provided with a high enough concentration of functional or polymerizable groups to insure that several sites on the active agent(s) are functionalized. For example, in a polypeptide comprising 16 amine sites, it is generally desired to functionalize at least 2, 4, 5, 7, and up to 8 or more of the sites.

30 After functionalization, the functionalized active agent(s) is/are mixed with monomers and a crosslinking agent that comprise the reagents from which the polymer of interest is formed. Polymerization is then induced in this medium to create a polymer containing the bound active agent(s). The polymer is then washed with water or other appropriate solvents and otherwise purified to remove trace unreacted impurities and, if necessary, ground or  
35 broken up by physical means such as by stirring, forcing it through a mesh, ultrasonication or

5 other suitable means to a desired particle size. The solvent, usually water, is then removed in such a manner as to not denature or otherwise degrade the active agent(s). One desired method is lyophilization (freeze drying) but other methods are available and may be used (e.g., vacuum drying, air drying, spray drying, etc.).

10 To introduce polymerizable groups in peptides, proteins and other active agents within the invention, it is possible to react available amino, hydroxyl, thiol and other reactive groups with electrophiles containing unsaturated groups. For example, unsaturated monomers containing N-hydroxy succinimidyl groups, active carbonates such as p-nitrophenyl carbonate, trichlorophenyl carbonates, tresylate, oxycarbonylimidazoles, epoxide,  
15 isocyanates and aldehyde, and unsaturated carboxymethyl azides and unsaturated orthopyridyl-disulfide belong to this category of reagents. Illustrative examples of unsaturated reagents are allyl glycidyl ether, allyl chloride, allylbromide, allyl iodide, acryloyl chloride, allyl isocyanate, allylsulfonyl chloride, maleic anhydride, copolymers of maleic anhydride and allyl ether, and the like.

20

All of the lysine active derivatives, except aldehyde, can generally react with other amino acids such as imidazole groups of histidine and hydroxyl groups of tyrosine and the thiol groups of cystine if the local environment enhances nucleophilicity of these groups. Aldehyde containing functionalizing reagents are specific to lysine. These types of reactions  
25 with available groups from lysines, cysteines, tyrosine have been extensively documented in the literature and are known to those skilled in the art.

In the case of biologically active agents that contain amine groups, it is convenient to react such groups with an acyloxy chloride, such as acryloyl chloride, and introduce the  
30 polymerizable acrylic group onto the reacted agent. Then during preparation of the polymer, such as during the crosslinking of the copolymer of acrylamide and acrylic acid, the functionalized active agent, through the acrylic groups, is attached to the polymer and becomes bound thereto.

5           In additional aspects of the invention, biologically active agents, including peptides, proteins, nucleosides, and other molecules which are bioactive *in vivo*, are conjugation-stabilized by covalently bonding one or more active agent(s) to a polymer incorporating as an integral part thereof both a hydrophilic moiety, e.g., a linear polyalkylene glycol, a lipophilic moiety (see, e.g., U.S. Patent No. 5,681,811). In one aspect, a biologically active agent is  
10 covalently coupled with a polymer comprising (i) a linear polyalkylene glycol moiety and (ii) a lipophilic moiety, wherein the active agent, linear polyalkylene glycol moiety, and the lipophilic moiety are conformationally arranged in relation to one another such that the active therapeutic agent has an enhanced *in vivo* resistance to enzymatic degradation (i.e., relative to its stability under similar conditions in an unconjugated form devoid of the polymer coupled thereto). In another aspect, the conjugation-stabilized formulation has a three-dimensional conformation comprising the biologically active agent covalently coupled with a polysorbate complex comprising (i) a linear polyalkylene glycol moiety and (ii) a lipophilic moiety, wherein the active agent, the linear polyalkylene glycol moiety and the lipophilic moiety are conformationally arranged in relation to one another such that (a) the lipophilic moiety is  
15 exteriorly available in the three-dimensional conformation, and (b) the active agent in the composition has an enhanced *in vivo* resistance to enzymatic degradation.

          In a further related aspect, a multiligand conjugated complex is provided which comprises a biologically active agent covalently coupled with a triglyceride backbone moiety  
25 through a polyalkylene glycol spacer group bonded at a carbon atom of the triglyceride backbone moiety, and at least one fatty acid moiety covalently attached either directly to a carbon atom of the triglyceride backbone moiety or covalently joined through a polyalkylene glycol spacer moiety (see, e.g., U.S. Patent No. 5,681,811). In such a multiligand conjugated therapeutic agent complex, the alpha' and beta carbon atoms of the triglyceride bioactive  
30 moiety may have fatty acid moieties attached by covalently bonding either directly thereto, or indirectly covalently bonded thereto through polyalkylene glycol spacer moieties. Alternatively, a fatty acid moiety may be covalently attached either directly or through a polyalkylene glycol spacer moiety to the alpha and alpha' carbons of the triglyceride backbone moiety, with the bioactive therapeutic agent being covalently coupled with the  
35 gamma-carbon of the triglyceride backbone moiety, either being directly covalently bonded

5 thereto or indirectly bonded thereto through a polyalkylene spacer moiety. It will be recognized that a wide variety of structural, compositional, and conformational forms are possible for the multiligand conjugated therapeutic agent complex comprising the triglyceride backbone moiety, within the scope of the invention. It is further noted that in such a multiligand conjugated therapeutic agent complex, the biologically active agent(s) may  
10 advantageously be covalently coupled with the triglyceride modified backbone moiety through alkyl spacer groups, or alternatively other acceptable spacer groups, within the scope of the invention. As used in such context, acceptability of the spacer group refers to steric, compositional, and end use application specific acceptability characteristics.

15 In yet additional aspects of the invention, a conjugation-stabilized complex is provided which comprises a polysorbate complex comprising a polysorbate moiety including a triglyceride backbone having covalently coupled to alpha, alpha' and beta carbon atoms thereof functionalizing groups including (i) a fatty acid group; and (ii) a polyethylene glycol group having a biologically active agent or moiety covalently bonded thereto, e.g., bonded to  
20 an appropriate functionality of the polyethylene glycol group. Such covalent bonding may be either direct, e.g., to a hydroxy terminal functionality of the polyethylene glycol group, or alternatively, the covalent bonding may be indirect, e.g., by reactively capping the hydroxy terminus of the polyethylene glycol group with a terminal carboxy functionality spacer group, so that the resulting capped polyethylene glycol group has a terminal carboxy functionality to  
25 which the biologically active agent or moiety may be covalently bonded.

In yet additional aspects of the invention, a stable, aqueously soluble, conjugation-stabilized complex is provided which comprises one or more Y2 receptor-binding peptide proteins, analogs and mimetics, and/or other biologically active agent(s)+ disclosed herein  
30 covalently coupled to a physiologically compatible polyethylene glycol (PEG) modified glycolipid moiety. In such complex, the biologically active agent(s) may be covalently coupled to the physiologically compatible PEG modified glycolipid moiety by a labile covalent bond at a free amino acid group of the active agent, wherein the labile covalent bond is scissionable *in vivo* by biochemical hydrolysis and/or proteolysis. The physiologically  
35 compatible PEG modified glycolipid moiety may advantageously comprise a polysorbate

5 polymer, e.g., a polysorbate polymer comprising fatty acid ester groups selected from the group consisting of monopalmitate, dipalmitate, monolaurate, dilaurate, trilaurate, monoleate, dioleate, trioleate, monostearate, distearate, and tristearate. In such complex, the physiologically compatible PEG modified glycolipid moiety may suitably comprise a polymer selected from the group consisting of polyethylene glycol ethers of fatty acids, and  
10 polyethylene glycol esters of fatty acids, wherein the fatty acids for example comprise a fatty acid selected from the group consisting of lauric, palmitic, oleic, and stearic acids.

### **Storage of Material**

In certain aspects of the invention, the combinatorial formulations and/or coordinate  
15 administration methods herein incorporate an effective amount of peptides and proteins which may adhere to charged glass thereby reducing the effective concentration in the container. Silanized containers, for example, silanized glass containers, are used to store the finished product to reduce adsorption of the polypeptide or protein to a glass container.

20 In yet additional aspects of the invention, a kit for treatment of a mammalian subject comprises a stable pharmaceutical composition of one or more Y2 receptor-binding peptide compound(s) formulated for mucosal delivery to the mammalian subject wherein the composition is effective to alleviate one or more symptom(s) of obesity, cancer, or malnutrition or wasting related to cancer in said subject without unacceptable adverse side  
25 effects. The kit further comprises a pharmaceutical reagent vial to contain the one or more Y2 receptor-binding peptide compounds. The pharmaceutical reagent vial is composed of pharmaceutical grade polymer, glass or other suitable material. The pharmaceutical reagent vial is, for example, a silanized glass vial. The kit further comprises an aperture for delivery of the composition to a nasal mucosal surface of the subject. The delivery aperture is  
30 composed of a pharmaceutical grade polymer, glass or other suitable material. The delivery aperture is, for example, a silanized glass.

A silanization technique combines a special cleaning technique for the surfaces to be silanized with a silanization process at low pressure. The silane is in the gas phase and at an  
35 enhanced temperature of the surfaces to be silanized. The method provides reproducible



5 surfaces with stable, homogeneous and functional silane layers having characteristics of a monolayer. The silanized surfaces prevent binding to the glass of polypeptides or mucosal delivery enhancing agents of the present invention. .

10 The procedure is useful to prepare silanized pharmaceutical reagent vials to hold Y2 receptor-binding peptide compositions of the present invention. Glass trays are cleaned by rinsing with double distilled water (ddH<sub>2</sub>O) before using. The silane tray is then be rinsed with 95% EtOH, and the acetone tray is rinsed with acetone. Pharmaceutical reagent vials are sonicated in acetone for 10 minutes. After the acetone sonication, reagent vials are washed in ddH<sub>2</sub>O tray at least twice. Reagent vials are sonicated in 0.1M NaOH for 10  
15 minutes. While the reagent vials are sonicating in NaOH, the silane solution is made under a hood. (Silane solution: 800 mL of 95% ethanol; 96 L of glacial acetic acid; 25 mL of glycidoxypyltrimethoxy silane). After the NaOH sonication, reagent vials are washed in ddH<sub>2</sub>O tray at least twice. The reagent vials are sonicated in silane solution for 3 to 5 minutes. The reagent vials are washed in 100% EtOH tray. The reagent vials are dried with  
20 prepurified N<sub>2</sub> gas and stored in a 100°C oven for at least 2 hours before using.

### **Bioadhesive Delivery Vehicles and Methods**

In certain aspects of the invention, the combinatorial formulations and/or coordinate administration methods herein incorporate an effective amount of a nontoxic bioadhesive as  
25 an adjunct compound or carrier to enhance mucosal delivery of one or more biologically active agent(s). Bioadhesive agents in this context exhibit general or specific adhesion to one or more components or surfaces of the targeted mucosa. The bioadhesive maintains a desired concentration gradient of the biologically active agent into or across the mucosa to ensure penetration of even large molecules (e.g., peptides and proteins) into or through the mucosal  
30 epithelium. Typically, employment of a bioadhesive within the methods and compositions of the invention yields a two- to five- fold, often a five- to ten-fold increase in permeability for peptides and proteins into or through the mucosal epithelium. This enhancement of epithelial permeation often permits effective transmucosal delivery of large macromolecules, for example to the basal portion of the nasal epithelium or into the adjacent extracellular  
35 compartments or a blood plasma or CNS tissue or fluid.

5

This enhanced delivery provides for greatly improved effectiveness of delivery of bioactive peptides, proteins and other macromolecular therapeutic species. These results will depend in part on the hydrophilicity of the compound, whereby greater penetration will be achieved with hydrophilic species compared to water insoluble compounds. In addition to  
10 these effects, employment of bioadhesives to enhance drug persistence at the mucosal surface can elicit a reservoir mechanism for protracted drug delivery, whereby compounds not only penetrate across the mucosal tissue but also back-diffuse toward the mucosal surface once the material at the surface is depleted.

15 A variety of suitable bioadhesives are disclosed in the art for oral administration, U.S. Patent No.s 3,972,995; 4,259,314; 4,680,323; 4,740,365; 4,573,996; 4,292,299; 4,715,369; 4,876,092; 4,855,142; 4,250,163; 4,226,848; 4,948,580; U.S. Patent Reissue 33,093, which find use within the novel methods and compositions of the invention. The potential of various bioadhesive polymers as a mucosal, e.g., nasal, delivery platform within the methods  
20 and compositions of the invention can be readily assessed by determining their ability to retain and release Y2 receptor-binding peptide, as well as by their capacity to interact with the mucosal surfaces following incorporation of the active agent therein. In addition, well known methods will be applied to determine the biocompatibility of selected polymers with the tissue at the site of mucosal administration. When the target mucosa is covered by mucus  
25 (i.e., in the absence of mucolytic or mucus-clearing treatment), it can serve as a connecting link to the underlying mucosal epithelium. Therefore, the term "bioadhesive" as used herein also covers mucoadhesive compounds useful for enhancing mucosal delivery of biologically active agents within the invention. However, adhesive contact to mucosal tissue mediated through adhesion to a mucus gel layer may be limited by incomplete or transient attachment  
30 between the mucus layer and the underlying tissue, particularly at nasal surfaces where rapid mucus clearance occurs. In this regard, mucin glycoproteins are continuously secreted and, immediately after their release from cells or glands, form a viscoelastic gel. The luminal surface of the adherent gel layer, however, is continuously eroded by mechanical, enzymatic and/or ciliary action. Where such activities are more prominent or where longer adhesion  
35 times are desired, the coordinate administration methods and combinatorial formulation

5 methods of the invention may further incorporate mucolytic and/or ciliostatic methods or agents as disclosed herein above.

Typically, mucoadhesive polymers for use within the invention are natural or synthetic macromolecules which adhere to wet mucosal tissue surfaces by complex, but non-specific, mechanisms. In addition to these mucoadhesive polymers, the invention also provides methods and compositions incorporating bioadhesives that adhere directly to a cell surface, rather than to mucus, by means of specific, including receptor-mediated, interactions. One example of bioadhesives that function in this specific manner is the group of compounds known as lectins. These are glycoproteins with an ability to specifically recognize and bind to sugar molecules, e.g. glycoproteins or glycolipids, which form part of intranasal epithelial cell membranes and can be considered as "lectin receptors".

In certain aspects of the invention, bioadhesive materials for enhancing intranasal delivery of biologically active agents comprise a matrix of a hydrophilic, e.g., water soluble or swellable, polymer or a mixture of polymers that can adhere to a wet mucous surface. These adhesives may be formulated as ointments, hydrogels (see above) thin films, and other application forms. Often, these adhesives have the biologically active agent mixed therewith to effectuate slow release or local delivery of the active agent. Some are formulated with additional ingredients to facilitate penetration of the active agent through the nasal mucosa, e.g., into the circulatory system of the individual.

Various polymers, both natural and synthetic ones, show significant binding to mucus and/or mucosal epithelial surfaces under physiological conditions. The strength of this interaction can readily be measured by mechanical peel or shear tests. When applied to a humid mucosal surface, many dry materials will spontaneously adhere, at least slightly. After such an initial contact, some hydrophilic materials start to attract water by adsorption, swelling or capillary forces, and if this water is absorbed from the underlying substrate or from the polymer-tissue interface, the adhesion may be sufficient to achieve the goal of enhancing mucosal absorption of biologically active agents. Such 'adhesion by hydration' can be quite strong, but formulations adapted to employ this mechanism must account for

5 swelling which continues as the dosage transforms into a hydrated mucilage. This is projected for many hydrocolloids useful within the invention, especially some cellulose-derivatives, which are generally non-adhesive when applied in pre-hydrated state. Nevertheless, bioadhesive drug delivery systems for mucosal administration are effective within the invention when such materials are applied in the form of a dry polymeric powder,  
10 microsphere, or film-type delivery form.

Other polymers adhere to mucosal surfaces not only when applied in dry, but also in fully hydrated state, and in the presence of excess amounts of water. The selection of a mucoadhesive thus requires due consideration of the conditions, physiological as well as  
15 physico-chemical, under which the contact to the tissue will be formed and maintained. In particular, the amount of water or humidity usually present at the intended site of adhesion, and the prevailing pH, are known to largely affect the mucoadhesive binding strength of different polymers.

20 Several polymeric bioadhesive drug delivery systems have been fabricated and studied in the past 20 years, not always with success. A variety of such carriers are, however, currently used in clinical applications involving dental, orthopedic, ophthalmological, and surgical uses. For example, acrylic-based hydrogels have been used extensively for bioadhesive devices. Acrylic-based hydrogels are well suited for bioadhesion due to their  
25 flexibility and nonabrasive characteristics in the partially swollen state, which reduce damage-causing attrition to the tissues in contact. Furthermore, their high permeability in the swollen state allows unreacted monomer, un-crosslinked polymer chains, and the initiator to be washed out of the matrix after polymerization, which is an important feature for selection of bioadhesive materials for use within the invention. Acrylic-based polymer devices exhibit  
30 very high adhesive bond strength. For controlled mucosal delivery of peptide and protein drugs, the methods and compositions of the invention optionally include the use of carriers, e.g., polymeric delivery vehicles, that function in part to shield the biologically active agent from proteolytic breakdown, while at the same time providing for enhanced penetration of the peptide or protein into or through the nasal mucosa. In this context, bioadhesive polymers  
35 have demonstrated considerable potential for enhancing oral drug delivery. As an example,

5 the bioavailability of 9-desglycinamide, 8-arginine vasopressin (DGA VP) intraduodenally administered to rats together with a 1% (w/v) saline dispersion of the mucoadhesive poly(acrylic acid) derivative polycarbophil, was 3-5-fold increased compared to an aqueous solution of the peptide drug without this polymer.

10 Mucoadhesive polymers of the poly(acrylic acid)-type are potent inhibitors of some intestinal proteases. The mechanism of enzyme inhibition is explained by the strong affinity of this class of polymers for divalent cations, such as calcium or zinc, which are essential cofactors of metallo-proteinases, such as trypsin and chymotrypsin. Depriving the proteases of their cofactors by poly(acrylic acid) was reported to induce irreversible structural changes  
15 of the enzyme proteins which were accompanied by a loss of enzyme activity. At the same time, other mucoadhesive polymers (e.g., some cellulose derivatives and chitosan) may not inhibit proteolytic enzymes under certain conditions. In contrast to other enzyme inhibitors contemplated for use within the invention (e.g. aprotinin, bestatin), which are relatively small molecules, the trans-nasal absorption of inhibitory polymers is likely to be minimal in light of  
20 the size of these molecules, and thereby eliminate possible adverse side effects. Thus, mucoadhesive polymers, particularly of the poly(acrylic acid)-type, may serve both as an absorption-promoting adhesive and enzyme-protective agent to enhance controlled delivery of peptide and protein drugs, especially when safety concerns are considered.

25 In addition to protecting against enzymatic degradation, bioadhesives and other polymeric or non-polymeric absorption-promoting agents for use within the invention may directly increase mucosal permeability to biologically active agents. To facilitate the transport of large and hydrophilic molecules, such as peptides and proteins, across the nasal epithelial barrier, mucoadhesive polymers and other agents have been postulated to yield  
30 enhanced permeation effects beyond what is accounted for by prolonged premucosal residence time of the delivery system. The time course of drug plasma concentrations reportedly suggested that the bioadhesive microspheres caused an acute, but transient increase of insulin permeability across the nasal mucosa. Other mucoadhesive polymers for use within the invention, for example chitosan, reportedly enhance the permeability of certain  
35 mucosal epithelia even when they are applied as an aqueous solution or gel. Another

5 mucoadhesive polymer reported to directly affect epithelial permeability is hyaluronic acid and ester derivatives thereof. A particularly useful bioadhesive agent within the coordinate administration, and/or combinatorial formulation methods and compositions of the invention is chitosan, as well as its analogs and derivatives. Chitosan is a non-toxic, biocompatible and biodegradable polymer that is widely used for pharmaceutical and medical applications  
10 because of its favorable properties of low toxicity and good biocompatibility. It is a natural polyaminosaccharide prepared from chitin by N-deacetylation with alkali. As used within the methods and compositions of the invention, chitosan increases the retention of Y2 receptor-binding peptide proteins, analogs and mimetics, and other biologically active agents disclosed herein at a mucosal site of application. This mode of administration can also improve patient  
15 compliance and acceptance. As further provided herein, the methods and compositions of the invention will optionally include a novel chitosan derivative or chemically modified form of chitosan. One such novel derivative for use within the invention is denoted as a  $\beta$ -[1 $\rightarrow$ 4]-2-guanidino-2-deoxy-D-glucose polymer (poly-GuD). Chitosan is the N-deacetylated product of chitin, a naturally occurring polymer that has been used extensively to prepare  
20 microspheres for oral and intra-nasal formulations. The chitosan polymer has also been proposed as a soluble carrier for parenteral drug delivery. Within one aspect of the invention, o-methylisourea is used to convert a chitosan amine to its guanidinium moiety. The guanidinium compound is prepared, for example, by the reaction between equi-normal solutions of chitosan and o-methylisourea at pH above 8.0.

25

The guanidinium product is -[14]-guanidino-2-deoxy-D-glucose polymer. It is abbreviated as Poly-GuD in this context (Monomer F.W. of Amine in Chitosan = 161; Monomer F.W. of Guanidinium in Poly-GuD = 203).

30

One exemplary Poly-GuD preparation method for use within the invention involves the following protocol.

5                    **Solutions:**

**Preparation of 0.5% Acetic Acid Solution (0.088N):**

Pipette 2.5 mL glacial acetic acid into a 500 mL volumetric flask, dilute to volume with purified water.

10                   **Preparation of 2N NaOH Solution:**

Transfer about 20 g NaOH pellets into a beaker with about 150 mL of purified water. Dissolve and cool to room temperature. Transfer the solution into a 250-mL volumetric flask, dilute to volume with purified water.

**Preparation of O-methylisourea Sulfate (0.4N urea group equivalent):**

15                   Transfer about 493 mg of O-methylisourea sulfate into a 10-mL volumetric flask, dissolve and dilute to volume with purified water.

The pH of the solution is 4.2

**Preparation of Barium Chloride Solution (0.2M):**

20                   Transfer about 2.086 g of Barium chloride into a 50-mL volumetric flask, dissolve and dilute to volume with purified water.

**Preparation of Chitosan Solution (0.06N amine equivalent):**

Transfer about 100 mg Chitosan into a 50 mL beaker, add 10 mL 0.5% Acetic Acid (0.088 N). Stir to dissolve completely.

The pH of the solution is about 4.5

25                   **Preparation of O-methylisourea Chloride Solution (0.2N urea group equivalent):**

Pipette 5.0 mL of O-methylisourea sulfate solution (0.4 N urea group equivalent) and 5 mL of 0.2M Barium chloride solution into a beaker. A precipitate is formed. Continue to mix the solution for additional 5 minutes. Filter the solution through 0.45m filter and discard the precipitate. The concentration of O-methylisourea chloride in the supernatant solution is 0.2 N urea group equivalents.

The pH of the solution is 4.2.

**Procedure:**

35                   Add 1.5 mL of 2 N NaOH to 10 mL of the chitosan solution (0.06N amine equivalent) prepared as described in Section 2.5. Adjust the pH of the solution with 2N

- 5 NaOH to about 8.2 to 8.4. Stir the solution for additional 10 minutes. Add 3.0 mL O-methylisourea chloride solution (0.2N urea group equivalent) prepared as described above. Stir the solution overnight.

Adjust the pH of solution to 5.5 with 0.5% Acetic Acid (0.088N).

Dilute the solution to a final volume of 25 mL using purified water.

- 10 The Poly-GuD concentration in the solution is 5 mg/mL, equivalent to 0.025 N (guanidium group).

- Additional compounds classified as bioadhesive agents for use within the present invention act by mediating specific interactions, typically classified as “receptor-ligand interactions” between complementary structures of the bioadhesive compound and a  
15 component of the mucosal epithelial surface. Many natural examples illustrate this form of specific binding bioadhesion, as exemplified by lectin-sugar interactions. Lectins are (glyco) proteins of non-immune origin which bind to polysaccharides or glycoconjugates.

- Several plant lectins have been investigated as possible pharmaceutical absorption-  
20 promoting agents. One plant lectin, Phaseolus vulgaris hemagglutinin (PHA), exhibits high oral bioavailability of more than 10% after feeding to rats. Tomato (*Lycopersicon esculentum*) lectin (TL) appears safe for various modes of administration.

- In summary, the foregoing bioadhesive agents are useful in the combinatorial  
25 formulations and coordinate administration methods of the instant invention, which optionally incorporate an effective amount and form of a bioadhesive agent to prolong persistence or otherwise increase mucosal absorption of one or more Y2 receptor-binding peptide proteins, analogs and mimetics, and other biologically active agents. The bioadhesive agents may be coordinately administered as adjunct compounds or as additives  
30 within the combinatorial formulations of the invention. In certain embodiments, the bioadhesive agent acts as a ‘pharmaceutical glue’, whereas in other embodiments adjunct delivery or combinatorial formulation of the bioadhesive agent serves to intensify contact of the biologically active agent with the nasal mucosa, in some cases by promoting specific receptor-ligand interactions with epithelial cell “receptors”, and in others by increasing  
35 epithelial permeability to significantly increase the drug concentration gradient measured at a



5 target site of delivery (e.g., liver, blood plasma, or CNS tissue or fluid). Yet additional bioadhesive agents for use within the invention act as enzyme (e.g., protease) inhibitors to enhance the stability of mucosally administered biotherapeutic agents delivered coordinately or in a combinatorial formulation with the bioadhesive agent.

## 10 **Liposomes and Micellar Delivery Vehicles**

The coordinate administration methods and combinatorial formulations of the instant invention optionally incorporate effective lipid or fatty acid based carriers, processing agents, or delivery vehicles, to provide improved formulations for mucosal delivery of Y2 receptor-binding peptide proteins, analogs and mimetics, and other biologically active agents. For  
15 example, a variety of formulations and methods are provided for mucosal delivery which comprise one or more of these active agents, such as a peptide or protein, admixed or encapsulated by, or coordinately administered with, a liposome, mixed micellar carrier, or emulsion, to enhance chemical and physical stability and increase the half life of the biologically active agents (e.g., by reducing susceptibility to proteolysis, chemical  
20 modification and/or denaturation) upon mucosal delivery.

Within certain aspects of the invention, specialized delivery systems for biologically active agents comprise small lipid vesicles known as liposomes. These are typically made from natural, biodegradable, non-toxic, and non-immunogenic lipid molecules, and can  
25 efficiently entrap or bind drug molecules, including peptides and proteins, into, or onto, their membranes. The attractiveness of liposomes as a peptide and protein delivery system within the invention is increased by the fact that the encapsulated proteins can remain in their preferred aqueous environment within the vesicles, while the liposomal membrane protects them against proteolysis and other destabilizing factors. Even though not all liposome  
30 preparation methods known are feasible in the encapsulation of peptides and proteins due to their unique physical and chemical properties, several methods allow the encapsulation of these macromolecules without substantial deactivation.

A variety of methods are available for preparing liposomes for use within the  
35 invention, U.S. Patent Nos. 4,235,871, 4,501,728, and 4,837,028. For use with liposome

- 5 delivery, the biologically active agent is typically entrapped within the liposome, or lipid vesicle, or is bound to the outside of the vesicle.

Like liposomes, unsaturated long chain fatty acids, which also have enhancing activity for mucosal absorption, can form closed vesicles with bilayer-like structures (so  
10 called “ufasomes”). These can be formed, for example, using oleic acid to entrap biologically active peptides and proteins for mucosal, e.g., intranasal, delivery within the invention.

Other delivery systems for use within the invention combine the use of polymers and liposomes to ally the advantageous properties of both vehicles such as encapsulation inside  
15 the natural polymer fibrin. In addition, release of biotherapeutic compounds from this delivery system is controllable through the use of covalent crosslinking and the addition of antifibrinolytic agents to the fibrin polymer.

More simplified delivery systems for use within the invention include the use of  
20 cationic lipids as delivery vehicles or carriers, which can be effectively employed to provide an electrostatic interaction between the lipid carrier and such charged biologically active agents as proteins and polyanionic nucleic acids. This allows efficient packaging of the drugs into a form suitable for mucosal administration and/or subsequent delivery to systemic compartments.

25 Additional delivery vehicles for use within the invention include long and medium chain fatty acids, as well as surfactant mixed micelles with fatty acids. Most naturally occurring lipids in the form of esters have important implications with regard to their own transport across mucosal surfaces. Free fatty acids and their monoglycerides which have polar groups attached have been demonstrated in the form of mixed micelles to act on the  
30 intestinal barrier as penetration enhancers. This discovery of barrier modifying function of free fatty acids (carboxylic acids with a chain length varying from 12 to 20 carbon atoms) and their polar derivatives has stimulated extensive research on the application of these agents as mucosal absorption enhancers.

5           For use within the methods of the invention, long chain fatty acids, especially fusogenic lipids (unsaturated fatty acids and monoglycerides such as oleic acid, linoleic acid, linoleic acid, monoolein, etc.) provide useful carriers to enhance mucosal delivery of Y2 receptor-binding peptide, analogs and mimetics, and other biologically active agents disclosed herein. Medium chain fatty acids (C6 to C12) and monoglycerides have also been  
10 shown to have enhancing activity in intestinal drug absorption and can be adapted for use within the mucosal delivery formulations and methods of the invention. In addition, sodium salts of medium and long chain fatty acids are effective delivery vehicles and absorption-enhancing agents for mucosal delivery of biologically active agents within the invention. Thus, fatty acids can be employed in soluble forms of sodium salts or by the addition of non-  
15 toxic surfactants, e.g., polyoxyethylated hydrogenated castor oil, sodium taurocholate, etc. Other fatty acid and mixed micellar preparations that are useful within the invention include, but are not limited to, Na caprylate (C8), Na caprate (C10), Na laurate (C12) or Na oleate (C18), optionally combined with bile salts, such as glycocholate and taurocholate.

## 20   **Pegylation**

Additional methods and compositions provided within the invention involve chemical modification of biologically active peptides and proteins by covalent attachment of polymeric materials, for example dextrans, polyvinyl pyrrolidones, glycopeptides, polyethylene glycol and polyamino acids. The resulting conjugated peptides and proteins retain their biological  
25 activities and solubility for mucosal administration. In alternate embodiments, Y2 receptor-binding peptide proteins, analogs and mimetics, and other biologically active peptides and proteins, are conjugated to polyalkylene oxide polymers, particularly polyethylene glycols (PEG). U.S. Patent No. 4,179,337.

30           Amine-reactive PEG polymers for use within the invention include SC-PEG with molecular masses of 2000, 5000, 10000, 12000, and 20 000; U-PEG-10000; NHS-PEG-3400-biotin; T-PEG-5000; T-PEG-12000; and TPC-PEG-5000. PEGylation of biologically active peptides and proteins may be achieved by modification of carboxyl sites (e.g., aspartic acid or glutamic acid groups in addition to the carboxyl terminus). The utility of PEG-hydrazide in  
35 selective modification of carbodiimide-activated protein carboxyl groups under acidic

5 conditions has been described. Alternatively, bifunctional PEG modification of biologically active peptides and proteins can be employed. In some procedures, charged amino acid residues, including lysine, aspartic acid, and glutamic acid, have a marked tendency to be solvent accessible on protein surfaces.

#### 10 **Other Stabilizing Modifications of Active Agents**

In addition to PEGylation, biologically active agents such as peptides and proteins for use within the invention can be modified to enhance circulating half-life by shielding the active agent via conjugation to other known protecting or stabilizing compounds, for example by the creation of fusion proteins with an active peptide, protein, analog or mimetic linked to  
15 one or more carrier proteins, such as one or more immunoglobulin chains.

#### **Formulation and Administration**

Mucosal delivery formulations of the present invention comprise Y2 receptor-binding peptide, analogs and mimetics, typically combined together with one or more  
20 pharmaceutically acceptable carriers and, optionally, other therapeutic ingredients. The carrier(s) must be "pharmaceutically acceptable" in the sense of being compatible with the other ingredients of the formulation and not eliciting an unacceptable deleterious effect in the subject. Such carriers are described herein above or are otherwise well known to those skilled in the art of pharmacology. Desirably, the formulation should not include substances  
25 such as enzymes or oxidizing agents with which the biologically active agent to be administered is known to be incompatible. The formulations may be prepared by any of the methods well known in the art of pharmacy.

Within the compositions and methods of the invention, the Y2 receptor-binding  
30 peptide proteins, analogs and mimetics, and other biologically active agents disclosed herein may be administered to subjects by a variety of mucosal administration modes, including by oral, rectal, vaginal, intranasal, intrapulmonary, or transdermal delivery, or by topical delivery to the eyes, ears, skin or other mucosal surfaces. Optionally, Y2 receptor-binding peptide proteins, analogs and mimetics, and other biologically active agents disclosed herein  
35 can be coordinately or adjunctively administered by non-mucosal routes, including by

5 intramuscular, subcutaneous, intravenous, intra-atrial, intra-articular, intraperitoneal, or parenteral routes. In other alternative embodiments, the biologically active agent(s) can be administered *ex vivo* by direct exposure to cells, tissues or organs originating from a mammalian subject, for example as a component of an *ex vivo* tissue or organ treatment formulation that contains the biologically active agent in a suitable, liquid or solid carrier.

10

Compositions according to the present invention are often administered in an aqueous solution as a nasal or pulmonary spray and may be dispensed in spray form by a variety of methods known to those skilled in the art. Preferred systems for dispensing liquids as a nasal spray are disclosed in U.S. Patent No. 4,511,069. The formulations may be presented in multi-dose containers, for example in the sealed dispensing system disclosed in U.S. Patent No. 4,511,069. Additional aerosol delivery forms may include, e.g., compressed air-, jet-, ultrasonic-, and piezoelectric nebulizers, which deliver the biologically active agent dissolved or suspended in a pharmaceutical solvent, e.g., water, ethanol, or a mixture thereof.

15

20

Nasal and pulmonary spray solutions of the present invention typically comprise the drug or drug to be delivered, optionally formulated with a surface-active agent, such as a nonionic surfactant (e.g., polysorbate-80), and one or more buffers. In some embodiments of the present invention, the nasal spray solution further comprises a propellant. The pH of the nasal spray solution is optionally between about pH 3.0 and 6.0, preferably  $5.0 \pm 0.3$ . Suitable buffers for use within these compositions are as described above or as otherwise known in the art. Other components may be added to enhance or maintain chemical stability, including preservatives, surfactants, dispersants, or gases. Suitable preservatives include, but are not limited to, phenol, methyl paraben, paraben, m-cresol, thiomersal, chlorobutanol, benzylalkonium chloride, and the like. Suitable surfactants include, but are not limited to, oleic acid, sorbitan trioleate, polysorbates, lecithin, phosphatidyl cholines, and various long chain diglycerides and phospholipids. Suitable dispersants include, but are not limited to, ethylenediaminetetraacetic acid, and the like. Suitable gases include, but are not limited to, nitrogen, helium, chlorofluorocarbons (CFCs), hydrofluorocarbons (HFCs), carbon dioxide, air, and the like.

25

30

35

5           Within alternate embodiments, mucosal formulations are administered as dry powder formulations comprising the biologically active agent in a dry, usually lyophilized, form of an appropriate particle size, or within an appropriate particle size range, for intranasal delivery. Minimum particle size appropriate for deposition within the nasal or pulmonary passages is often about 0.5  $\mu$  mass median equivalent aerodynamic diameter (MMEAD), commonly  
10   about 1  $\mu$  MMEAD, and more typically about 2  $\mu$  MMEAD. Maximum particle size appropriate for deposition within the nasal passages is often about 10  $\mu$  MMEAD, commonly about 8  $\mu$  MMEAD, and more typically about 4  $\mu$  MMEAD. Intranasally respirable powders within these size ranges can be produced by a variety of conventional techniques, such as jet milling, spray drying, solvent precipitation, supercritical fluid condensation, and the like.  
15   These dry powders of appropriate MMEAD can be administered to a patient via a conventional dry powder inhaler (DPI), which rely on the patient's breath, upon pulmonary or nasal inhalation, to disperse the powder into an aerosolized amount. Alternatively, the dry powder may be administered via air-assisted devices that use an external power source to disperse the powder into an aerosolized amount, e.g., a piston pump.

20

          Dry powder devices typically require a powder mass in the range from about 1 mg to 20 mg to produce a single aerosolized dose ("puff"). If the required or desired dose of the biologically active agent is lower than this amount, the powdered active agent will typically be combined with a pharmaceutical dry bulking powder to provide the required total powder  
25   mass. Preferred dry bulking powders include sucrose, lactose, dextrose, mannitol, glycine, trehalose, human serum albumin (HSA), and starch. Other suitable dry bulking powders include cellobiose, dextrans, maltotriose, pectin, sodium citrate, sodium ascorbate, and the like.

30           To formulate compositions for mucosal delivery within the present invention, the biologically active agent can be combined with various pharmaceutically acceptable additives, as well as a base or carrier for dispersion of the active agent(s). Desired additives include, but are not limited to, pH control agents, such as arginine, sodium hydroxide, glycine, hydrochloric acid, citric acid, etc. In addition, local anesthetics (e.g., benzyl  
35   alcohol), isotonicizing agents (e.g., sodium chloride, mannitol, sorbitol), adsorption inhibitors

5 (e.g., Tween 80), solubility enhancing agents (e.g., cyclodextrins and derivatives thereof), stabilizers (e.g., serum albumin), and reducing agents (e.g., glutathione) can be included. When the composition for mucosal delivery is a liquid, the tonicity of the formulation, as measured with reference to the tonicity of 0.9% (w/v) physiological saline solution taken as unity, is typically adjusted to a value at which no substantial, irreversible tissue damage will  
10 be induced in the nasal mucosa at the site of administration. Generally, the tonicity of the solution is adjusted to a value of about 1/3 to 3, more typically 1/2 to 2, and most often 3/4 to 1.7.

The biologically active agent may be dispersed in a base or vehicle, which may  
15 comprise a hydrophilic compound having a capacity to disperse the active agent and any desired additives. The base may be selected from a wide range of suitable carriers, including but not limited to, copolymers of polycarboxylic acids or salts thereof, carboxylic anhydrides (e.g. maleic anhydride) with other monomers (e.g. methyl (meth)acrylate, acrylic acid, etc.), hydrophilic vinyl polymers such as polyvinyl acetate, polyvinyl alcohol,  
20 polyvinylpyrrolidone, cellulose derivatives such as hydroxymethylcellulose, hydroxypropylcellulose, etc., and natural polymers such as chitosan, collagen, sodium alginate, gelatin, hyaluronic acid, and nontoxic metal salts thereof. Often, a biodegradable polymer is selected as a base or carrier, for example, polylactic acid, poly(lactic acid-glycolic acid) copolymer, polyhydroxybutyric acid, poly(hydroxybutyric acid-glycolic acid)  
25 copolymer and mixtures thereof. Alternatively or additionally, synthetic fatty acid esters such as polyglycerin fatty acid esters, sucrose fatty acid esters, etc. can be employed as carriers. Hydrophilic polymers and other carriers can be used alone or in combination, and enhanced structural integrity can be imparted to the carrier by partial crystallization, ionic bonding, crosslinking and the like. The carrier can be provided in a variety of forms,  
30 including, fluid or viscous solutions, gels, pastes, powders, microspheres and films for direct application to the nasal mucosa. The use of a selected carrier in this context may result in promotion of absorption of the biologically active agent.

The biologically active agent can be combined with the base or carrier according to a  
35 variety of methods, and release of the active agent may be by diffusion, disintegration of the

5 carrier, or associated formulation of water channels. In some circumstances, the active agent is dispersed in microcapsules (microspheres) or nanocapsules (nanospheres) prepared from a suitable polymer, e.g., isobutyl 2-cyanoacrylate and dispersed in a biocompatible dispersing medium applied to the nasal mucosa, which yields sustained delivery and biological activity over a protracted time.

10

To further enhance mucosal delivery of pharmaceutical agents within the invention, formulations comprising the active agent may also contain a hydrophilic low molecular weight compound as a base or excipient. Such hydrophilic low molecular weight compounds provide a passage medium through which a water-soluble active agent, such as a  
15 physiologically active peptide or protein, may diffuse through the base to the body surface where the active agent is absorbed. The hydrophilic low molecular weight compound optionally absorbs moisture from the mucosa or the administration atmosphere and dissolves the water-soluble active peptide. The molecular weight of the hydrophilic low molecular weight compound is generally not more than 10000 and preferably not more than 3000.  
20 Exemplary hydrophilic low molecular weight compound include polyol compounds, such as oligo-, di- and monosaccharides such as sucrose, mannitol, sorbitol, lactose, L-arabinose, D-erythrose, D-ribose, D-xylose, D-mannose, trehalose, D-galactose, lactulose, cellobiose, gentibiose, glycerin and polyethylene glycol. Other examples of hydrophilic low molecular weight compounds useful as carriers within the invention include N-methylpyrrolidone, and  
25 alcohols (e.g. oligovinyl alcohol, ethanol, ethylene glycol, propylene glycol, etc.) These hydrophilic low molecular weight compounds can be used alone or in combination with one another or with other active or inactive components of the intranasal formulation.

The compositions of the invention may alternatively contain as pharmaceutically  
30 acceptable carriers substances as required to approximate physiological conditions, such as pH adjusting and buffering agents, tonicity adjusting agents, wetting agents and the like, for example, sodium acetate, sodium lactate, sodium chloride, potassium chloride, calcium chloride, sorbitan monolaurate, triethanolamine oleate, etc. For solid compositions, conventional nontoxic pharmaceutically acceptable carriers can be used which include, for



- 5 example, pharmaceutical grades of mannitol, lactose, starch, magnesium stearate, sodium saccharin, talcum, cellulose, glucose, sucrose, magnesium carbonate, and the like.

Therapeutic compositions for administering the biologically active agent can also be formulated as a solution, microemulsion, or other ordered structure suitable for high  
10 concentration of active ingredients. The carrier can be a solvent or dispersion medium containing, for example, water, ethanol, polyol (for example, glycerol, propylene glycol, and liquid polyethylene glycol, and the like), and suitable mixtures thereof. Proper fluidity for solutions can be maintained, for example, by the use of a coating such as lecithin, by the maintenance of a desired particle size in the case of dispersible formulations, and by the use  
15 of surfactants. In many cases, it will be desirable to include isotonic agents, for example, sugars, polyalcohols such as mannitol, sorbitol, or sodium chloride in the composition. Prolonged absorption of the biologically active agent can be brought about by including in the composition an agent which delays absorption, for example, monostearate salts and gelatin.

20

In certain embodiments of the invention, the biologically active agent is administered in a time-release formulation, for example in a composition which includes a slow release polymer. The active agent can be prepared with carriers that will protect against rapid release, for example a controlled release vehicle such as a polymer, microencapsulated  
25 delivery system or bioadhesive gel. Prolonged delivery of the active agent, in various compositions of the invention can be brought about by including in the composition agents that delay absorption, for example, aluminum monostearate hydrogels and gelatin. When controlled release formulations of the biologically active agent is desired, controlled release binders suitable for use in accordance with the invention include any biocompatible  
30 controlled-release material which is inert to the active agent and which is capable of incorporating the biologically active agent. Numerous such materials are known in the art. Useful controlled-release binders are materials that are metabolized slowly under physiological conditions following their intranasal delivery (e.g., at the nasal mucosal surface, or in the presence of bodily fluids following transmucosal delivery). Appropriate  
35 binders include but are not limited to biocompatible polymers and copolymers previously

5 used in the art in sustained release formulations. Such biocompatible compounds are non-toxic and inert to surrounding tissues, and do not trigger significant adverse side effects such as nasal irritation, immune response, inflammation, or the like. They are metabolized into metabolic products that are also biocompatible and easily eliminated from the body.

10 Exemplary polymeric materials for use in this context include, but are not limited to, polymeric matrices derived from copolymeric and homopolymeric polyesters having hydrolysable ester linkages. A number of these are known in the art to be biodegradable and to lead to degradation products having no or low toxicity. Exemplary polymers include polyglycolic acids (PGA) and polylactic acids (PLA), poly(DL-lactic acid-co-glycolic  
15 acid)(DL PLGA), poly(D-lactic acid-coglycolic acid)(D PLGA) and poly(L-lactic acid-co-glycolic acid)(L PLGA). Other useful biodegradable or bioerodable polymers include but are not limited to such polymers as poly(epsilon-caprolactone), poly(epsilon-aprolactone-CO-lactic acid), poly(epsilon-aprolactone-CO-glycolic acid), poly(beta-hydroxy butyric acid), poly(alkyl-2-cyanoacrylate), hydrogels such as poly(hydroxyethyl methacrylate), polyamides,  
20 poly(amino acids) (i.e., L-leucine, glutamic acid, L-aspartic acid and the like), poly (ester urea), poly (2-hydroxyethyl DL-aspartamide), polyacetal polymers, polyorthoesters, polycarbonate, polymaleamides, polysaccharides and copolymers thereof. Many methods for preparing such formulations are generally known to those skilled in the art. Other useful formulations include controlled-release compositions e.g., microcapsules, U.S. Patent Nos.  
25 4,652,441 and 4,917,893, lactic acid-glycolic acid copolymers useful in making microcapsules and other formulations, U.S. Patent Nos. 4,677,191 and 4,728,721, and sustained-release compositions for water-soluble peptides, U.S. Patent No. 4,675,189.

30 Sterile solutions can be prepared by incorporating the active compound in the required amount in an appropriate solvent with one or a combination of ingredients enumerated above, as required, followed by filtered sterilization. Generally, dispersions are prepared by incorporating the active compound into a sterile vehicle that contains a basic dispersion medium and the required other ingredients from those enumerated above. In the case of sterile powders, methods of preparation include vacuum drying and freeze-drying which  
35 yields a powder of the active ingredient plus any additional desired ingredient from a

5 previously sterile-filtered solution thereof. The prevention of the action of microorganisms can be accomplished by various antibacterial and antifungal agents, for example, parabens, chlorobutanol, phenol, sorbic acid, thimerosal, and the like.

Mucosal administration according to the invention allows effective self-administration  
10 of treatment by patients, provided that sufficient safeguards are in place to control and monitor dosing and side effects. Mucosal administration also overcomes certain drawbacks of other administration forms, such as injections, that are painful and expose the patient to possible infections and may present drug bioavailability problems. For nasal and pulmonary delivery, systems for controlled aerosol dispensing of therapeutic liquids as a spray are well  
15 known. In one embodiment, metered doses of active agent are delivered by means of a specially constructed mechanical pump valve, U.S. Patent No. 4,511,069.

### Dosage

For prophylactic and treatment purposes, the biologically active agent(s) disclosed  
20 herein may be administered to the subject in a single bolus delivery, via continuous delivery (e.g., continuous transdermal, mucosal, or intravenous delivery) over an extended time period, or in a repeated administration protocol (e.g., by an hourly, daily or weekly, repeated administration protocol). In this context, a therapeutically effective dosage of the Y2 receptor-binding peptide may include repeated doses within a prolonged prophylaxis or  
25 treatment regimen that will yield clinically significant results to alleviate one or more symptoms or detectable conditions associated with a targeted disease or condition as set forth above. Determination of effective dosages in this context is typically based on animal model studies followed up by human clinical trials and is guided by determining effective dosages and administration protocols that significantly reduce the occurrence or severity of targeted  
30 disease symptoms or conditions in the subject. Suitable models in this regard include, for example, murine, rat, porcine, feline, non-human primate, and other accepted animal model subjects known in the art. Alternatively, effective dosages can be determined using *in vitro* models (e.g., immunologic and histopathologic assays). Using such models, only ordinary calculations and adjustments are typically required to determine an appropriate concentration  
35 and dose to administer a therapeutically effective amount of the biologically active agent(s)

- 5 (e.g., amounts that are intranasally effective, transdermally effective, intravenously effective, or intramuscularly effective to elicit a desired response).

The actual dosage of biologically active agents will of course vary according to factors such as the disease indication and particular status of the subject (e.g., the subject's age, size, fitness, extent of symptoms, susceptibility factors, etc), time and route of administration, other drugs or treatments being administered concurrently, as well as the specific pharmacology of the biologically active agent(s) for eliciting the desired activity or biological response in the subject. Dosage regimens may be adjusted to provide an optimum prophylactic or therapeutic response. A therapeutically effective amount is also one in which any toxic or detrimental side effects of the biologically active agent are outweighed in clinical terms by therapeutically beneficial effects. A non-limiting range for a therapeutically effective amount of an Y2 agonist within the methods and formulations of the invention is 0.7 µg/kg to about 25 µg/kg. To promote weight loss, an intranasal dose of Y2 receptor-binding peptide is administered at dose high enough to promote satiety but low enough so as not to induce any unwanted side-effects such as nausea. A preferred intranasal dose of PYY<sub>3-36</sub> is about 1 µg – 10 µg/kg weight of the patient, most preferably from about 1.5 µg/kg to about 3 µg/kg weight of the patient. In a standard dose a patient will receive 50 µg to 1600 µg, more preferably about between 75 µg to 800 µg, most preferably 100 µg, 150 µg, 200 µg to about 400 µg. Alternatively, a non-limiting range for a therapeutically effective amount of a biologically active agent within the methods and formulations of the invention is between about 0.001 pmol to about 100 pmol per kg body weight, between about 0.01 pmol to about 10 pmol per kg body weight, between about 0.1 pmol to about 5 pmol per kg body weight, or between about 0.5 pmol to about 1.0 pmol per kg body weight. Dosages within this range can be achieved by single or multiple administrations, including, e.g., multiple administrations per day, daily or weekly administrations. Per administration, it is desirable to administer at least one microgram of the biologically active agent (e.g., one or more Y2 receptor-binding peptide proteins, analogs and mimetics, and other biologically active agents), more typically between about 10 µg and 5.0 mg, and in certain embodiments between about 100 µg and 1.0 or 2.0 mg to an average human subject. For certain oral applications, doses as high as 0.5 mg per kg body weight may be necessary to achieve

5 adequate plasma levels. It is to be further noted that for each particular subject, specific dosage regimens should be evaluated and adjusted over time according to the individual need and professional judgment of the person administering or supervising the administration of the permeabilizing peptide(s) and other biologically active agent(s). An intranasal dose of a PYY will range from 50 µg to 1600 µg of PYY, preferably 75 µg to 800 µg, more preferably  
10 100 µg to 400 µg with a most preferred dose being between 100 µg to 200µg with 150 µg being a dose that is considered to be highly effective. Repeated intranasal dosing with the formulations of the invention, on a schedule ranging from about 0.1 to 24 hours between doses, preferably between 0.5 and 24.0 hours between doses, will maintain normalized, sustained therapeutic levels of Y2 receptor-binding peptide to maximize clinical benefits  
15 while minimizing the risks of excessive exposure and side effects. This dose can be administered several times a day to promote satiety, preferably one half hour before a meal or when hunger occurs. The goal is to mucosally deliver an amount of the Y2 receptor-binding peptide sufficient to raise the concentration of the Y2 receptor-binding peptide in the plasma of an individual to mimic the concentration that would normally occur postprandially, *i.e.*,  
20 after the individual has finished eating.

Dosage of Y2 agonists such as PYY may be varied by the attending clinician or patient, if self administering an over the counter dosage form, to maintain a desired concentration at the target site.

25

In an alternative embodiment, the invention provides compositions and methods for intranasal delivery of Y2 receptor-binding peptide, wherein the Y2 receptor-binding peptide compound(s) is/are repeatedly administered through an intranasal effective dosage regimen that involves multiple administrations of the Y2 receptor-binding peptide to the subject  
30 during a daily or weekly schedule to maintain a therapeutically effective elevated and lowered pulsatile level of Y2 receptor-binding peptide during an extended dosing period. The compositions and method provide Y2 receptor-binding peptide compound(s) that are self-administered by the subject in a nasal formulation between one and six times daily to maintain a therapeutically effective elevated and lowered pulsatile level of Y2 receptor-  
35 binding peptide during an 8 hour to 24 hour extended dosing period.

5

**Kits**

The instant invention also includes kits, packages and multicontainer units containing the above described pharmaceutical compositions, active ingredients, and/or means for administering the same for use in the prevention and treatment of diseases and other  
10 conditions in mammalian subjects. Briefly, these kits include a container or formulation that contains one or more Y2 receptor-binding peptide proteins, analogs or mimetics, and/or other biologically active agents in combination with mucosal delivery enhancing agents disclosed herein formulated in a pharmaceutical preparation for mucosal delivery.

15 The intranasal formulations of the present invention can be administered using any spray bottle or syringe. An example of a nasal spray bottle is the, "Nasal Spray Pump w/ Safety Clip, Pfeiffer SAP # 60548, which delivers a dose of 0.1mL per squirt and has a diptube length of 36.05 mm. It can be purchased from Pfeiffer of America of Princeton, NJ. Intranasal doses of a Y2 receptor-binding peptide such as PYY can range from 0.1µg/kg to  
20 about 1500 µg/kg. When administered in as an intranasal spray, it is preferable that the particle size of the spray are between 10 – 100 µm (microns) in size, preferably 20 – 100 µm in size.

To promote weight loss, an intranasal dose of a Y2 receptor-binding peptide PYY is  
25 administered at dose high enough to promote satiety but low enough so as not to induce any unwanted side-effects such as nausea. A preferred intranasal dose of a Y2 receptor-binding peptide such as PYY(3-36) is about 3 µg – 10 µg/kg weight of the patient, most preferably about 6 µg/kg weight of the patient. In a standard dose a patient will receive 50 µg to 800 µg, more preferably about between 100 µg to 400 µg, most preferably 150 µg to about 200 µg.  
30 The a Y2 receptor-binding peptide such as PYY(3-36) is preferably administered at least ten minutes to one hour prior to eating to prevent nausea but no more than about twelve to twenty-four hours prior to eating. The patient is dosed at least once a day preferably before every meal until the patient has lost a desired amount of weight. The patient then receives maintenance doses at least once a week preferably daily to maintain the weight loss."

5

As is shown by the data from the following examples, when administered intranasally to humans using the Y2 receptor-binding peptide formulation of the present invention, PYY(3-36) was found to reduce appetite. The examples also show that for the first time post-prandial physiological levels of a PYY peptide could be reached through an intranasal route of administration using the Y2 receptor-binding peptide formulations of the present invention in which PYY(3-36) was the Y2 receptor-binding peptide.

### **Aerosol Nasal Administration of PYY**

We have discovered that the Y2 receptor-binding peptides can be administered intranasally using a nasal spray or aerosol. This is surprising because many proteins and peptides have been shown to be sheared or denatured due to the mechanical forces generated by the actuator in producing the spray or aerosol. In this area the following definitions are useful.

1. Aerosol – A product that is packaged under pressure and contains therapeutically active ingredients that are released upon activation of an appropriate valve system.
2. Metered aerosol – A pressurized dosage form comprised of metered dose valves, which allow for the delivery of a uniform quantity of spray upon each activation.
3. Powder aerosol – A product that is packaged under pressure and contains therapeutically active ingredients in the form of a powder, which are released upon activation of an appropriate valve system.
4. Spray aerosol – An aerosol product that utilizes a compressed gas as the propellant to provide the force necessary to expel the product as a wet spray; it generally applicable to solutions of medicinal agents in aqueous solvents.
5. Spray – A liquid minutely divided as by a jet of air or steam. Nasal spray drugproducts contain therapeutically active ingredients dissolved or suspended in solutions or mixtures of excipients in nonpressurized dispensers.
6. Metered spray – A non-pressurized dosage form consisting of valves that allow the dispensing of a specified quantity of spray upon each activation.
7. Suspension spray – A liquid preparation containing solid particles dispersed in a liquid vehicle and in the form of coarse droplets or as finely divided solids.

- 5 The fluid dynamic characterization of the aerosol spray emitted by metered nasal spray pumps as a drug delivery device ("DDD"). Spray characterization is an integral part of the regulatory submissions necessary for Food and Drug Administration ("FDA") approval of research and development, quality assurance and stability testing procedures for new and existing nasal spray pumps.
- 10 Thorough characterization of the spray's geometry has been found to be the best indicator of the overall performance of nasal spray pumps. In particular, measurements of the spray's divergence angle (plume geometry) as it exits the device; the spray's cross-sectional ellipticity, uniformity and particle/droplet distribution (spray pattern); and the time evolution
- 15 of the developing spray have been found to be the most representative performance quantities in the characterization of a nasal spray pump. During quality assurance and stability testing, plume geometry and spray pattern measurements are key identifiers for verifying consistency and conformity with the approved data criteria for the nasal spray pumps.
- 20 Definitions
- Plume Height – the measurement from the actuator tip to the point at which the plume angle becomes non-linear because of the breakdown of linear flow. Based on a visual examination of digital images, and to establish a measurement point for width that is consistent with the farthest measurement point of spray pattern, a height of 30 mm is defined for this study
- 25 Major Axis – the largest chord that can be drawn within the fitted spray pattern that crosses the COMw in base units (mm)
- Minor Axis – the smallest chord that can be drawn within the fitted spray pattern that crosses the COMw in base units (mm)
- Ellipticity Ratio – the ratio of the major axis to the minor axis
- 30  $D_{10}$  – the diameter of droplet for which 10% of the total liquid volume of sample consists of droplets of a smaller diameter ( $\mu\text{m}$ )
- $D_{50}$  – the diameter of droplet for which 50% of the total liquid volume of sample consists of droplets of a smaller diameter ( $\mu\text{m}$ ), also known as the mass median diameter
- 35  $D_{90}$  – the diameter of droplet for which 90% of the total liquid volume of sample consists of droplets of a smaller diameter ( $\mu\text{m}$ )



- 5      Span – measurement of the width of the distribution, The smaller the value, the narrower the distribution. Span is calculated as  $\frac{(D_{90} - D_{10})}{D_{50}}$ .

% RSD – percent relative standard deviation, the standard deviation divided by the mean of the series and multiplied by 100, also known as % CV.

- 10              Figures 21A and 21B show a nasal spray device 10 before engagement (FIG. 21A) and after engagement (FIG.21B). The nasal spray bottle 10 is comprised of a bottle 12 into which is the nasal Y2 receptor-binding peptide formulation is placed, and an actuator 14, which when actuated or engage forces a spray plume, 16, of the Y2 receptor-binding peptide out of the spray bottle, 12, through the actuator, 14. A spray pattern is determined by taking a  
15      photograph of a cross-section of the spray plume 16 above a predetermined height, 18, of the plume. The spray plume also has angle of ejection, 20, as it leaves actuator, 14. A spray pattern of spray plume 16 is shown on FIG. 22. Spray pattern 22, is elliptical and has a major axis, 24, and a minor axis 26.

- 20              The following examples are provided by way of illustration, not limitation.

5

**EXAMPLE 1**

An exemplary formulation for enhanced nasal mucosal delivery of peptide YY following the teachings of the instant specification was prepared and evaluated as follows:

10

**Table 1: Peptide YY formulation composition**

Formulations	Peptide YY <sub>3-36</sub> Per 100ml Sample	Mucosal Delivery Enhancing Agent
A	60 µg	Phosphate-buffered saline (0.8%) pH 7.4 (Control 1)
B	60 µg	Phosphate-buffered saline (0.8%) pH 5.0 (Control 2)
C	60 µg	L-Arginine (10% w/v)
D	60 µg	Poly-L-Arginine (0.5% w/v)
E	60 µg	Gamma-Cyclodextrin (1% w/v)
F	60 µg	α-Cyclodextrin (5% w/v)
G	60 µg	Methyl-β-Cyclodextrin (3% w/v)
H	60 µg	n-Capric Acid Sodium (0.075% w/v)
I	60 µg	Chitosan (0.5% w/v)
J	60 µg	L-α-phosphatidilcholine didecanyl (3.5% w/v)
K	60 µg	S-Nitroso-N-Acetyl-Penicillamine (0.5% w/v)
L	60 µg	Palmitoyl-DL-Carnitine (0.02% w/v)
M	60 µg	Pluronic-127 (0.3% w/v)
N	60 µg	Sodium Nitroprusside (0.3% w/v)
O	60 µg	Sodium Glycocholate (1% w/v)
P	60 µg	F1: Gelatin, DDPC, MBCD, EDTA
F 1		L-α-phosphatidilcholine didecanyl (0.5% w/v) Methyl β Cyclodextrin (3% w/v) EDTA (0.1% w/v, Inf. Conc. 0.5 M) Gelatin (0.5 % w/v)

**EXAMPLE 2****Nasal mucosal Delivery - Permeation Kinetics and Cytotoxicity**

15

**1. Organotypic Model**

The following methods are generally useful for evaluating nasal mucosal delivery parameters, kinetics and side effects for peptide YY within the formulations and method of

- 5 the invention, as well as for determining the efficacy and characteristics of the various intranasal delivery-enhancing agents disclosed herein for combinatorial formulation or coordinate administration with peptide YY.

10 Permeation kinetics and cytotoxicity are also useful for determining the efficacy and characteristics of the various mucosal delivery-enhancing agents disclosed herein for combinatorial formulation or coordinate administration with mucosal delivery-enhancing agents. In one exemplary protocol, permeation kinetics and lack of unacceptable cytotoxicity are demonstrated for an intranasal delivery-enhancing agent as disclosed above in combination with a biologically active therapeutic agent, exemplified by peptide YY.

15 The EpiAirway system was developed by MatTek Corp (Ashland, MA) as a model of the pseudostratified epithelium lining the respiratory tract. The epithelial cells are grown on porous membrane-bottomed cell culture inserts at an air-liquid interface, which results in differentiation of the cells to a highly polarized morphology. The apical surface is ciliated with a microvillous ultrastructure and the epithelium produces mucus (the presence of mucin has been confirmed by immunoblotting). The inserts have a diameter of 0.875 cm, providing a surface area of 0.6 cm<sup>2</sup>. The cells are plated onto the inserts at the factory approximately three weeks before shipping. One "kit" consists of 24 units.

25 A. On arrival, the units are placed onto sterile supports in 6-well microplates. Each well receives 5 mL of proprietary culture medium. This DMEM-based medium is serum free but is supplemented with epidermal growth factor and other factors. The medium is always tested for endogenous levels of any cytokine or growth factor, which is being considered for intranasal delivery, but has been free of all cytokines and factors studied to date except insulin. The 5 mL volume is just sufficient to provide contact to the bottoms of the units on their stands, but the apical surface of the epithelium is allowed to remain in direct contact with air. Sterile tweezers are used in this step and in all subsequent steps involving transfer of units to liquid-containing wells to ensure that no air is trapped between the bottoms of the units and the medium.

- 5                    **B.** The units in their plates are maintained at 37°C in an incubator in an atmosphere of 5% CO<sub>2</sub> in air for 24 hours. At the end of this time the medium is replaced with fresh medium and the units are returned to the incubator for another 24 hours.

## **2. Experimental Protocol - Permeation Kinetics**

- 10                    **A.** A “kit” of 24 EpiAirway units can routinely be employed for evaluating five different formulations, each of which is applied to quadruplicate wells. Each well is employed for determination of permeation kinetics (4 time points), transepithelial resistance, mitochondrial reductase activity as measured by MTT reduction, and cytolysis as measured by release of LDH. An additional set of wells is employed as controls, which are sham treated during determination of permeation kinetics, but are otherwise handled identically to
- 15                    the test sample-containing units for determinations of transepithelial resistance and viability. The determinations on the controls are routinely also made on quadruplicate units, but occasionally we have employed triplicate units for the controls and have dedicated the remaining four units in the kit to measurements of transepithelial resistance and viability on untreated units or we have frozen and thawed the units for determinations of total LDH levels
- 20                    to serve as a reference for 100% cytolysis.

- B.** In all experiments, the nasal mucosal delivery formulation to be studied is applied to the apical surface of each unit in a volume of 100 µL, which is sufficient to cover the entire apical surface. An appropriate volume of the test formulation at the concentration applied to the apical surface (no more than 100 µL is generally needed) is set aside for
- 25                    subsequent determination of concentration of the active material by ELISA or other designated assay.

- C.** The units are placed in 6 well plates without stands for the experiment: each well contains 0.9 mL of medium which is sufficient to contact the porous membrane bottom of the unit but does not generate any significant upward hydrostatic pressure on the
- 30                    unit.

- D.** To minimize potential sources of error and avoid any formation of concentration gradients, the units are transferred from one 0.9 mL-containing well to another

5 at each time point in the study. These transfers are made at the following time points, based on a zero time at which the 100  $\mu$ L volume of test material was applied to the apical surface: 15 minutes, 30 minutes, 60 minutes, and 120 minutes.

E. In between time points the units in their plates are kept in the 37°C incubator. Plates containing 0.9 mL medium per well are also maintained in the incubator so  
10 that minimal change in temperature occurs during the brief periods when the plates are removed and the units are transferred from one well to another using sterile forceps.

F. At the completion of each time point, the medium is removed from the well from which each unit was transferred, and aliquotted into two tubes (one tube receives 700  $\mu$ L and the other 200  $\mu$ L) for determination of the concentration of permeated test material  
15 and, in the event that the test material is cytotoxic, for release of the cytosolic enzyme, lactic dehydrogenase, from the epithelium. These samples are kept in the refrigerator if the assays are to be conducted within 24 hours, or the samples are subaliquotted and kept frozen at -80°C until thawed once for assays. Repeated freeze-thaw cycles are to be avoided.

G. In order to minimize errors, all tubes, plates, and wells are prelabeled  
20 before initiating an experiment.

H. At the end of the 120 minute time point, the units are transferred from the last of the 0.9 mL containing wells to 24-well microplates, containing 0.3 mL medium per well. This volume is again sufficient to contact the bottoms of the units, but not to exert upward hydrostatic pressure on the units. The units are returned to the incubator prior to  
25 measurement of transepithelial resistance.

### 3. Experimental Protocol - Transepithelial Resistance

A. Respiratory airway epithelial cells form tight junctions in vivo as well as in vitro, restricting the flow of solutes across the tissue. These junctions confer a transepithelial resistance of several hundred ohms  $\times$  cm<sup>2</sup> in excised airway tissues; in the MatTek EpiAirway  
30 units, the transepithelial resistance (TER) is claimed by the manufacturer to be routinely around 1000 ohms  $\times$  cm<sup>2</sup>. We have found that the TER of control EpiAirway units which have been sham-exposed during the sequence of steps in the permeation study is somewhat

- 5 lower (700-800 ohms x cm<sup>2</sup>), but, since permeation of small molecules is proportional to the inverse of the TER, this value is still sufficiently high to provide a major barrier to permeation. The porous membrane-bottomed units without cells, conversely, provide only minimal transmembrane resistance (5-20 ohms x cm<sup>2</sup>).

B. Accurate determinations of TER require that the electrodes of the  
10 ohmmeter be positioned over a significant surface area above and below the membrane, and that the distance of the electrodes from the membrane be reproducibly controlled. The method for TER determination recommended by MatTek and employed for all experiments here employs an “EVOM”™ epithelial voltohmmeter and an “ENDOHM”™ tissue resistance measurement chamber from World Precision Instruments, Inc., Sarasota, FL.

15 C. The chamber is initially filled with Dulbecco’s phosphate buffered saline (PBS) for at least 20 minutes prior to TER determinations in order to equilibrate the electrodes.

D. Determinations of TER are made with 1.5 mL of PBS in the chamber and  
350 µL of PBS in the membrane-bottomed unit being measured. The top electrode is  
20 adjusted to a position just above the membrane of a unit containing no cells (but containing 350 µL of PBS) and then fixed to ensure reproducible positioning. The resistance of a cell-free unit is typically 5-20 ohms x cm<sup>2</sup> (“background resistance”).

E. Once the chamber is prepared and the background resistance is recorded,  
units in a 24-well plate which had just been employed in permeation determinations are  
25 removed from the incubator and individually placed in the chamber for TER determinations.

F. Each unit is first transferred to a petri dish containing PBS to ensure that the membrane bottom is moistened. An aliquot of 350 µL PBS is added to the unit and then carefully aspirated into a labeled tube to rinse the apical surface. A second wash of 350 µL PBS is then applied to the unit and aspirated into the same collection tube.

30 G. The unit is gently blotted free of excess PBS on its exterior surface only before being placed into the chamber (containing a fresh 1.5 mL aliquot of PBS). An aliquot

- 5 of 350  $\mu$ L PBS is added to the unit before the top electrode is placed on the chamber and the TER is read on the EVOM meter.

H. After the TER of the unit is read in the ENDOHM chamber, the unit is removed, the PBS is aspirated and saved, and the unit is returned with an air interface on the apical surface to a 24-well plate containing 0.3 mL medium per well.

- 10 I. The units are read in the following sequence: all sham-treated controls, followed by all formulation-treated samples, followed by a second TER reading of each of the sham-treated controls. After all the TER determinations are complete, the units in the 24-well microplate are returned to the incubator for determination of viability by MTT reduction.

#### 4. Experimental Protocol - Viability by MTT Reduction

- 15 MTT is a cell-permeable tetrazolium salt which is reduced by mitochondrial dehydrogenase activity to an insoluble colored formazan by viable cells with intact mitochondrial function or by nonmitochondrial NAD(P)H dehydrogenase activity from cells capable of generating a respiratory burst. Formation of formazan is a good indicator of viability of epithelial cells since these cells do not generate a significant respiratory burst.
- 20 We have employed a MTT reagent kit prepared by MatTek Corp for their units in order to assess viability.

- A. The MTT reagent is supplied as a concentrate and is diluted into a proprietary DMEM-based diluent on the day viability is to be assayed (typically the afternoon of the day
- 25 in which permeation kinetics and TER were determined in the morning). Insoluble reagent is removed by a brief centrifugation before use. The final MTT concentration is 1 mg/mL

- B. The final MTT solution is added to wells of a 24-well microplate at a volume of 300  $\mu$ L per well. As has been noted above, this volume is sufficient to contact the membranes of the EpiAirway units but imposes no significant positive hydrostatic pressure
- 30 on the cells.

5           C. The units are removed from the 24-well plate in which they were placed after TER measurements, and after removing any excess liquid from the exterior surface of the units, they are transferred to the plate containing MTT reagent. The units in the plate are then placed in an incubator at 37°C in an atmosphere of 5% CO<sub>2</sub> in air for 3 hours.

10           D. At the end of the 3-hour incubation, the units containing viable cells will have turned visibly purple. The insoluble formazan must be extracted from the cells in their units to quantitate the extent of MTT reduction. Extraction of the formazan is accomplished by transferring the units to a 24-well microplate containing 2 mL extractant solution per well, after removing excess liquid from the exterior surface of the units as before. This volume is sufficient to completely cover both the membrane and the apical surface of the units.

15           Extraction is allowed to proceed overnight at room temperature in a light-tight chamber. MTT extractants traditionally contain high concentrations of detergent, and destroy the cells.

20           E. At the end of the extraction, the fluid from within each unit and the fluid in its surrounding well are combined and transferred to a tube for subsequent aliquotting into a 96-well microplate (200 µL aliquots are optimal) and determination of absorbance at 570 nm on a VMax multiwell microplate spectrophotometer. To ensure that turbidity from debris coming from the extracted units does not contribute to the absorbance, the absorbance at 650 nm is also determined for each well in the VMax and is automatically subtracted from the absorbance at 570 nm. The “blank” for the determination of formazan absorbance is a 200 µL aliquot of extractant to which no unit had been exposed. This absorbance value is

25           assumed to constitute zero viability.

30           F. Two units from each kit of 24 EpiAirway units are left untreated during determination of permeation kinetics and TER. These units are employed as the positive control for 100% cell viability. In all the studies we have conducted, there has been no statistically significant difference in the viability of the cells in these untreated units vs cells in control units which had been sham treated for permeation kinetics and on which TER determinations had been performed. The absorbance of all units treated with test formulations is assumed to be linearly proportional to the percent viability of the cells in the units at the time of the incubation with MTT. It should be noted that this assay is carried out



- 5 typically no sooner than four hours after introduction of the test material to the apical surface, and subsequent to rinsing of the apical surface of the units during TER determination.

### 5. Determination of Viability by LDH Release

While measurement of mitochondrial reductase activity by MTT reduction is a sensitive probe of cell viability, the assay necessarily destroys the cells and therefore can be  
10 carried out only at the end of each study. When cells undergo necrotic lysis, their cytosolic contents are spilled into the surrounding medium, and cytosolic enzymes such as lactic dehydrogenase (LDH) can be detected in this medium. An assay for LDH in the medium can be performed on samples of medium removed at each time point of the two-hour determination of permeation kinetics. Thus, cytotoxic effects of formulations which do not  
15 develop until significant time has passed can be detected as well as effects of formulations which induce cytolysis with the first few minutes of exposure to airway epithelium.

A. The recommended LDH assay for evaluating cytolysis of the EpiAirway units is based on conversion of lactate to pyruvate with generation of NADH from NAD. The NADH is then reoxidized along with simultaneous reduction of the tetrazolium salt INT,  
20 catalyzed by a crude “diaphorase” preparation. The formazan formed from reduction of INT is soluble, so that the entire assay for LDH activity can be carried out in a homogenous aqueous medium containing lactate, NAD, diaphorase, and INT.

B. The assay for LDH activity is carried out on 50  $\mu$ L aliquots from samples of “supernatant” medium surrounding an EpiAirway unit and collected at each time point.  
25 These samples were either stored for no longer than 24 h in the refrigerator or were thawed after being frozen within a few hours after collection. Each EpiAirway unit generates samples of supernatant medium collected at 15 min, 30 min, 1 h, and 2 h after application of the test material. The aliquots are all transferred to a 96 well microplate.

C. A 50  $\mu$ L aliquot of medium which had not been exposed to a unit serves as a  
30 “blank” or negative control of 0% cytotoxicity. We have found that the apparent level of “endogenous” LDH present after reaction of the assay reagent mixture with the unexposed medium is the same within experimental error as the apparent level of LDH released by all

5 the sham-treated control units over the entire time course of 2 hours required to conduct a permeation kinetics study. Thus, within experimental error, these sham-treated units show no cytolysis of the epithelial cells over the time course of the permeation kinetics measurements.

D. To prepare a sample of supernatant medium reflecting the level of LDH released after 100% of the cells in a unit have lysed, a unit which had not been subjected to any prior  
10 manipulations is added to a well of a 6-well microplate containing 0.9 mL of medium as in the protocol for determination of permeation kinetics, the plate containing the unit is frozen at -80°C, and the contents of the well are then allowed to thaw. This freeze-thaw cycle effectively lyses the cells and releases their cytosolic contents, including LDH, into the supernatant medium. A 50 µL aliquot of the medium from the frozen and thawed cells is  
15 added to the 96-well plate as a positive control reflecting 100% cytotoxicity.

E. To each well containing an aliquot of supernatant medium, a 50 µL aliquot of the LDH assay reagent is added. The plate is then incubated for 30 minutes in the dark.

F. The reactions are terminated by addition of a “stop” solution of 1 M acetic acid, and within one hour of addition of the stop solution, the absorbance of the plate is determined  
20 at 490 nm.

G. Computation of percent cytolysis is based on the assumption of a linear relationship between absorbance and cytolysis, with the absorbance obtained from the medium alone serving as a reference for 0% cytolysis and the absorbance obtained from the medium surrounding a frozen and thawed unit serving as a reference for 100% cytolysis.

## 25 6. ELISA Determinations

The procedures for determining the concentrations of biologically active agents as test materials for evaluating enhanced permeation of active agents in conjunction with coordinate administration of mucosal delivery-enhancing agents or combinatorial formulation of the invention are generally as described above and in accordance with known  
30 methods and specific manufacturer instructions of ELISA kits employed for each particular assay. Permeation kinetics of the biologically active agent is generally determined by taking measurements at multiple time points (for example 15 min., 30 min., 60 min. and 120 min)

- 5 after the biologically active agent is contacted with the apical epithelial cell surface (which may be simultaneous with, or subsequent to, exposure of the apical cell surface to the mucosal delivery-enhancing agent(s)).

10 The procedures for determining the concentrations of peptide YY neuropeptide Y, and pancreatic peptide in blood serum, central nervous system (CNS) tissues or fluids, cerebral spinal fluid (CSF), or other tissues or fluids of a mammalian subject may be determined by immunologic assay for peptide YY neuropeptide Y, and pancreatic peptide. The procedures for determining the concentrations of peptide YY neuropeptide Y, and pancreatic peptide as test materials for evaluating enhanced permeation of active agents in conjunction with  
15 coordinate administration of mucosal delivery-enhancing agents or combinatorial formulation of the invention are generally as described above and in accordance with known methods and specific manufacturer instructions for radioimmunoassay (RIA), enzyme immunoassay (EIA), and antibody reagents for immunohistochemistry or immunofluorescence for peptide YY neuropeptide Y, and pancreatic peptide. Bachem AG (King of Prussia, PA).

20

EpiAirway<sup>TM</sup> tissue membranes are cultured in phenol red and hydrocortisone free medium (MatTek Corp., Ashland, MA). The tissue membranes are cultured at 37°C for 48 hours to allow the tissues to equilibrate. Each tissue membrane is placed in an individual well of a 6-well plate containing 0.9 mL of serum free medium. 100  $\mu$ L of the formulation (test  
25 sample or control) is applied to the apical surface of the membrane. Triplicate or quadruplicate samples of each test sample (mucosal delivery-enhancing agent in combination with a biologically active agent, peptide YY) and control (biologically active agent, peptide YY, alone) are evaluated in each assay. At each time point (15, 30, 60 and 120 minutes) the tissue membranes are moved to new wells containing fresh medium. The underlying 0.9 mL  
30 medium samples is harvested at each time point and stored at 4°C for use in ELISA and lactate dehydrogenase (LDH) assays.

The ELISA kits are typically two-step sandwich ELISAs: the immunoreactive form of the agent being studied is first “captured” by an antibody immobilized on a 96-well  
35 microplate and after washing unbound material out of the wells, a “detection” antibody is

5 allowed to react with the bound immunoreactive agent. This detection antibody is typically conjugated to an enzyme (most often horseradish peroxidase) and the amount of enzyme bound to the plate in immune complexes is then measured by assaying its activity with a chromogenic reagent. In addition to samples of supernatant medium collected at each of the time points in the permeation kinetics studies, appropriately diluted samples of the  
10 formulation (i.e., containing the subject biologically active test agent) that was applied to the apical surface of the units at the start of the kinetics study are also assayed in the ELISA plate, along with a set of manufacturer-provided standards. Each supernatant medium sample is generally assayed in duplicate wells by ELISA (it will be recalled that quadruplicate units are employed for each formulation in a permeation kinetics determination, generating a total  
15 of sixteen samples of supernatant medium collected over all four time points).

A. It is not uncommon for the apparent concentrations of active test agent in samples of supernatant medium or in diluted samples of material applied to the apical surface of the units to lie outside the range of concentrations of the standards after completion of an ELISA. No concentrations of material present in experimental samples are determined by  
20 extrapolation beyond the concentrations of the standards; rather, samples are rediluted appropriately to generate concentrations of the test material which can be more accurately determined by interpolation between the standards in a repeat ELISA.

B. The ELISA for a biologically active test agent, for example, peptide YY, is unique in its design and recommended protocol. Unlike most kits, the ELISA employs two  
25 monoclonal antibodies, one for capture and another, directed towards a nonoverlapping determinant for the biologically active test agent, e.g., peptide YY, as the detection antibody (this antibody is conjugated to horseradish peroxidase). As long as concentrations of peptide YY that lie below the upper limit of the assay are present in experimental samples, the assay protocol can be employed as per the manufacturer's instructions, which allow for incubation  
30 of the samples on the ELISA plate with both antibodies present simultaneously. When the peptide YY levels in a sample are significantly higher than this upper limit, the levels of immunoreactive peptide YY may exceed the amounts of the antibodies in the incubation mixture, and some peptide YY which has no detection antibody bound will be captured on the plate, while some peptide YY which has detection antibody bound may not be captured.

- 5 This leads to serious underestimation of the peptide YY levels in the sample (it will appear that the peptide YY levels in such a sample lie significantly below the upper limit of the assay). To eliminate this possibility, the assay protocol has been modified:

**B.1.** The diluted samples are first incubated on the ELISA plate containing the immobilized capture antibody for one hour in the absence of any detection antibody. After  
10 the one hour incubation, the wells are washed free of unbound material.

**B.2.** The detection antibody is incubated with the plate for one hour to permit formation of immune complexes with all captured antigen. The concentration of detection antibody is sufficient to react with the maximum level of peptide YY which has been bound by the capture antibody. The plate is then washed again to remove any unbound detection  
15 antibody.

**B.3.** The peroxidase substrate is added to the plate and incubated for fifteen minutes to allow color development to take place.

**B.4.** The “stop” solution is added to the plate, and the absorbance is read at 450 nm as well as 490 nm in the VMax microplate spectrophotometer. The absorbance of the colored  
20 product at 490 nm is much lower than that at 450 nm, but the absorbance at each wavelength is still proportional to concentration of product. The two readings ensure that the absorbance is linearly related to the amount of bound peptide YY over the working range of the VMax instrument (we routinely restrict the range from 0 to 2.5 OD, although the instrument is reported to be accurate over a range from 0 to 3.0 OD). The amount of peptide YY in the  
25 samples is determined by interpolation between the OD values obtained for the different standards included in the ELISA. Samples with OD readings outside the range obtained for the standards are rediluted and run in a repeat ELISA.

## RESULTS

***Measurement of transepithelial resistance by TER Assay:*** After the final assay time points,  
30 membranes were placed in individual wells of a 24 well culture plate in 0.3 mL of clean medium and the trans epithelial electrical resistance (TER) was measured using the EVOM Epithelial Voltohmmeter and an Endohm chamber (World Precision Instruments, Sarasota,

5 FL). The top electrode was adjusted to be close to, but not in contact with, the top surface of the membrane. Tissues were removed, one at a time, from their respective wells and basal surfaces were rinsed by dipping in clean PBS. Apical surfaces were gently rinsed twice with PBS. The tissue unit was placed in the Endohm chamber, 250  $\mu$ L of PBS added to the insert, the top electrode replaced and the resistance measured and recorded. Following  
 10 measurement, the PBS was decanted and the tissue insert was returned to the culture plate. All TER values are reported as a function of the surface area of the tissue.

The final numbers were calculated as:

TER of cell membrane = (Resistance (R) of Insert with membrane – R of  
 blank Insert) X Area of membrane (0.6 cm<sup>2</sup>).

15

Exemplary peptide YY formulation, Formulation P, showed the greatest decrease in cell membrane resistance. (Table 2). The results indicate that the exemplary formulation (e.g., Formulation P) reduces the resistance of the membrane to less than 1% of the control at the concentrations tested. The values shown are the average of three replicates of each  
 20 formulation. Formulations A and B are controls prepared by reconstituting peptide YY (Bachem AG, King of Prussia, PA) containing 60  $\mu$ g peptide Y<sub>3-36</sub> in 100 ml of phosphate buffered saline (PBS) at pH 7.4 or 5.0. Peptide YY without mucosal delivery enhancers did not decrease the resistance.

25 The results indicate that an exemplary formulation for enhanced intranasal delivery of peptide YY (e.g., Formulation P) decreases cell membrane resistance and significantly increases mucosal epithelial cells permeability. The exemplary formulations will enhance intranasal delivery of peptide YY to the blood serum or to the central nervous system tissue or fluid. The results indicate that these exemplary formulations when contacted with a  
 30 mucosal epithelium yield significant increases in mucosal epithelial cell permeability to peptide YY.

#### **Table 2:**

**Influence of Pharmaceutical Formulations Comprising Peptide YY and Intranasal Delivery-Enhancing Agents on Transepithelial Resistance (TER) of EpiAirway Cell  
 35 Membrane**

5

Formulation	Mucosal Delivery Enhancing Agent	% TER
A	PBS pH 7.4 (Control 1)	100
B	PBS pH 5.0 (Control 2)	100
C	L-Arginine (10% w/v)	47.88
D	Poly-L-Arginine (0.5% w/v)	3.96
E	Gamma-Cyclodextrin (1% w/v)	91.67
F	Alpha-Cyclodextrin (5% w/v)	88.91
G	Methyl- $\beta$ -Cyclodextrin (3% w/v)	97.51
H	n-Capric Acid Sodium (0.075% w/v)	47.72
I	Chitosan (0.5% w/v)	4.77
J	L- $\alpha$ -phosphatidilcholine didecanyl (3.5% w/v)	0.49
K	S-Nitroso-N-Acetyl-Penicillamine (0.5% w/v)	44.35
L	Palmotoyl-DL-Carnitine (0.02% w/v)	1.76
M	Pluronic-127 (0.3% w/v)	97.57
N	Sodium Nitroprusside (0.3% w/v)	92.41
O	Sodium Glycocholate (1% w/v)	14.25
P	F1: Gelatin, DDPC, MBCD, EDTA	0.65

**Permeation kinetics as measured by ELISA Assay:** The effect of pharmaceutical formulations of the present invention comprising peptide YY and intranasal delivery-enhancing agents on the permeation of peptide YY across the EpiAirway™ Cell Membrane (mucosal epithelial cell layer) is measured as described above. The results are shown in Table 3. Permeation of peptide YY across the EpiAirway™ Cell Membrane is measured by ELISA assay.

For the exemplary intranasal formulations (e.g., Formulation P) of the present invention, the greatest increase in peptide YY permeation occurred in Formulation P as shown in Table 3. The procedure uses an ELISA assay to determine the concentration of

- 5 biologically active peptide YY that has permeated the epithelial cells into the surrounding medium over multiple time points. The results show increased permeation of peptide YY in Formulation P compared to Formulation A or B (peptide YY control formulation; 60 µg peptide YY<sub>3-36</sub> in 100 ml of phosphate buffered saline (PBS) at pH 7.4 or 5.0; Bachem AG, King of Prussia, PA). On average the cumulative increase in permeation at 120 minutes
- 10 using Formulation P exemplary intranasal formulation is about 1195 fold greater than Formulations A or B controls.



**Table 3: Influence of Pharmaceutical Formulations Comprising Peptide YY and Intranasal Delivery-Enhancing Agents on Permeation of Peptide YY through EpiAirway Cell Membrane by ELISA Assay.**

	Formulation Peptide YY <sub>3-36</sub> (60 µg/100 ml)	% Permeation at Time Points (min)					Total % Permeation	Fold Increase in Permeability
		0	15	30	60	120		
A	PBS pH 7.4 (Control 1)	0	0.00171	0.00096	0.00451	0.00327	0.01	1
B	PBS pH 5.0 (Control 2)	0	0.00093	0.00048	0.00042	0.00367	0.01	1
C	L-Arginine (10% w/v)	0	0.00119	0.00277	0.00685	0.00566	0.02	2
D	Poly-L-Arginine (0.5% w/v)	0	0.00324	0.01587	0.10395	0.49656	0.62	62
E	Gamma-Cyclodextrin (1% w/v)	0	0.00017	0.00042	0.00028	0.0035	0	1
F	α-Cyclodextrin (5% w/v)	0	0.00031	0.000745	0.00147	0.0031	0.01	1
G	Methyl-β-Cyclodextrin (3% w/v)	0	0.00028	0.00038	0.00059	0.01028	0.01	1
H	n-Capric Acid Sodium (0.075% w/v)	0	0.0004	0.00131	0.00448	0.00821	0.01	1
I	Chitosan (0.5% w/v)	0	0.00086	0.01098	0.09749	0.82126	0.93	93
J	L-α-phosphatidicholine didecanyl (3.5% w/v)	0	0.00934	0.02	0.08507	1.9642	2.08	208
K	S-Nitroso-N-Acetyl-Penicillamine (0.5% w/v)	0	0.00074	0.0032	0.0688	0.90432	0.98	98
L	Palmitoyl-DL-Carnitine (0.02% w/v)	0	0.00378	0.03422	0.15141	1.31011	1.5	150
M	Pluronic-127 (0.3% w/v)	0	0.00025	0.00027	0.00066	0.00395	0.01	1
N	Sodium Nitroprusside (0.3% w/v)	0	0.00171	0.00114	0.00079	0.05492	0.05	5
O	Sodium Glycocholate (1% w/v)	0	0.00325	0.00313	0.09023	0.70214	0.8	80
P	F1 Gelatin, DDPC, MBCD, EDTA	0	0.05864	1.3972	2.9799	7.519	11.95	1195

**MTT Assay:** The MTT assays were performed using MTT-100, MatTek kits. 300 mL of the MTT solution was added into each well. Tissue inserts were gently rinsed with clean PBS and placed in the MTT solution. The samples were incubated at 37°C for 3  
5 hours. After incubation the cell culture inserts were then immersed with 2.0 mL of the extractant solution per well to completely cover each insert. The extraction plate was covered and sealed to reduce evaporation. Extraction proceeds overnight at RT in the dark. After the extraction period was complete, the extractant solution was mixed and pipetted into a 96-well microtiter plate. Triplicates of each sample were loaded, as well  
10 as extractant blanks. The optical density of the samples was then measured at 550 nm on a plate reader (Molecular Devices).

The MTT assay on an exemplary formulation for enhanced nasal mucosal delivery of peptide YY following the teachings of the instant specification (e.g.,  
15 Formulation P) compared to control formulation (Formulations A or B) are shown in Table 4. The results for formulations comprising peptide YY and one or more intranasal delivery enhancing agents, for example, Formulation P (experiment performed in three replicates) indicate that there is minimal toxic effect of this exemplary embodiment on viability of the mucosal epithelial tissue.

**Table 4: Influence of Pharmaceutical Formulations Comprising Peptide YY and Intranasal Delivery-Enhancing Agents on the Viability of EpiAirway Cell Membrane as shown by % MTT**

Formulations	Treatment	% MTT
A	PBS pH .4 (Control1)	100
B	PBS pH 5.0 (Control 2)	100
C	L-Arginine (10% w/v)	91.54
D	Poly-L-Arginine (0.5% w/v)	79.39
E	Gamma-Cyclodextrin (1% w/v)	100
F	$\alpha$ -Cyclodextrin (5% w/v)	96.63
G	Methyl- $\beta$ -Cyclodextrin (3% w/v)	100
H	n-Capric Acid Sodium (0.075% w/v)	100
I	Chitosan (0.5% w/v)	100
J	L- $\alpha$ -phosphatidilcholine didecanyl (3.5% w/v)	94.25
K	S-Nitroso-N-Acetyl- Penicillamine (0.5% w/v)	97.64
L	Palmitoyl-DL-Carnitine (0.02% w/v)	91.77
M	Pluronic-127 (0.3% w/v)	100
N	Sodium Nitroprusside (0.3% w/v)	100
O	Sodium Glycocholate (1% w/v)	100
P	F1: Gelatin, DDPC, MBCD, EDTA	88.75

5

**LDH Assay:** The LDH assay on an exemplary formulation for enhanced nasal mucosal delivery of peptide YY following the teachings of the instant specification (e.g.,

Formulation P) are shown in Table 5. The results for three replicates of Formulation P indicate that there is minimal toxic effect of this exemplary embodiment on viability of the mucosal epithelial tissue.

10

**Table 5: Influence of Pharmaceutical Formulations Comprising Peptide YY and Intranasal Delivery-Enhancing Agents on the Viability of EpiAirway Cell Membrane as shown by % Dead Cells (LDH Assay)**

Formulations	Treatment	% dead cells
A	PBS pH .4 (Control1)	1.0
B	PBS pH 5.0 (Control 2)	1.1
C	L-Arginine (10% w/v)	0.8
D	Poly-L-Arginine (0.5% w/v)	1.4
E	Gamma-Cyclodextrin (1% w/v)	0.8
F	$\alpha$ -Cyclodextrin (5% w/v)	0.7
G	Methyl- $\beta$ -Cyclodextrin (3% w/v)	0.8
H	n-Capric Acid Sodium (0.075% w/v)	1.3
I	Chitosan (0.5% w/v)	0.7
J	L- $\alpha$ -phosphatidilcholine didecanyl (3.5% w/v)	1.2
K	S-Nitroso-N-Acetyl- Penicillamine (0.5% w/v)	0.7
L	Palmitoyl-DL-Carnitine (0.02% w/v)	0.8
M	Pluronic-127 (0.3% w/v)	1.0
N	Sodium Nitroprusside (0.3% w/v)	0.6
O	Sodium Glycocholate (1% w/v)	0.8
P	F1: Gelatin, DDPC, MBCD, EDTA	2.0

5

### **EXAMPLE 3**

#### **Formulation P (Peptide YY) of the Present Invention In Combination With Triamcinolone Acetonide Corticosteroid Improves Cell Viability**

10           The present example provides an *in vitro* study to determine the permeability and reduction in epithelial mucosal inflammation of an intranasally administered peptide YY, for example, human peptide YY, in combination with a steroid composition, for example, triamcinolone acetonide, and further in combination with one or more intranasal delivery-enhancing agents. The study involves determination of

15           epithelial cell permeability by TER assay and reduction in epithelial mucosal inflammation as measured by cell viability in an MTT assay by application of an embodiment comprising peptide YY and triamcinolone acetonide.

Formulation P (see Table 1 above) is combined in a formulation with triamcinolone acetonide at a dosage of 0.5, 2.0, 5.0, or 50 µg. Normal dose of triamcinolone acetonide, (Nasacort<sup>®</sup>, Aventis Pharmaceuticals) for seasonal allergic rhinitis, is 55 µg per spray. Formulation P in combination with triamcinolone acetonide corticosteroid improves cell viability as measured by the MTT assay, while maintaining epithelial cell permeability as measured by TER and ELISA assays.

According to the methods and formulations of the invention, measurement of permeability of Formulation P in the presence or absence of triamcinolone acetonide is performed by transepithelial electrical resistance (TER) assays in an EpiAirway<sup>™</sup> cell membrane. TER assays of Formulation P plus triamcinolone acetonide at a concentration of 0.5, 2.0, 5.0, or 50 µg per spray indicate that peptide YY permeability did not decrease and was equal to permeability of Formulation P alone. Formulation P plus triamcinolone acetonide at a triamcinolone acetonide concentration between 0 and 50 µg per spray is typically, at least 10-fold to 100-fold greater than permeability of Formulations A or B (peptide YY control).

According to the methods and formulations of the invention, measurement of permeability of Formulation P in the presence or absence of triamcinolone acetonide is performed by ELISA assay in an EpiAirway<sup>™</sup> cell membrane. Similar to the TER assay above, ELISA assay of Formulation P plus triamcinolone acetonide at a concentration of 0.5, 2.0, 5.0, or 50 µg per spray indicate that peptide YY permeability did not decrease and was equal to permeability of Formulation P alone. Formulation P plus triamcinolone acetonide at a triamcinolone acetonide concentration between 0 and 50 µg per spray is typically greater than permeability of Formulations A or B (peptide YY control).

According to the methods and formulations of the invention, MTT assay measured cell viability of Formulation P in the presence or absence of triamcinolone acetonide. Typically, addition of triamcinolone acetonide (at a concentration of 0.5,

2.0, 5.0, or 50 µg per spray) to Formulation P improves cell viability compared to Formulation P in the absence of triamcinolone acetonide.

Addition of triamcinolone acetonide to Formulation P increases cell viability and maintains epithelial permeability as measured by TER assay comparable to Formulation P in the absence of triamcinolone acetonide.

Reduction in epithelial mucosal inflammation of an intranasally administered peptide YY is accomplished with an intranasal formulation of peptide YY in combination with one or more steroid or corticosteroid compound(s) typically high potency compounds or formulations, but also in certain cases medium potency, or low potency compounds or formulations. Overall potency (equivalent dosages) of high, medium, and low potency steroids are given. Typically, an intranasal formulation of peptide YY in combination with a high potency steroid composition includes, but is not limited to, betamethasone (0.6 to 0.75 mg dosage), or dexamethasone (0.75 mg dosage). In an alternative formulation, an intranasal formulation of peptide YY in combination with a medium potency steroid composition includes, but is not limited to, methylprednisolone (4 mg dosage), triamcinolone (4 mg dosage), or prednisolone (5 mg dosage). In a further alternative formulation, an intranasal formulation of peptide YY in combination with a low potency steroid composition includes, but is not limited to hydrocortisone (20 mg dosage) or cortisone (25 mg dosage).

#### **Example 4**

##### **Preparation of a PYY Formulation Free of a Stabilizer that is a Protein**

A PYY formulation suitable for intranasal administration of PYY, which was substantially free of a stabilizer that is a protein was prepared having the formulation listed below.

1. About  $\frac{3}{4}$  of the water was added to a beaker and stirred with a stir bar on a stir plate and the sodium citrate was added until it was completely dissolved.
2. The EDTA was then added and stirred until it was completely dissolved.
3. The citric acid was then added and stirred until it was completely dissolved.
4. The methyl- $\beta$ -cyclodextrin was added and stirred until it was completely dissolved.
5. The DDPC was then added and stirred until it was completely dissolved.

6. The lactose was then added and stirred until it was completely dissolved.
7. The sorbitol was then added and stirred until it was completely dissolved.
8. The chlorobutanol was then added and stirred until it was completely dissolved.
- 5 9. The PYY 3-36 was added and stirred gently until it dissolved.
10. 11 Check the pH to make sure it is  $5.0 \pm 0.25$ . Add dilute HCl or dilute NaOH to adjust the pH.
11. Add water to final volume.

10

**Table 6**

Reagent	Grade	Vendor	mg/mL	%
Cholorbutanol, anhydrous	NF	Spectrum	5.0	0.50
Methyl- $\beta$ -Cyclodextrin		Sigma	45	4.5
L- $\alpha$ -Phosphatidylcholine Didecanoyl		Sigma	1	0.1
Edetate Disodium	USP	Dow Chemicals	1	0.1
Sodium Citrate, Dihydrate	USP	Spectrum	1.62	0.162
Citric Acid, Anhydrous	USP	Sigma	0.86	0.086
$\alpha$ -Lactose monohydrate		Sigma	9	0.9
Sorbitol		Sigma	18.2	1.82
PYY 3-36	GMP	Bachem	1	0.1
Purified Water				

Formulation pH 5 +/- 0.25

Osmolarity ~250

**Example 5**

A second formulation was prepared as above, except the concentration of PYY 3-36 was 15 mg/mL as shown below in Table 7..

**Table 7**

Reagent	Grade	Vendor	mg/ml	%
Cholorbutanol, anhydrous	NF	Spectrum	5.0	0.50
Methyl- $\beta$ -Cyclodextrin		Sigma	45	4.5
L- $\alpha$ -Phosphatidylcholine Didecanoyl		Sigma	1	0.1
Edetate Disodium	USP	Dow Chemicals	1	0.1
Sodium Citrate, Dihydrate	USP	Spectrum	1.62	0.162
Citric Acid, Anhydrous	USP	Sigma	0.86	0.086
$\alpha$ -Lactose monohydrate		Sigma	9	0.9
Sorbitol		Sigma	18.2	1.82
PYY 3-36	GMP	Bachem	15	0.1
Purified Water				

Formulation pH 5 +/- 0.25

**Example 6****Determination of Optimal pH of PYY**

Determination of PYY<sub>3-36</sub> stability v's pH at 40° C for 5 days

**A. Protocol for formulating PYY (3-36) / pH stability study samples**

Osmolarity: **Target 250mM**

Using a Citrate / Sodium citrate, tri-basic buffer, 10mM

Osmolarity = no. particles x molarity  
= (1 + 4) X 10mM = 50mM

Therefore bring osmolarity to 250mM with 100mM NaCl (2 particles)

**B. Made up stability samples as follows (3500 $\mu$ l)****Final Concentration**

Citrate buffer 1400 $\mu$ L 25mM (of required final pH) 10mM

PYY 700 $\mu$ l 1.5mg/mL 300ug/ml

Chlorobutanol 350 $\mu$ l 2.5% , 0.25%



02-04CIP3

NaCl, 350µl 1.0M, 100mM

Check pH and adjust if required

5 Q.S. to 3500 µL with water

Procedure:

120µl sample in 200ul sialanized inserts in autosampler vials

3 pulls / time point

10 Samples incubated at 40° C for 5 days

### C. Comparison of target and actual final pH of stability mixtures

	<u>Target pH</u>	<u>Actual pH</u>
	3.0	2.99
15	3.5	3.47
	4.0	3.90
	4.5	4.42
	5.0	4.90
20	7.0	7.38

### D. HPLC procedure

Column: Waters C18 Bondapak 10µm 4.6 x 300mm  
HPLC system: Waters Alliance 2690  
25 Detector: Waters 2487 Dual wavelength at 220nm  
Flow rate: 1ml/min  
Injection volume: 30µL  
Column temp. 30°C

30 Mobile phases:  
Buffer A: 0.1% TFA, 1% acetonitrile in water  
Buffer B: 0.11% TFA in acetonitrile

	Gradient:			
35		<b>Time (mins)</b>	<b>%A</b>	<b>%B</b>
		0	75	25
		17	42	58
		19	75	25
40		28	75	25

## E. Results and Conclusions:

Results indicate that under the particular conditions used in this study, that the optimal pH for stability is 4.90 . There is an increase in stability from 76 to 87% with increasing pH from 2.99 to 4.90.

- 5 At higher pH, i.e. 7.38 there is a large drop in the stability of PYY(3-36) with only 15% of time zero remaining.

### Example 7

## 10 Intranasal Formulation Development

Peptides and proteins are relatively fragile molecules compared to low-molecular-weight therapeutics. The objective of the formulation development phase was to identify a candidate formulation suitable for intranasal delivery. In order to  
15 achieve this goal, numerous candidates were tested in order to identify a formulation with acceptable drug stability, delivery across the nasal mucosa, toxicity and preservative effectiveness.

Initially, the effect of pH was examined. Figure 1 shows the stability of PYY<sub>3-36</sub> at high temperature (40 °C) at various pHs from 3.0 to 7.4. At physiological pH, there was substantial loss of drug at elevated temperature. Best stability was achieved  
20 at about pH 5.0. This pH was chosen for further formulation optimization.

To further optimize stability, various stabilizing agents were tested for their  
25 ability to facilitate passage of drug across the nasal mucosa. The enhancers tested were chosen based on their ability to open tight junctions with limited cellular toxicity. To accomplish this, a primary human epithelial cell model (EpiAirway, MatTek, Inc., Ashland MA) was employed. This cell line forms a pseudo-stratified columnar epithelial cell layer with tight junctions similar to the respiratory epithelium found in  
30 the nose. Drug formulations were placed on the apical side of the tissue layer, and drug quantitation carried out for the basal media. The extent of tight junction opening was measured by decrease in the transepithelial electrical resistance (TEER). Cell viability and cytotoxicity were monitored by MTT and LDH assays, respectively. Data from a representative screening experiment are depicted in Figures 2-5.

35 Figure 2 shows the data for TEER. In some cases there was little or no decrease in TEER compared to the control, indicating tight junctions which remain closed. In

other cases there was a substantial drop in TEER indicating tight junction opening. The results demonstrate that the *in vitro* cell model is capable of discriminating the ability of different formulations to open the tight junctions.

- 5            In the candidate formulations tested the cell viabilities (Figure 3; MTT) were good and cytotoxicities (Figure 4; LDH) were low.

10           In total, over 200 different formulations were tested, reflecting the high-throughput nature of the *in vitro* screening model. Using all the available data, a multivariate analysis was conducted to elucidate the effect each formulation component exerted on each of the 7 output variables (drug permeability, osmolality, stability at refrigerated and accelerated conditions, TEER, and MTT and LDH assays). The multivariate analysis consisted of an initial analysis of each formulation component for some level of correlation with output parameters ( $p < 0.1$ ). With the subset identified, 15 either a linear regression or stepwise logistic selection model was used. The results suggest that one excipient correlated to osmolality and toxicity ( $r^2 = 0.91$  and  $0.27$ , respectively), two correlated to PYY<sub>3-36</sub> permeation ( $r^2 = 0.44$ ), three affected stability ( $r^2 = 0.24$ ), and five impacted paracellular resistance ( $r^2 = 0.55$ ). The best formulations determined by this process increased at least 30-75 fold the PYY<sub>3-36</sub> transport 20 compared to simple buffer solutions.

25           Based on these analyses, an optimized PYY<sub>3-36</sub> formulation was selected for further development. This optimized formulation contained two stabilizers, two permeation enhancers, one chelating agent, and one preservative in a sodium acetate buffer, pH 5.0. This formulation passed the USP Preservative Effectiveness Test. The synergistic contributions of the various components on drug permeation is presented in Figure 5. Compared to simple buffer formulations at the same osmolality, the optimized formulation exhibits more than 100-fold increased drug permeation.

30           Finally, pre-clinical and clinical batches of the optimized formulation were prepared and placed on stability at 5 °C and 25 °C in the final product packaging. Preliminary data, depicted in Table 8 reveal that storage for up to two months at either 5° C or 25° C results in 90% or better peptide retention.

**TABLE 8**

	5 °C	25 °C
Time point	% PYY	% PYY
0	100.0	100.0
3 days	99.8	100.1
7 days	102.6	98.4
10 days	103.3	101.7
2 weeks	101.4	97.9
3 weeks	100.3	95.4
1 month	100.5	96.3
1.5 months	100.1	90.6
2 months	99.8	92.3

In summary, our process of formulation development has produced a PYY<sub>3-36</sub> formulation with suitable drug stability, delivery across the nasal mucosa, toxicity and preservative effectiveness, which enables delivery of a 4 kD peptide.

### Preclinical Studies

To date, a series of six preclinical studies in rats, rabbits, and dogs have been completed. Plasma PYY<sub>3-36</sub> levels in all species were determined by a validated proprietary radioimmunoassay method.

Bioavailability (the molar fraction of drug identified in plasma divided by the amount administered nasally) in rats was determined to be approximately 6%, and in rabbits is approximately 8%. These values may understate the true bioavailability, as any peptide degradation in plasma before sampling, or degradation after sampling despite the presence of a proteinase inhibitor, will decrease the measured bioavailability.

Nasal toxicity has been evaluated in rat and rabbit models for up to 14 consecutive days at doses 50x the expected human clinical dose on a mg/kg basis. There were no microscopic or gross pathological findings related to the test article. There were no clinical observations.

Systemic toxicity following intravenous administration was evaluated in rat and rabbit models. At IV doses up to approx 160x the expected human dose (400 ug/kg in the rat and 205 ug/kg in the rabbit) there were no test article related microscopic or macroscopic findings.

5

Cardiovascular toxicity was assessed in the anesthetized dog model in a dose ranging study design. The highest dosage, an infusion of PYY<sub>3-36</sub> up to 24ug/kg over 60 minutes corresponded to 33x the expected human dose on a body surface area basis. The resultant plasma levels, 30 ng/mL, was approximately 380x the basal canine plasma level. At this plasma level, there was no effect on arterial blood pressure, femoral blood flow, or QTc and only minor changes in heart rate (increase from 123 to 148 bpm mean) and respiratory rate (decrease from 54 to 36) were noted.

Pharmacokinetic data was collected in these preclinical studies. From one study in rats, the plasma levels following intranasal administration at various doses are shown in Figures 6, 7 and 8. Figure 6 shows PYY<sub>3-36</sub> is seen in the plasma within 5 minutes, peak plasma concentrations (T<sub>max</sub>) are reached in 10-15 minutes, and the terminal elimination half life is approx 15 minutes. Both C<sub>max</sub> and AUC<sub>0-t</sub> are linear with respect to intranasal dose.

20

### Clinical Studies

A dose ranging clinical trial has been initiated with the goal of establishing safety, PK, and bioavailability of the intranasal formulation of PYY<sub>3-36</sub>. To date, patients have been enrolled in the first two of five dose cohorts. One patient reported a taste in the back of his throat; there have been no other adverse events to date.

25

### Conclusion

Formulation, preclinical, and initial clinical work have begun on an intranasal formulation of PYY<sub>3-36</sub>. The approach to formulation has resulted in a more than one hundred fold increase in transmembrane permeability of this 4 kD peptide with no increase in cellular toxicity. Preclinical studies have demonstrated a considerable safety margin for nasal, cardiovascular, and systemic toxicity for PYY<sub>3-36</sub>. On the basis on the ongoing dose ranging clinical studies, chronic administration weight loss studies are planned.

35

**Example 8a****CLINICAL PROTOCOL**

5

**NASAL ABSORPTION OF INTRANASAL PEPTIDE YY<sub>3-36</sub> (PYY<sub>3-36</sub>) IN  
HEALTHY HUMAN SUBJECTS****Object of the present study:**

- 10        The object of the present study was to evaluate the absorption of intranasally administered PYY<sub>3-36</sub> into the blood stream from the nose. This was a phase I, in clinic, single dose, doses escalation study involving fasted, normal, healthy male and female volunteers. Ascending doses of intranasal PYY<sub>3-36</sub> were evaluated between 20 µg to 200 µg to evaluate safety, nasal tolerance and absorption of PYY<sub>3-36</sub>.
- 15        Assessment of appetite sensation in each individual was also evaluated.

PYY<sub>3-36</sub> was administered to 15 healthy humans divided into 5 Groups of 3 individuals each.

## 20        Group I.

The first group was administered by an intranasal spray 20 µg of PYY<sub>3-36</sub> in a 0.1 ml solution.

## Group II

- 25        The second group received intranasally 50 µg of PYY
- <sub>3-36</sub>
- in a 0.1 ml solution.

## Group III

The third group received intranasally 100 µg of PYY<sub>3-36</sub> in a 0.1 ml solution.

## 30        Group IV

The fourth group received intranasally 150 µg of PYY<sub>3-36</sub> in a 0.1 ml solution.

## Group V

The fifth group received intranasally 200 µg of PYY<sub>3-36</sub> in a 0.1 ml solution.

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Blood samples were taken collected and the plasma concentrations of PYY were determined at 0 (i.e., pre-dose), 5, 7.5, 10, 15, 20, 30, 45, 60 minutes post-dose. The

subjects were then fed and a blood sample taken and the concentration of PYY was determined 30 minutes postprandial. Plasma concentrations of PYY<sub>3-36</sub> were determined using a validated analytical procedure.

- 5 For each subject, the following PK parameters were calculated, whenever possible, based on the plasma concentrations of PYY<sub>3-36</sub>, according to the model independent approach:

	$C_{\max}$	Maximum observed concentration.
	$t_{\max}$	Time to maximum concentration.
10	$AUC_{0-t}$	Area under the concentration-time curve from time 0 to the time of last measurable concentration, calculated by the linear trapezoidal rule.

The following parameters were calculated when the data permits accurate estimation of these parameters:

15	$AUC_{0-\infty}$	Area under the concentration-time curve extrapolated to infinity, calculated using the formula:
----	------------------	---

$$AUC_{0-\infty} = AUC_{0-t} + \frac{C_t}{K_e}$$

20		where $C_t$ is the last measurable concentration and $K_e$ is the apparent terminal phase rate constant.
----	--	--

	$K_e$	Apparent terminal phase rate constant, where $K_e$ is the magnitude of the slope of the linear regression of the log concentration versus time profile during the terminal phase.
--	-------	---

25	$t_{1/2}$	Apparent terminal phase half-life (whenever possible), where $t_{1/2} = (\ln 2)/K_e$ .
----	-----------	--

- 30 PK calculations were performed using commercial software such as WinNonlin (Pharsight Corporation, Version 3.3, or higher). The results are shown in the graphs below.

**Discussion and Conclusion.****Background:**

5 Each dosing group included three subjects who were dosed intranasally once with a formulation of this invention that contained a specified dose of synthetic, pyrogen-free human PYY<sub>3-36</sub>. Five dosing groups were organized, with escalating doses of PYY<sub>3-36</sub> in the formulation. Blood samples were drawn at specified intervals into blood collection tubes that contained lithium heparin (to inhibit coagulation) and aprotinin (to  
10 preserve PYY<sub>3-36</sub>). Plasma from each blood sample was collected by centrifugation and stored in frozen aliquots. One frozen aliquot of each blood sample was shipped to Nastech Analytical Services and arrived frozen. Each sample was stored frozen until assayed for PYY concentration by radioimmunoassay (RIA).

**15 Observations:**

**Group 1:** This group of subjects was dosed with 20 micrograms of PYY<sub>3-36</sub>. Plasma PYY concentrations for the subjects varied from a minimum of “less than 20 pg/ml” (below the lower limit of quantitation of the radioimmunoassay) to a maximum of 159  
20 pg/ml. The trends of concentrations observed are not consistent with significant absorption of drug into the blood of the subjects studied.

**Group 2:** This group of subjects was dosed with 50 micrograms of PYY<sub>3-36</sub>. Plasma PYY concentrations for the subjects varied from a minimum of 50 pg/ml to a maximum  
25 of 255 pg/ml. The trends of concentrations observed are consistent with significant absorption of drug into the blood of the subjects studied.

**Group 3:** This group of subjects was dosed with 100 micrograms of PYY<sub>3-36</sub>. Plasma PYY concentrations for the subjects varied from a minimum of 87 pg/ml to a maximum  
30 of 785 pg/ml. The trends of concentrations observed are consistent with significant absorption of drug into the blood of the subjects studied.

**Group 4:** This group of subjects was dosed with 150 micrograms of PYY<sub>3-36</sub>. Plasma PYY concentrations for the subjects varied from a minimum of 45 pg/ml to a maximum  
35 of 2022 pg/ml. The trends of concentrations observed are consistent with significant absorption of drug into the blood of the subjects studied.



**Group 5:** This group of subjects was dosed with 200 micrograms of PYY<sub>3-36</sub>. Plasma PYY concentrations for the subjects varied from a minimum of 48 pg/ml to a maximum of 1279 pg/ml. The trends of concentrations observed are consistent with significant absorption of drug into the blood of the subjects studied.

5

These results are consistent with a dose dependent absorption of PYY<sub>3-36</sub>.

#### **Additional Observations and data:**

10

#### **Summary of findings:**

- At intranasal doses of 50 ug – 200 ug, there is dose dependent plasma uptake of PYY.
- The duration of elevated plasma concentrations is considerably longer than would have been predicted, with an elimination half-life calculated at 55 minutes.
- Cmax and AUC 0-t show good linearity with dose.
- There is considerable inter-subject variability at a given dose.
- Surprisingly, this study failed to detect postprandial elevation of PYY although the quantity of food actually eaten was not measured and if too little was eaten could explain the observations.
- Visual-analog scale hunger questions suggest decreased hunger with increasing doses of PYY.
- Nausea and lightheadedness appear to be related to very high plasma concentration of PYY.

25

#### **Notes:**

- In some cases pMol/L are used as the PYY measurement units; in other analyses, pg/mL are used. The conversion factor is pmol/L \* 4.05 = pg/mL.
- In some cases, the 150-minute time point is displayed in plots. Strictly speaking, this is a postprandial datapoint, and may therefore confound PK evaluation. However, an unexpected finding described in more detail below is that the 30-minute postprandial timepoint is no different from the baseline value.

35

**PYY plasma concentrations:**

The PYY assay described in this specification has been validated for its own PYY plasma concentration assay. Using this assay, samples from each timepoint were assayed in triplicate. Note that out of the 180 datapoints, 3 (1.6%) appear to be biologically implausible “outliers.” The data throughout this preliminary analysis use a dataset in which these three datapoints were removed.

**Table 9****Descriptive PK parameters:**

Calculated PK parameters include:

	Tmax (min)	Cmax (pg/mL)	AUC 0-last (min*pg/mL)	AUC 0-inf (min*pg/mL)	T1/2 (min)
20	60	47	3850		
50	18	89	4960	12379	112
100	32	342	26535	41102	27
150	23	530	31659	39476	39
200	23	683	34823	48618	42

Examination of the mean PK plots suggests a dose response from 50 – 200 ug doses, but that the 20 ug dose is in the noise. Therefore, many of the subsequent analyses will be based on data only from the 50-200 ug doses. We also propose that, because PYY is an endogenous molecule, the AUC 0-t is more relevant than AUC 0-inf.

**Tmax and T1/2 (elimination half life):**

	Tmax (min)	T <sub>1/2</sub> (min)
Mean values for 50 - 200 ug dose groups:	24	55

The Tmax of 24 minutes is typical for a nasal product. The elimination half life of 55 minutes is considerably longer than would have been expected. Literature references indicated a  $t_{1/2}$  typically of 5-10 minutes. The elimination half-life may also be affected by some continued uptake from the nasal mucosa occurring after the Tmax and by formulation components that effect peptide metabolism. Alternatively, because the assay described in this specification employs an extraction procedure, the assay will capture both free and protein-bound PYY, whereas an assay that does not use an extraction may assay primarily the free fraction.

From this analysis of mean VAS change from baseline (mean of 10, 30, and 60 minute values minus baseline) vs dose, one observes:

For VAS Q1 “How hungry do you feel?” subjects were **less hungry** after receiving higher PYY doses. For VAS Q3, “How much do you think you can eat?” subjects thought they **could eat less** after receiving higher doses of PYY. However, for VAS Q2 “How full do you feel?” subjects **felt less full** after receiving higher doses of PYY. This suggests that the sensation follow PYY administration does not include fullness, bloating, or gastric hypercontractility.

#### Example 8b

#### **PYY Human Administration and Weight Loss**

The following PYY Nasal formulation was made.

Reagent	Grade	Vendor	Cat #	Lot #	F.W.	mg/ml	%
Cholorbutanol, anhydrous	NF	Spectrum	CH123	RI1646	177.46	2.5	0.25
Methyl- $\beta$ -Cyclodextrin		Sigma	C-4555	81K1179		45	4.5
L- $\alpha$ -Phosphatidylcholine Didecanoyl		Sigma	P-7081	55H8377	565.7	1	0.1
Edetate Disodium (EDTA)	USP	Dow Chemicals		1034N-00269-2	372.2	1	0.1
Sodium Citrate, Dihydrate	USP	Spectrum	S0165	RH1056	294.1	1.6	0.16
Citric Acid, Anhydrous	USP	Sigma	C-1857	062K003	192.13	0.9	0.09
PYY(3-36), endotoxin-free		Phoenix	059-02	420338	4049.71	2	0.2
Purified Water							

Formulation pH 5 +/- 0.25

One or two sprays were administered daily to a human subject over 10 day period and a weight loss of 2.5 pounds was recorded. During periods ranging from 10 minutes to 12 hours after administration the subject recorded reduced hunger.

**Example 9****Buccal formulation of PYY3-36  
(Prophetic)**

5

Bilayer tablets are prepared in the following manner. An adhesive layer is prepared by weighing 70 parts by weight polyethylene oxide (Polyox 301N; Union Carbide), 20 parts by weight polyacrylic acid (Carbopol 934P; B.F. Goodrich), and 10 parts by weight of a compressible xylitol/carboxymethyl cellulose filler (Xylitab 200; Xyrofin). These ingredients are mixed by rolling in a jar for 3 minutes. The mixture is then transferred to an evaporating dish and quickly wet granulated with absolute ethanol to a semi-dough-like consistency. This mass is immediately and rapidly forced through a 14 mesh (1.4 mm opening) stainless steel screen, to which the wet granules adhered. The screen is covered with perforated aluminum foil, and the wet granules are dried overnight at 30° C. The dried granules are removed from the screen and then passed through a 20 mesh (0.85 mm opening) screen to further reduce the size of the granules. Particles that do not pass through the 20 mesh screen are ground briefly with a mortar and pestle to minimize the amount of fines and then passed through the 20 mesh screen. The resulting granules are then placed in a mixing jar, and 0.25 parts by weight stearic acid and 0.06 parts by weight mint flavor (Universal Flavors) are added and blended to the granules. The final percentages by weight of the ingredients are thus 69.78% polyethylene oxide, 9.97% compressible xylitol/carboxymethyl cellulose filler, 19.94% polyacrylic acid, 0.25% stearic acid, and 0.06% mint flavor. A 50 mg amount of this mixture is placed on a 0.375 inch diameter die and precompressed on a Carver Press Model C with 0.25 metric ton pressure for a 3 second dwell time to form the adhesive layer.

The active layer is prepared by weighing 49.39 parts by weight of mannitol, 34.33 parts by weight of hydroxypropyl cellulose (Klucel L F; Aqualon, Wilmington, Del.) and 15.00 parts by weight of sodium taurocholate (Aldrich, Milwaukee, Wis.), and mixing by rolling in a jar for 3 minutes. The mixture is then transferred to an evaporating dish and quickly wet granulated with absolute ethanol to a semi-dough-like consistency. This mass is immediately and rapidly forced through a 14 mesh stainless steel screen, to which the wet granules adhere. The screen is covered with perforated aluminum foil, and the granules dried at 30° C. The dried granulation is then passed sequentially through 20, 40 (0.425 mm opening), and 60 (0.25 mm opening) mesh screens to reduce particle size further. Particles that do not pass through a screen are briefly ground with a mortar and pestle to minimize fines and then passed through the

screen. The screened particles were weighed, and then 0.91 parts by weight of PYY3-36 and 0.06 parts by weight of FD&C yellow #6HT aluminum lake dye are sequentially blended with the dry granulation by geometric dilution. The dyed granulation is then placed in a mixing jar and blended with 0.25 parts by weight magnesium stearate (lubricant) and 0.06 parts by weight mint flavor by rolling for 3 minutes. A 50 mg sample of this material is placed on top of the partially compressed adhesive layer and both layers are then compressed at 1.0 ton pressure for a 3 second dwell time to yield a bilayer tablet suitable for buccal delivery.

This procedure results in a gingival tablet wherein the active layer contains 0.91% by weight of PYY3-36, 15% by weight of NaTC, and 84.09% by weight of filler, lubricant, colorant, formulation aids, or flavoring agents.

#### **Example 10**

A study was conducted comparing the ability of endotoxin-free PYY(3-36) (SEQ ID NO: 2) vs non-endotoxin-free PYY(3-36) to permeate the bronchial epithelium according to the procedure of Example 1. It was determined that about twice the amount of endotoxin-free PYY(3-36) permeated the bronchial epithelium as compared to PYY(3-36) formulation that contained endotoxin.

Both formulations contained Chlorobutanol 2.5mg/ml, 2.mg/ml of DDPC, 10 mg/ml of albumin, 1mg/ml of EDTA (edetate disodium) and 45 mg/ml of M-B-CD. One formulation contained endotoxin-free PYY(3-36) and the other formulation contained 70 EUs or greater of endotoxin.

The average MTT of the PYY(3-36) formulation containing endotoxin was 91.72% while the endotoxin-free PYY(3-36) formulation had an average MTT of 100.16%.

The average permeation of the PYY(3-36) formulation containing endotoxin was 5.36%, while the average permeation of the endotoxin-free PYY(3-36) formulation was 10.75%.

A number of known mucosal delivery enhancing excipients can be effectively combined with endotoxin-free Y2 receptor binding peptides, especially endotoxin-free

PYY3-36, and can be used to improve non-infusion formulations, especially oral delivery. Such excipients are contained in the following patent applications that are incorporated by reference: US Patent applications 20030225300, 20030198658, 20030133953, 20030078302, 20030045579, 20030012817, 20030012817,  
 5 20030008900, 20020155993, 20020127202, 20020120009, 20020119910, 20020065255, 20020052422, 20020040061, 20020028250, 20020013497, 20020001591, 20010039258, 20010003001.

### **Oral Formulation of a Y2 Receptor-Binding Peptide**

10 An oral formulation of a Y2 receptor-binding peptide can be prepared according to the following procedure. A preferred formulation for oral delivery contains approximately 0.5 mg/kg endotoxin-free PYY and between 100 and about 200 mg/kg of one or more mucosal delivery enhancing excipients.

(Prophetic)

15

### **Example 11**

#### **Preparation of N-cyclohexanoylphenylalanine Aldehyde:**

20 Phenylalanine methyl ester (1 g., 0.0046 moles) is dissolved in pyridine 5 mL. Cyclohexanoyl chloride (0.62 mL) is added and the mixture is stirred for 2 hours. The reaction mixture is poured onto hydrochloric acid (1N) and crushed ice. The aqueous mixture is extracted twice with toluene. The combined toluene extracts are concentrated in vacuo to give 1.1 g of crude N-cyclohexanoylphenylalanine methyl ester.

25

N-Cyclohexanoylphenylalanine methyl ester (0.5 g) is dissolved in ethylene glycol dimethyl ether (20 mL). The solution is cooled to 70° C. and diisobutylaluminum hydride (2.04 mL of a 1.5M solution in toluene) is added. The resulting reaction mixture is stirred at -70° C. for 2 hours. The reaction is quenched by  
 30 dropwise addition of 2N hydrochloric acid. The mixture is extracted with cold ethyl acetate. The ethyl acetate solution is washed with brine and dried over sodium sulfate. Concentration in vacuo furnishes a white solid, which is purified by silica gel chromatography. <sup>1</sup>H NMR (300 MHz, DMSO-d<sub>6</sub>): 9.5 (s, 1H), 8.2 (d, 1H), 7.2 (m, 5H), 4.2 (m, 1H), 3.2 (d, 1H), 2.7 (d, 1H), 2.1 (m, 1H), 1.6 (br.m, 4H), 1.2 (br.m, 6H).  
 35 R (KBr): 3300, 3050, 2900, 2850, 2800, 1700, 1600, 1500 cm<sup>-1</sup>.  
 Mass Spec.: M+1 m/e 261.

**Example 12****Preparation of N-acetylphenylalanine Aldehyde:**

5 N-Acetylphenylalanine methyl ester (4.2 g, 19 mmol) is dissolved in ethylene glycol dimethyl ether. The solution is cooled to -70° C. and diisobutylaluminum hydride (25.3 mL of a 1.5M solution in toluene, 39 mmol) is added. The resulting reaction mixture is stirred at -70° C. for 2 hours. The reaction is quenched by addition  
 10 of 2N hydrochloric acid. The mixture is extracted 4 times with cold ethyl acetate and 4 times with toluene. The extracts are combined, washed with brine and dried over magnesium sulfate. Concentration *in vacuo* followed by silica gel chromatography furnishes 2.7 g of a white solid. The NMR is as reported in the literature, *Biochemistry*, 18: 921-926 (1979).

15

**Example 13****Preparation of 3-acetamido-4-(p-hydroxy)phenyl-2-butanone (N-acetyltyrosinone):**

20 A mixture of tyrosine (28.9 g, 16 mmol), acetic anhydride (97.9 g, 96 mmol) and pyridine (35 g, 16 mmol) are heated to 100° C. for 1 hour. The reaction mixture is concentrated in vacuo to furnish a yellow oil. The oil is distilled at reduced pressure to furnish 29.9 g or an oil.

25 <sup>1</sup>H NMR (DMSO-d<sub>6</sub>): NMR (d<sub>6</sub>-DMSO); 8.2 (d, 1H), 7.3 (d, 2H), 7.0 (d, 2H), 4.4 (m, 1H), 3.1 (dd, 1H), 2.7 (dd, 1H), 2.3 (s, 3H), 1.8 (s, 3H)

**Example 14****Preparation of 3-acetamido-7-amino-2-butanone (N-acetyllysine):**

Following the procedure of Example 3 lysine is converted to N-acetyllysine.

35 <sup>1</sup>H NMR (DMSO-d<sub>6</sub>): 8.1 (d, 1H), 7.8 (br.m. 1H), 4.1 (m, 1H), 3.0 (m, 2H), 2.0 (s, 3H), 1.9 (s, 3H) and 1.3 (br.m, 6H).

**Example 15**

Preparation of 3-acetamido-5-methyl-2-butanone (N-acetylleucinone):

Following the procedure of Example 3 leucine is converted to N-  
5 acetylleucinone.  $^1\text{H}$  NMR (DMSO- $d_6$ ): 8.1 (d, 1H), 4.2 (m, 1H), 2.0 (s, 3H), 1.8 (s, 3H), 0.8 (d, 6H).



### **Example 16**

#### **Modification of 4-(4-aminophenyl)butyric Acid Using Benzene Sulfonyl Chloride**

5

4-(4-Aminophenyl)butyric acid, (20 g 0.11 moles) is dissolved in 110 mL of aqueous 2N sodium hydroxide solution. After stirring for about 5 minutes at room temperature, benzene sulfonyl chloride (14.2 mL, 0.11 moles) is added dropwise into the amino acid solution over a 15 minute period. After stirring for about 3 hours at room temperature the mixture is acidified to pH 2 by addition of hydrochloric acid. This furnishes a light brown precipitate which is isolated by filtration. The precipitate is washed with warm water and dried. The melting point is 123-25° C.

If necessary, the modified amino acids can be purified by recrystallization and/or chromatography.

15

### **Example 17**

#### **Modification of 4-aminobenzoic Acid Using Benzene Sulfonyl Chloride**

20

Following the procedure of Example 6 4-aminobenzoic acid is converted to 4-(phenylsulfonamido)benzoic acid.

### **Example 18**

25

#### **Modification of 4-aminophenylacetic Acid, 4-aminohippuric Acid, and 4-aminomethylbenzoic Acid Using Benzene Sulfonyl Chloride**

Following the procedure of Example 6, 4-aminophenylacetic acid, 4-aminohippuric acid, and 4-amino-methylbenzoic acid are converted to 4-(phenylsulfonamido)phenylacetic acid, 4-(phenylsulfonamido)hippuric acid, and 4-(phenylsulfonamidomethyl)benzoic acid respectively.

30  
35

**Example 19****Modification of Amino Acids with Benzene Sulfonyl Chloride**

- 5           A mixture of sixteen amino acids are prepared prior to chemical modification. The constituents of the mixture are summarized in the Table below. 65 grams of the amino acid mixture (total concentration of  $[-NH_2]$  groups=0.61 moles) is dissolved in 760 mL of 1N sodium hydroxide solution (0.7625 equivalents) at room temperature. After stirring for 20 minutes, benzene sulfonyl chloride (78 ml, 1 eq.) is added over a
- 10   20 minute period. The reaction mixture is then stirred for 2.5 hours, without heating. As some precipitation may occur, additional NaOH solution (2N) may be added to the solution until it reaches pH 9.3. The reaction mixture is stirred overnight at room temperature. Thereafter, the mixture is acidified using dilute hydrochloric acid (38%, 1:4) and a cream colored material precipitates out. The resulting precipitate is isolated
- 15   by decantation and dissolved in sodium hydroxide (2N). This solution is then reduced in vacuo to give a yellow solid, which is dried on the lyophilizer.

**Table 10****Amino Acid Composition**

	Amino Acid	Weight (g)	% of Total Weight	No. of moles	
				of each Amino Acid ( $\times 10^{-2}$ )	No. of Moles of - [NH <sub>2</sub> ]
5	Thr	2.47	3.8	2.07	2.07
	Ser	2.25	3.46	2.1	2.1
	Ala	4.61	7.1	5.17	5.17
	Val	4.39	6.76	3.75	3.75
10	Met	0.53	0.82	0.35	0.35
	Ile	2.47	3.8	0.36	0.36
	Leu	3.86	5.94	2.95	2.95
	Tyr	1.03	1.58	0.56	0.56
	Phe	4.39	6.76	0.27	0.27
15	His	2.47	3.8	1.6	3.2
	Lys	4.94	7.6	3.4	6.8
	Arg	5.13	7.9	2.95	5.90
	Glutamine	9.87	15.18	6.76	13.42
	Glutamic	9.87	15.18	6.70	6.70
20	Acid				
	Asparagine	3.32	5.11	2.51	5.02
	Aspartic	3.32	5.11	2.50	2.50
	Acid				

**Example 20****Modification of a Mixture of Five Amino Acids Using Benzene Sulfonyl Chloride**

An 86.1 g (0.85 moles of NH<sub>2</sub>) mixture of amino acids (see Table below) is dissolved in 643 mL (1.5 eq.) of aqueous 2N sodium hydroxide solution. After stirring for 30 minutes at room temperature, benzene sulfonyl chloride (108 mL, 0.86 moles) is added portionwise into the amino acid solution over a 15 minute period. After stirring for 2.5 hours at room temperature, the pH of the reaction mixture (pH 5) is adjusted to pH 9 with additional 2N sodium hydroxide solution. The reaction mixture is stirred

overnight at room temperature. Thereafter, the pH of the reaction mixture is adjusted to pH 2.5 by addition of dilute aqueous hydrochloric acid solution (4:1, H<sub>2</sub>O: HCl) and a precipitate of modified amino acids is formed. The upper layer is discarded and the resulting yellow precipitate is isolated by decantation, washed with water and dissolved in 2N sodium hydroxide (2N). The solution is reduced in vacuo to give a yellow solid, which is lyophilized overnight.

**Table 11**

10	Moles of Amino Acid		Moles of
	Amino Acid	( x 10 <sup>-2</sup> )	[--NH <sub>2</sub> ] x10 <sup>-2</sup>
	Valine	7.5	7.5
	Leucine	10.7	10.5
	Phenylalanine	13.4	13.4
	Lysine	21.0	42.0
15	Arginine	6.0	12.0

**Example 21****Modification of a Mixture of Five Amino Acids Using Benzoyl Chloride**

20

An 86 g (0.85 moles of NH<sub>2</sub>) mixture of amino acids (see Table in Example 20) is dissolved in 637 mL (1.5 eq.) of aqueous 2N sodium hydroxide solution. After stirring for 10 minutes at room temperature, benzoyl chloride (99 mL, 0.85 moles) is added portionwise into the amino acid solution over a 10 minute period. After stirring for 2.5 hours at room temperature, the pH of the reaction mixture (pH 12) is adjusted to pH 2.5 using dilute hydrochloric acid (4:1, H<sub>2</sub>O: HCl) and a precipitate of modified amino acids is formed. After settling for 1 hour, the resulting precipitate is isolated by decantation, washed with water and dissolved in sodium hydroxide (2N). This solution is then reduced in vacuo to give crude modified amino acids as a white solid ( expected yield 220.5 g).

30

**Example 22****Modification of L-valine Using Benzene Sulfonyl Chloride**

L-Valine (50 g, 0.43 mol) is dissolved in 376 mL (0.75 eq.) of aqueous 2N sodium hydroxide by stirring at room temperature for 10 minutes. Benzene sulfonyl chloride (68.7 mL, 0.38 mol, 1.25 eq.) is then added to the amino acid solution over a 20 minute period at room temperature. After stirring for 2 hours at room temperature, a precipitate appears. The precipitate is dissolved by adding 200 mL of additional 2N sodium hydroxide solution. After stirring for an additional 30 minutes, dilute aqueous hydrochloric acid solution (4:1, H<sub>2</sub>O: HCl) is added until the pH of the reaction mixture reaches 2.6. A precipitate of modified amino acids formed and is recovered by decantation. This material is dissolved in 2N sodium hydroxide and dried in vacuo co to give a white solid. Expected yield of crude modified amino acids is 84.6 g, 77%).

15

**Example 23****Modification of Phenylalanine Methyl Ester Using Hippuryl Chloride**

L-Phenylalanine Methyl Ester Hydrochloride (15 g, 0.084 mole) is dissolved in dimethylformamide (DMF) (100 mL) and to this is added pyridine (30 mL). A solution of hippuryl chloride (16.6 g, 0.084 moles in 100 mL DMF) is immediately added to the amino acid ester solution in two portions. The reaction mixture is stirred at room temperature overnight. The reaction mixture is then reduced in vacuo and dissolved in 1N aqueous sodium hydroxide. The solution is heated at 70° C. for 3 hours in order to hydrolyze the methyl ester to a free carboxyl group. Thereafter, the solution is acidified to pH 2.25 using dilute aqueous hydrochloric acid solution (1:3 HCl/H<sub>2</sub>O). A gum-like precipitate is formed and this is recovered and dissolved in 1N sodium hydroxide. The solution is reduced in vacuo to afford an expected 18.6 g of crude modified amino acid product. After recrystallization from acetonitrile, pure modified phenylalanine (expected yield 12 g) is recovered as a white powder. m.p. 223-225° C.

**Example 24****Preparation of Dosing Solutions of PYY(3-36)**

5

In a test tube 568 mg of acetyl phenylalanine aldehyde, 132 mg of carbomethoxy phenylalanylleucine and 100 mg acetyl-Phe-Leu-Leu-Arg aldehyde are added to 2.9 ml of 15% ethanol. The solution is stirred and NaOH (1.0 N) is added to raise the pH to 7.2. Water is added to bring the total volume to 4.0 mL. The sample had a carrier concentration of 200 mg/mL. PYY(3-36) (800 µg) is added to the solution. The total PYY3-36 concentration is 200 µg/mL.

Following a similar procedure a second solution having 668 mg of acetyl phenylalanine aldehyde and 132 mg of carbomethoxy phenylalanylleucine as the carrier composition and a third solution having as the carrier acetyl phenylalanine aldehyde are prepared. Each solution had an endotoxin-free PYY(3-36) concentration of 200 µg/mL.

**Example 25**

20

**Preparation of Modified Amino Acid/PYY(3-36) Compositions**

Preparation of Modified Amino Acid Microspheres Containing Encapsulated endotoxin-free PYY3-36

The modified amino acid mixture, prepared in accordance with Example 9, is dissolved at 40° C. in distilled water (pH 7.2) at a concentration of 100 mg/ml. The solution is then filtered with a 0.2 micron filter and the temperature is maintained at 40° C. PYY3-36 (Bachem) is dissolved in an aqueous solution of citric acid (1.7N) and gelatin (5%) at a concentration of 150 mg/ml. This solution is then heated to 40° C. The two heated solutions are then mixed 1:1 (v/v). The resulting microsphere suspension is then filtered with glass wool and centrifuged for 50 minutes at 1000 g. The pellet is resuspended with 0.85N citric acid to a volume 5 to 7 fold less than the original volume. PYY3-36 concentration of the resuspended pellet is determined by HPLC. Additional microspheres are made according to the above procedure without PYY3-36. These "empty microspheres" are used to dilute the encapsulated salmon PYY3-36 microsphere preparation to a final dosing suspension, if needed.

(b) Preparation of a Soluble Modified Amino Acid Carrier/PYY3-36 System

A soluble amino acid dosing preparation containing PYY3-36 is prepared by dissolving the modified amino acid material in distilled water (pH 8) to an appropriate concentration. The solution is heated to 40° C. and then filtered with a 0.2 micron filter.

- 5 PYY3-36, also dissolved in distilled water, is then added to the modified amino acid solution prior to oral administration.

### **Pulmonary Delivery of PYY3-36**

(Prophetic)

- 10 The carrier compounds, prepared as described below may be used directly as a delivery carrier by simply mixing one or more compound or salt, poly amino acid or peptide with an endotoxin-free Y2 receptor-binding peptide for pulmonary delivery.

- 15 The administration mixtures are prepared by mixing an aqueous solution of the carrier with an aqueous solution of the active ingredient, just prior to administration. Alternatively, the carrier and the biologically or chemically active ingredient can be admixed during the manufacturing process. The solutions may optionally contain additives such as phosphate buffer salts, citric acid, acetic acid, gelatin, and gum acacia.

- 20 A number of known pulmonary delivery methods can use endotoxin-free Y2 receptor-binding peptides, especially PYY3-36, to improve the delivery of PYY to the lungs. The following non-limiting patent applications are incorporated herein by reference for pulmonary delivery: US Patent application 20030223939, 20030215514, 20030215512, 20030209243, 20030203036, 20030198601, 20030183228, 200301885765, 20030150454, 20030124193, 20030094173.

25

**EXAMPLE 26****Preparation of Carriers**

5

**Preparation of 2-(4-(N-salicyloyl)aminophenyl) propionic acid (Carrier B)**

A slurry of 58.6 g (0.355 mol) of 2-(4-aminophenyl)propionic acid and 500 ml of methylene chloride is treated with 90.11 ml (77.13 g, 0.710 mol) of trimethylsilyl chloride and is heated to reflux for 120 min. The reaction mixture is cooled to 0°C. and treated with 184.44 ml (107.77 g, 1.065 mol) of triethylamine. After stirring for 5 minutes, this mixture is treated with a solution of 70.45 g (0.355 mol) of O-acetylsalicyloyl chloride and 150 ml of methylene chloride. The reaction mixture is warmed to 25° C. and stirred for 64 hr. The volatiles are removed in vacuo. The residue is stirred in 2N aqueous sodium hydroxide for one hour and acidified with 2 M aqueous sulfuric acid. The solid is recrystallized twice from ethanol/water to give a tan solid. Isolation by filtration results in an expected yield of 53.05 g (52% yield) of 2-(4-(N-salicyloyl)aminophenyl)propionic acid. Properties. Solubility: 200 mg/m: 200 mg+350 .μL 2N NaOH+650 .μL H<sub>2</sub>O-pH-7.67. Analysis: C, 67.36; H, 5.3; N, 4.91. .

20

**Preparation of Sodium 2-(4-(N-salicyloyl)aminophenyl)propionate (Sodium Salt of Carrier B)**

A solution of 53.05 g (0.186 mol) of 2-(4-(N-salicyloyl)aminophenyl-)propionic acid and 300 ml of ethanol is treated with 7.59 g (0.190 mol) of NaOH dissolved in 22 ml of water. The reaction mixture is stirred for 30 min at 25°C and for 30 min at 0° C. The resulting pale yellow solid is isolated by filtration to give 52.61 g of sodium 2-(4-(N-salicyloyl)aminophenyl)propionate. Properties. Solubility: 200 mg/ml clear solution, pH=6.85. Analysis C, 60.45; H, 5.45; N, 3.92; Na, 6.43. Melting point 236-238° C.

30

**Preparation of the Sodium Salt of Carrier C**

A 2L round bottom flask equipped with a magnetic stirrer and a reflux condenser is charged with a suspension of 3-(4-aminophenyl)propionic acid (15.0 g, 0.084 moles, 1.0 equiv.) in dichloromethane (250 ml). Chlorotrimethylsilane (18.19 g, 0.856 moles, 2.0 equiv.) is added in one portion, and the mixture is heated to reflux for

35



1.5 h under argon. The reaction is allowed to cool to room temperature and is placed in an ice bath (internal temperature  $<10^{\circ}\text{C}$ ). The reflux condenser is replaced with an addition funnel containing triethylamine (25.41 g, 0.251 moles, 3.0 equiv.). The triethylamine is added dropwise over 15 min, and a yellow solid forms during the addition. The funnel is replaced by another addition funnel containing a solution of 2,3-dimethoxybenzoylchloride (I 8.31 g, 0.091 moles, 1.09 equiv.) in dichloromethane (100 mL). The solution is added dropwise over 30 min. The reaction is stirred in the ice bath for another 30 min and at ambient temperature for 3 h. The dichloromethane is evaporated in vacuo to give a brown oil. The brown oil is cooled in an ice bath, and an ice-cold solution of saturated sodium bicarbonate (250 mL) is added. The ice bath is removed, and the reaction is stirred 1 h to afford a clear brown solution. The solution is acidified with concentrated HCl and stored at ca  $5^{\circ}\text{C}$  for 1 hour. The mixture is extracted with dichloromethane (3 times 100 mL), dried over sodium sulfate, the salts filtered off and the dichloromethane removed in vacuo. The resulting solid is recrystallized from 50% ethyl acetate/water (v/v) to afford Carrier C acid as off white needles (25.92 g, 90%). Analysis for  $\text{C}_{19}\text{H}_{21}\text{NO}_5$ : C, 66.46; H, 6.16; N, 4.08. mp= $99-102^{\circ}\text{C}$ .

12 grams of the Carrier C acid is dissolved in ethanol, 75 mL, with warming. To this solution a 8.5 M Sodium hydroxide (1.02 molar equivalents, 1.426 grams in 4.5 mL water) solution is added. The mixture is stirred for 15 minutes. Approximately three quarters of the ethanol is removed in vacuo and n-heptane, 100 mL, is added to the resulting oil causing a precipitate to form. The solids are dried in vacuo at  $50^{\circ}\text{C}$ . Analysis:  $\text{C}_{19}\text{H}_{20}\text{NO}_5\text{Na} \cdot 0.067\text{H}_2\text{O}$ : C, 62.25; H, 5.54; N, 3.82; Na, 6.27.

#### Preparation of N-(4-methylsalicyloyl)-8-aminocaprylic acid (Carrier D)

##### (a) Preparation of Oligo(4-methylsalicylate)

Acetic anhydride (32 mL, 34.5 g, 0.338 mol, 1.03 eq), 4-methylsalicylic acid (50 g, 0.329 mmol, 1.00 eq), and xylenes (100 mL) are added to a 1 L, four-neck flask fitted with a magnetic stir bar, a thermometer, and a condenser. The flask is placed in a sand bath and heating of the cloudy white mixture begun. The reaction mixture clears to a yellow solution around  $90^{\circ}\text{C}$ . Most of the volatile organics (xylenes and acetic acid) are distilled into the Dean-Stark trap over three hours ( $135-146^{\circ}\text{C}$ ). Distillation is continued for another hour (a total of 110 mL distilled), during which the pot temperature slowly rises to  $204^{\circ}\text{C}$ . and the distillate slows to a trickle. The residue is

poured off while still hot into an aluminum tray. Upon cooling a brittle yellow glass forms. The solid is ground to a fine powder. The oligo(4-methylsalicylate) received is used without further purification.

5 (b) Preparation of N-(4-methylsalicyloyl)-8-aminocaprylic acid

A 7M solution of potassium carbonate (45 mL, 43.2 g, 0.313 mol, 0.95 eq), 8-aminocaprylic acid (41.8 g, 262 mol, 798 eq), and water (20 mL) are added to a 1 L round bottom flask equipped with a magnetic stir bar, condenser, and an addition funnel.

- 10 The white cloudy mixture is treated with a solution of oligo(4-methylsalicylate) (44.7 g, 0.329 mmol 1.0 eq) and dioxane (250 mL), added over thirty minutes. The reaction mixture is heated to 90°C. for 3 hours (at which time the reaction is determined to have finished, by HPLC). The clear orange reaction mixture is cooled to 30°C. and acidified to pH=2 with 50% aqueous sulfuric acid (64 g). The resulting solid is isolated by
- 15 filtration. The white solid is recrystallized from 1170 mL of 50% ethanol-water. The solid is recovered by filtration and is dried over 18 hours in a 50°C. vacuum oven. The N-(4-methylsalicyloyl)-8-aminocaprylic acid is isolated as a white solid (30.88 g, 52%); mp=113-114°. Analysis: C<sub>6</sub>H<sub>23</sub>NO<sub>4</sub>: C, 65.51; H, 7.90; N, 4.77.

- 20 An aqueous solution of PYY(3-36) is then prepared and mixed with one or more of the carrier to produce a PYY(3-36) composition, which then can be sprayed into the lungs. A suitable concentration of PYY3-36 for the resultant composition should be about 400 µg/mL. See U.S. Patent Application No. 20030072740.

**Example 27****Total Extraction Radioimmunoassay for the Determination of the concentration of PYY in Plasma**

5

**1.0 Introduction:**

10 A radioimmunoassay was developed to measure the concentration of Human Peptide YY(3-36) (hPYY) in human plasma. Samples are collected with anticoagulant (EDTA) and protease inhibitor (aprotinin) and frozen. The assay is a four day process. Samples, controls, and standards are extracted in alcohol and dried on Day 1. All samples are reconstituted and mixed with a polyclonal rabbit antiserum directed against hPYY on Day 2. Iodinated hPYY is added on Day 3.

15 Specific precipitating agents (Goat anti-Rabbit IgG and Normal Rabbit Serum) are added on Day 4. Bound tracer is separated from free tracer by centrifugation, and the bound tracer is counted in the gamma counter. Concentration is calculated by interpolation of a standard curve and assay performance is controlled with Quality Control samples.

20

**2.0 Materials:**

- 2.1 Peninsula PYY kit (Peninsula Laboratories, Cat. No. S-2043-0001)
- 25 2.2 Reagent Alcohol (Fisher Inc., Cat. No. A995-4) (or equivalent)
- 2.3 Stripped human plasma (with Lithium Heparin, fasted, pooled) Golden West Biologics Inc. (Cat. No., SD1020-H) (Analytical SOP # A-003)
- 30 2.4 Ice Baths (Fisher, Cat No. 11-676-36) (or equivalent)
- 2.5 Disposable 10 mL pipets (Fisher Cat. No. 13-678-11E) (or equivalent)
- 2.6 Standard Synthetic Human PYY from Nastech QC (3-36) (Bachem Cat. No. H8585)

- 2.7 Distilled Water (Milli-Q Millipore, Cat. No. ZMQ56VFT1) (or equivalent)
- 2.8 Triton X-100 (Sigma, Cat. No. T-9284) (or equivalent)
- 5 2.9 Aluminum Foil (Fisher, Cat. No. 01-213-3) (or equivalent)
- 2.10 Aprotinin (ICN Biomedicals Inc. Cat. No. 190779) (or equivalent)
- 2.11 12x75 mm tubes (Evergreen Scientific, Cat. No. 214-2023-010) (or equivalent)
- 10 2.12 12x75 mm tube caps (Evergreen Scientific, Cat. No. 300-2912-G20) (or equivalent)
- 2.13 1.5 mL microfuge tubes (Fisher, Cat. No. 05-402-25) (or equivalent)
- 2.14
- 15
- 3.0 Instruments:
- 3.1 Wallac WIZARD 1470 Automatic Gamma Counter (Perkin Elmer, Model No. 1470-002) (or equivalent)
- 20 3.2 Isotemp Basic Freezer, -70°C (Kendro Laboratory Products, Model No. C90-3A31) (or equivalent)
- 3.3 CentriVap Concentrator (Labconco, Cat. No. 7810000) (or equivalent)
- 25 3.4 VX-2500 Multi-tube Vortexer (VWR, Cat. No. 58816-115) (or equivalent)
- 3.5 Marathon 21000R Centrifuge (Fisher, Cat. No. 04-977-21000R) (or equivalent)
- 30 3.6 Swinging bucket rotor (Fisher, Cat. No. 04-976-006) (or equivalent)
- 3.7 Motorized pipet-aid (Fisher, Cat. No. 13-681-15E) (or equivalent)
- 3.8 Eppendorf Micropipette
- 35 3.8.1 2 µL - 20 µL (Fisher, Cat. No. 21-371-6) (or equivalent)
- 3.8.2 20 µL - 200 µL (Fisher, Cat. No. 21-371-10) (or equivalent)
- 3.8.3 100 µL - 1000 µL (Fisher, Cat. No. 21-371-13) (or equivalent)

3.9 Eppendorf Repeating Pipettor (Fisher, Cat. No. 21-380-9) (or equivalent)

3.10 Eppendorf Repeating Pipettor Combi-tips

5                   3.10.1 2.5 mL (Fisher, Cat. No. 21-381-331) (or equivalent)  
                     3.10.2 25 mL (Fisher, Cat. No. 21-381-115) (or equivalent)

3.11 Positive displacement pipet (Fisher, Cat. No. 21-169-10A) (or equivalent)

#### 4.0 Procedure

### 10   **DAY 1**

4.1 Thaw necessary reagents and samples for the assay. Prepare RIA buffer to 1X concentration (RIAB) if sufficient amount is not available.

15   4.2 Prepare standard curve samples in pooled stripped human plasma. Prepare as follows if using a starting concentration of 12.8 µg/mL.

4.2.1 Add 990 µL RIAB to tube O.

4.2.2 Add 990 µL pooled plasma to tube A.

20   4.2.3 Add 500 µL pooled plasma to tubes B-H.

4.2.4 Add 10 µL 12.8 µg/mL Standard to tube O. Vortex.

4.2.5 Add 10 µL solution from tube O to tube A. Vortex.

4.2.6 Add 500 µL solution from tube A to tube B. Vortex.

4.2.7 Add 500 µL solution from tube B to tube C. Vortex.

25   4.2.8 Repeat dilutions as in 4.2.7 through tube H. (See Diagram #1)

4.3 Dilute unknown human plasma samples to be tested if necessary. Samples should be diluted in pooled stripped human plasma.

30   4.4 Add 1.2 mL of cold alcohol to empty tubes for NSB, TB, all Standards, QC samples, and human plasma samples to be tested.

4.5 Add 400 µL of pooled stripped human plasma to NSB and TB tubes. Cap, Vortex.

- 4.6 Add 400  $\mu$ L of each prepared Standard sample from 4.2.5 to 4.2.8 to respective standard curve tubes H-A (See Diagram #1). Cap, Vortex.
- 5 4.7 Add 400  $\mu$ L of QC samples to respective tubes. Cap, Vortex.
- 4.8 Add 400  $\mu$ L of each sample to be tested its respective tube. Cap, Vortex.
- 4.9 Incubate all samples on ice for 30-60 minutes.
- 10 4.10 Turn on the cold-trap switch on the Concentrator.
- 4.11 Centrifuge all tubes at 3000 rpm, 4°C for 15 minutes.
- 15 4.12 Transfer 1.3 mL of supernatant from each sample to a new set of empty tubes. Store in an ice bath or at 2-8°C if not spun immediately.
- 4.13 Place samples in the Concentrator.
- 20 4.14 Samples should spin for two hours at 40°C, then at ambient temperature for a total of 5 hours or until dry.
- 4.15 Remove dried samples, cover and store overnight at 2-8°C.
- 25 **DAY 2**
- 4.16 Remove the dried tubes from the 2-8°C cooler.
- 4.17 Add 100  $\mu$ L of 4x RIA buffer concentrate to each tube.
- 30 4.18 Add 100  $\mu$ L of 0.6% TX100 to each tube. (Attachment #1) Vortex for a minimum of 30 seconds to ensure all extracts are fully reconstituted.
- 4.19 Incubate all samples on ice for 30-60 minutes.
- 4.20 Add 200  $\mu$ L of distilled water to each tube. Vortex.
- 4.21 Transfer 100  $\mu$ L of each sample extract to respective tube.
- 35 Note: NSB, TB, TC, Standard Curve samples, and QCs are typically run in triplicate, requiring three tubes per sample. Human plasma samples may be tested in any variation (up to three replicates) depending on sample availability.
- 40 4.22 Prepare Rabbit anti-PYY as described in the Peninsula Laboratories kit insert.

- 4.23 Add 100  $\mu$ L RIAB to each NSB tube.
- 4.24 Add 200  $\mu$ L RIAB to each TC tube.
- 4.25 Add 100  $\mu$ L Rabbit anti-PYY to all remaining tubes. Vortex.
- 4.26 Cover with foil and store overnight at 2-8°C.

5

### DAY 3

- 4.27 Remove the tubes from the 2-8°C cooler.
- 4.28 Prepare  $^{125}$ I-Peptide YY tracer (Attachment #2).
- 4.29 Add 100  $\mu$ L of prepared tracer to all tubes. Cap and vortex.
- 4.30 Store overnight at 2-8°C.

10

### DAY 4

- 4.31 Remove the tubes from the 2-8°C cooler.
- 4.32 Prepare Goat anti-Rabbit IgG serum (GARGG) and Normal Rabbit Serum (NRS) as described in the Peninsula Laboratories kit insert.
- 4.33 Add 100  $\mu$ L GARGG to each tube (except TC tubes).
- 4.34 Add 100  $\mu$ L NRS to each tube (except TC tubes). Vortex.
- 4.35 Incubate 90-120 minutes at room temperature.
- 4.36 Add 500  $\mu$ L RIAB to tubes to be centrifuged immediately (except TC tubes). Vortex.

15

20

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Note: 500  $\mu$ L RIAB should be added to tubes just prior to centrifugation. Only add RIAB to the number of tubes that are ready to be centrifuged. 500  $\mu$ L RIAB should be added to additional tubes when they are ready to be centrifuged.

30

- 4.37 Centrifuge tubes (containing 500  $\mu$ L RIAB) at 3000 rpm at 4°C, for 15 minutes. Do not centrifuge TC tubes.

35

- 4.38 Aspirate supernatant from centrifuged tubes.

- 5           4.39   Place tubes in designated black racks for counting on the Gamma counter.           The first rack should have the appropriate Program number attached. All racks that follow should contain no program number. Samples should be added in the following order:
- 4.39.1 NSB tubes
- 4.39.2 TB tubes
- 4.39.3 TC tubes
- 4.39.4 Standard tubes (increasing concentration)
- 10           4.39.5 QC samples (3 concentrations)
- 4.39.6 Unknown human samples
- 4.39.7 QC samples (3 concentrations)
- 4.40   Place an empty black rack with the Stop label attached after all samples to be counted.
- 15           4.41   Press 'Start' on the Gamma Counter keypad to start counting.
- 4.42   Press 'E' for enter on the Gamma Counter keypad to display CPM results.
- 20
- 5.0   Evaluation of Results
- 25           5.1   The following guidelines are applied to the identification and rejection of outliers in the assay. In order for a result to qualify as an outlier and not be included in the final calculation of results, all of the following conditions must be met.
- 30           5.1.1   QCs and unknown samples:
- 5.1.1.1 %CV of all replicates must be great than 20%.
- 5.1.1.2 There must be at least three results to evaluate.
- 35           5.1.1.3 The difference between the suspected outlier and the result next closest in value must be greater than 20%.
- 5.1.1.4 The difference between the high and low remaining results must be less than 20%.
- 40           5.1.2   Standard Curve samples:



5.1.2.1 %CV of all replicates must be greater than 15%.

5.1.2.2 There must be at least three results to evaluate.

5 5.1.2.3 The difference between the suspected outlier and the result next closest in value must be greater than 15%.

10 5.1.2.4 The difference between the high and low remaining results must be less than 15%.

## 6.0 Assay Specifications

15 6.1 QC samples are prepared at the following concentrations. Two QC samples at each concentration are tested in an assay. Four of the six QC samples tested must be within the following ranges ( $\pm 30\%$  of nominal concentration). At least one of the two QCs tested at any concentration must be within range of the assay for data to be acceptable.

20 6.1.1 QC1 (100 pg/mL) 70-130 pg/mL

6.1.2 QC2 (200 pg/mL) 140-260 pg/mL

6.1.3 QC3 (500 pg/mL) 350-650 pg/mL

6.2 Standard curve parameter requirements TBD.

25

PYY RIA Standard:

Tube designation	Concentration of Standard
A	1280 pg/mL
B	640 pg/mL
C	320 pg/mL
D	160 pg/mL
E	80 pg/mL
F	40 pg/mL
G	20 pg/mL
H	10 pg/mL

30

35

Attachment #1

5 0.6% TX-100

Reagent: 0.6% TX-100

10 Materials: Milli-Q Distilled Water  
TX-100

Preparation: 1) Measure 50 mL of Milli-Q Distilled Water  
2) Add 300 µL of TX-100 using positive displacement pipet  
15 3) Mix well.

Attachment #2

20  $^{125}\text{I}$  –Peptide PYY Tracer

Reagent:  $^{125}\text{I}$  –Peptide PYY Tracer

25 Materials: 1x RIA Buffer  
 $^{125}\text{I}$  –Peptide PYY

Preparation: 1) Reconstitute tracer with 1 mL of 1x RIA Buffer.  
2) Measure the quantity of the tracer on the Gamma Counter.  
30 Transfer 10 µL of reconstituted tracer to a tube. Place it in a  
black rack for the Gamma Counter with Program #30 attached.  
3) Place rack on the Gamma Counter with the Stop rack behind it.  
4) Press 'Start' to begin counting, then 'E' to view CPM results.  
35 5) Determine amount of tracer (X µL) to prepare and RIAB (Y mL)  
needed as follows:

$$X \text{ } \mu\text{L} = \frac{(5 \text{ } \mu\text{L})(\text{cpm value})(\# \text{ tubes} + 10)}{(\text{cpm from stock solution})}$$

40  $Y \text{ mL} = (0.1) (\# \text{ tubes} + 10)$

6) Combine X µL of  $^{125}\text{I}$ -Peptide YY with Y mL of RIAB. Mix well.

**Example 28****Preparation of an NPY Formulation Free of a Stabilizer that is a Protein**

- 5 A PYY formulation suitable for intranasal administration of NPY, which is substantially free of a stabilizer that is a protein is prepared having the formulation listed below.
- 10 1. About  $\frac{3}{4}$  of the water is added to a beaker and stirred with a stir bar on a stir plate and the sodium citrate is added until it is completely dissolved.
  2. The EDTA is then added and stirred until it is completely dissolved.
  3. The citric acid is then added and stirred until it is completely dissolved.
  4. The methyl- $\beta$ -cyclodextrin is added and stirred until it is completely dissolved.
  - 15 5. The DDPC is then added and stirred until it is completely dissolved.
  6. The lactose is then added and stirred until it is completely dissolved.
  7. The sorbitol is then added and stirred until it is completely dissolved.
  8. The chlorobutanol is then added and stirred until it is completely dissolved.
  9. The NPY(3-36) is added and stirred gently until it dissolved.
  - 20 10. Check the pH to make sure it is  $5.0 \pm 0.25$ . Add dilute HCl or dilute NaOH to adjust the pH.
  11. Add water to final volume.

**Table 12**

25

Reagent	Grade	Vendor	mg/mL	%
Cholorbutanol, anhydrous	NF	Spectrum	5.0	0.50
Methyl- $\beta$ -Cyclodextrin		Sigma	45	4.5
L- $\alpha$ -Phosphatidylcholine Didecanoyl		Sigma	1	0.1
Edetate Disodium (EDTA)	USP	Dow Chemicals	1	0.1
Sodium Citrate, Dihydrate	USP	Spectrum	1.62	0.162
Citric Acid, Anhydrous	USP	Sigma	0.86	0.086
$\alpha$ -Lactose monohydrate		Sigma	9	0.9
Sorbitol		Sigma	18.2	1.82
NPY(3-36)	GMP	Bachem	1	0.1
Purified Water				

Formulation pH 5 +/- 0.25  
Osmolarity ~250

**Example 29**

A second formulation is prepared as above, except the concentration of NPY(3-36) is 15 mg/mL as shown below in Table 13.

Table 13

Reagent	Grade	Vendor	mg/ml	%
Cholorbutanol, anhydrous	NF	Spectrum	5.0	0.50
Methyl- $\beta$ -Cyclodextrin		Sigma	45	4.5
L- $\alpha$ -Phosphatidylcholine Didecanoyl		Sigma	1	0.1
Edetate Disodium	USP	Dow Chemicals	1	0.1
Sodium Citrate, Dihydrate	USP	Spectrum	1.62	0.162
Citric Acid, Anhydrous	USP	Sigma	0.86	0.086
$\alpha$ -Lactose monohydrate		Sigma	9	0.9
Sorbitol		Sigma	18.2	1.82
NPY(3-36)	GMP	Bachem	15	0.1
Purified Water				

Formulation pH 5 +/- 0.25

**Example 30**

**10 Preparation of Pancreatic Peptide (PP) Formulation Free of a Stabilizer that is a Protein**

A PYY formulation suitable for intranasal administration of PP, which is substantially free of a stabilizer that is a protein is prepared having the formulation listed below.

1. About  $\frac{3}{4}$  of the water is added to a beaker and stirred with a stir bar on a stir plate and the sodium citrate is added until it is completely dissolved.
2. The EDTA is then added and stirred until it is completely dissolved.
- 20 3. The citric acid is then added and stirred until it is completely dissolved.
4. The methyl- $\beta$ -cyclodextrin is added and stirred until it is completely dissolved.
5. The DDPC is then added and stirred until it is completely dissolved.
6. The lactose is then added and stirred until it is completely dissolved.
- 25 7. The sorbitol is then added and stirred until it is completely dissolved.
8. The chlorobutanol is then added and stirred until it is completely dissolved.
9. The PP(3-36) is added and stirred gently until it dissolved.
10. 11 Check the pH to make sure it is  $5.0 \pm 0.25$ . Add dilute HCl or dilute NaOH to adjust the pH.

11. Add water to final volume.

**Table 14**

Reagent	Grade	Vendor	mg/mL	%
Cholorbutanol, anhydrous	NF	Spectrum	5.0	0.50
Methyl-β-Cyclodextrin		Sigma	45	4.5
L-α-Phosphatidylcholine Didecanoyl		Sigma	1	0.1
Edetate Disodium	USP	Dow Chemicals	1	0.1
Sodium Citrate, Dihydrate	USP	Spectrum	1.62	0.162
Citric Acid, Anhydrous	USP	Sigma	0.86	0.086
α-Lactose monohydrate		Sigma	9	0.9
Sorbitol		Sigma	18.2	1.82
PP(3-36)	GMP	Bachem	1	0.1
Purified Water				

Formulation pH 5 +/- 0.25

Osmolarity ~250

5

**Example 31**

A second formulation is prepared as above, except the concentration of PP(3-36) is 15 mg/mL as shown below in Table 15.

10

**Table 15**

Reagent	Grade	Vendor	mg/ml	%
Cholorbutanol, anhydrous	NF	Spectrum	5.0	0.50
Methyl-β-Cyclodextrin		Sigma	45	4.5
L-α-Phosphatidylcholine Didecanoyl		Sigma	1	0.1
Edetate Disodium	USP	Dow Chemicals	1	0.1
Sodium Citrate, Dihydrate	USP	Spectrum	1.62	0.162
Citric Acid, Anhydrous	USP	Sigma	0.86	0.086
α-Lactose monohydrate		Sigma	9	0.9
Sorbitol		Sigma	18.2	1.82
PP(3-36)	GMP	Bachem	15	0.1
Purified Water				

Formulation pH 5 +/- 0.25

**Example 32**

15

This example describes a pharmaceutical composition product comprising an aqueous solution formulation of a Y2 receptor binding compound at a concentration sufficient to produce therapeutically effective plasma concentrations and an actuator to produce an

aerosol of said solution, wherein the spray pattern ellipticity ratio of said aerosol is between 1.00 and 1.40 when measured at a height of between 0.5 cm and 10 cm distance from the actuator tip.

- 5 Surprisingly a PYY(3-36) formulation of the instant specification can be aerosolized and still be therapeutically effective (as shown in Example 8a). The volume of the aerosol can be between about 5 microliters and 1.0 ml, preferably between 20 and 200 microliters.
- 10 This test method describes the procedure for characterizing plume geometry of Y2 receptor binding compound nasal solution formulations using the SprayView NSP system. The plume geometry is characterized using a SprayView High Speed Optical Spray Characterization System (SprayView NSP) with Integrated SprayView NSx actuation station (Image Therm Engineering, Inc., Sudbury, MA) according to the
- 15 methods described in U.S. Patent No. 6,665,421 and U.S. Patent Application Publication No. 20030018416 published January 23, 2003.

Using the formulation of table 14 or placebo the spray characterization and droplet size of the formulation in both a 1 mL and a 3 mL bottle both having a nasal Spray Pump w/

20 Safety Clip, Pfeiffer SAP # 60548, which delivers a dose of 0.1mL per squirt and has a diptube length of 36.05 mm.

The droplet size data are shown in the following table.

25 Droplet Size for Nasal Spray Bottle and Pfeiffer SAP # 60548

		D <sub>10</sub>	D <sub>50</sub>	D <sub>90</sub>	Span	% < 10 micrometer
1mL	Y2 Receptor binding compound (PYY)	33.36	229.21	704.66	3.23	0.29
3mL	Y2 receptor binding compound (PYY)	23.26	92.31	610.46	6.60	0.59

Below are listed the spray pattern and plume geometry results

<b>Spray Pattern</b>		<b>MajorAxis</b>	<b>MinorAxis</b>	<b>EllipticityRatio</b>	<b>Dmin</b>	<b>Dmax</b>	<b>Ovality</b>	<b>PatternArea</b>
active	1mL	25.0	21.1	1.2	20.1	26.4	1.3	419.4
	3mL	26.5	22.6	1.2	22.1	29.1	1.3	468.2

<b>Plume Geometry</b>		<b>Angle</b>	<b>Width</b>
active	1mL	48.5	27.1
	3mL	44.8	25.0

Although the foregoing invention has been described in detail by way of example for purposes of clarity of understanding, it will be apparent to the artisan that certain

- 5 changes and modifications are comprehended by the disclosure and may be practiced without undue experimentation within the scope of the appended claims, which are presented by way of illustration not limitation.